

1. (18 points) Let

$$\mathbf{u}_1 = \begin{bmatrix} 1 \\ -2 \\ 1 \end{bmatrix} \quad \text{and} \quad \mathbf{u}_2 = \begin{bmatrix} 4 \\ 1 \\ -2 \end{bmatrix}.$$

(a) Show that  $\mathbf{u}_1$  and  $\mathbf{u}_2$  are orthogonal.

$$\mathbf{u}_1 \cdot \mathbf{u}_2 = (1)(4) + (-2)(1) + (1)(-2) = 0$$

(b) Compute the orthogonal projection of  $\mathbf{e}_3$  onto the span of  $\{\mathbf{u}_1, \mathbf{u}_2\}$ . Why does this computation show that  $\mathbf{e}_3$  is not in this plane?

$$W = \text{span}\{\mathbf{u}_1, \mathbf{u}_2\}$$

$$\text{proj}_W \mathbf{e}_3 = \left( \frac{\mathbf{e}_3 \cdot \mathbf{u}_1}{\mathbf{u}_1 \cdot \mathbf{u}_1} \right) \mathbf{u}_1 + \left( \frac{\mathbf{e}_3 \cdot \mathbf{u}_2}{\mathbf{u}_2 \cdot \mathbf{u}_2} \right) \mathbf{u}_2$$

$$= \frac{1}{6} \mathbf{u}_1 + \frac{-2}{21} \mathbf{u}_2$$

$$= \begin{bmatrix} \frac{1}{6} \\ -\frac{1}{3} \\ \frac{1}{6} \end{bmatrix} + \begin{bmatrix} -\frac{8}{21} \\ -\frac{2}{21} \\ \frac{4}{21} \end{bmatrix} = \begin{bmatrix} -\frac{3}{14} \\ -\frac{3}{7} \\ \frac{5}{14} \end{bmatrix}$$

$\mathbf{e}_3 \notin W$  because

$$\text{proj}_W \mathbf{e}_3 \neq \mathbf{e}_3.$$

(c) Find a vector  $\mathbf{u}_3$  in  $\mathbb{R}^3$  such that  $\{\mathbf{u}_1, \mathbf{u}_2, \mathbf{u}_3\}$  is an orthogonal basis of  $\mathbb{R}^3$ .

$$\mathbf{u}_3 = \mathbf{e}_3 - \text{proj}_W \mathbf{e}_3 = \begin{bmatrix} 0 \\ 0 \\ 1 \end{bmatrix} - \begin{bmatrix} -\frac{3}{14} \\ -\frac{3}{7} \\ \frac{5}{14} \end{bmatrix} = \begin{bmatrix} \frac{3}{14} \\ \frac{3}{7} \\ \frac{9}{14} \end{bmatrix}$$

2. (16 points) Consider the matrix

$$A = \begin{bmatrix} 1 & -3 & 4 & -1 & 9 \\ -2 & 6 & -6 & -1 & -10 \\ -3 & 9 & -6 & -6 & -3 \\ 3 & -9 & 4 & 9 & 0 \end{bmatrix}$$

Find a basis of the orthogonal complement of  $\text{Nul } A$ . What is the dimension of this complement?

$$(\text{Nul } A)^\perp = \text{Row } A$$

Want a basis of  $\text{Row } A$ :

$$A \sim \begin{bmatrix} 1 & -3 & 4 & -1 & 9 \\ 0 & 0 & 2 & -3 & 8 \\ 0 & 0 & 6 & -9 & 24 \\ 0 & 0 & -8 & 12 & -27 \end{bmatrix} \quad \begin{array}{l} \text{by} \\ R_2 \rightarrow R_2 + 2R_1 \\ R_3 \rightarrow R_3 + 3R_1 \\ R_4 \rightarrow R_4 - 3R_1 \end{array}$$

$$\sim \begin{bmatrix} 1 & -3 & 4 & -1 & 9 \\ 0 & 0 & 2 & -3 & 8 \\ 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 5 \end{bmatrix} \quad \begin{array}{l} \text{by} \\ R_3 \rightarrow R_3 - 3R_2 \\ R_4 \rightarrow R_4 + 4R_2 \end{array}$$

Then flip rows 3 and 4 to get REF.

$$\text{basis of Row } A = \left\{ \begin{bmatrix} 1 \\ -3 \\ 4 \\ -1 \\ 9 \end{bmatrix}, \begin{bmatrix} 0 \\ 2 \\ 0 \\ -3 \\ 0 \end{bmatrix}, \begin{bmatrix} 0 \\ 0 \\ 0 \\ 0 \\ 5 \end{bmatrix} \right\}$$

$$\Rightarrow \dim(\text{Row } A) = 3$$

3. (12 points) Suppose a consistent nonhomogeneous system  $Ax = b_1$  of seven linear equations in nine unknowns has a solution set that contains two free variables. Is it possible to find a  $b_2$  such that the system  $Ax = b_2$  is inconsistent? (In order to receive any credit, you must provide a valid justification for your answer.)

Seven equations in nine unknowns

$\Rightarrow A$  is  $7 \times 9$  matrix.

Because  $Ax = b_1$  has a solution set with two free variables,  $\dim \text{Nul} A = 2$ .

$\Rightarrow \dim \text{Row} A = 9 - 2 = 7$ .

$\Rightarrow \text{rank} A = 7$

$\Rightarrow \dim \text{Col} A = 7$ .

Therefore,  $\text{Col} A$  is a seven dimensional subspace of  $\mathbb{R}^7$ . The only such subspace is  $\mathbb{R}^7$  itself.

$\Rightarrow \text{Col} A = \mathbb{R}^7$

$\Rightarrow$  the system  $Ax = b$  is consistent for any  $b \in \mathbb{R}^7$ .

Final answer:  $\exists$  No, it is not possible to find a  $b_2$  such that  $Ax = b_2$  is inconsistent.

4. (16 points) Consider

$$A = \begin{bmatrix} 1 & 3 \\ -1 & 5 \end{bmatrix}, \quad \mathbf{v}_1 = \begin{bmatrix} 1 \\ 1 \end{bmatrix}, \quad \text{and} \quad \mathbf{v}_2 = \begin{bmatrix} 3 \\ 1 \end{bmatrix}.$$

(a) Show that  $\mathbf{v}_1$  and  $\mathbf{v}_2$  are eigenvectors for the matrix  $A$ .

$$A\mathbf{v}_1 = \begin{bmatrix} 4 \\ 4 \end{bmatrix} = 4 \begin{bmatrix} 1 \\ 1 \end{bmatrix} \quad A\mathbf{v}_2 = \begin{bmatrix} 6 \\ 2 \end{bmatrix} = 2 \begin{bmatrix} 3 \\ 1 \end{bmatrix}$$

eigenvalues are 2 and 4

(b) Compute  $A^k$  for all positive integers  $k$ .

$$A = PDP^{-1} = \begin{bmatrix} 1 & 3 \\ 1 & 1 \end{bmatrix} \begin{bmatrix} 4 & 0 \\ 0 & 2 \end{bmatrix} \begin{pmatrix} -\frac{1}{2} \\ \frac{1}{2} \end{pmatrix} \begin{bmatrix} 1 & -3 \\ -1 & 1 \end{bmatrix}$$

$$= \begin{bmatrix} 1 & 3 \\ 1 & 1 \end{bmatrix} \begin{bmatrix} 4 & 0 \\ 0 & 2 \end{bmatrix} \begin{bmatrix} -\frac{1}{2} & \frac{3}{2} \\ \frac{1}{2} & -\frac{1}{2} \end{bmatrix}$$

$$\Rightarrow A^k = PD^kP^{-1} = \begin{bmatrix} 1 & 3 \\ 1 & 1 \end{bmatrix} \begin{bmatrix} 4^k & 0 \\ 0 & 2^k \end{bmatrix} \begin{bmatrix} -\frac{1}{2} & \frac{3}{2} \\ \frac{1}{2} & -\frac{1}{2} \end{bmatrix}$$

$$= \begin{bmatrix} 4^k & 3(2^k) \\ 4^k & 2^k \end{bmatrix} \begin{bmatrix} -\frac{1}{2} & \frac{3}{2} \\ \frac{1}{2} & -\frac{1}{2} \end{bmatrix}$$

$$= \begin{bmatrix} (\frac{3}{2})(2^k) - (\frac{1}{2})4^k & (\frac{3}{2})4^k - (\frac{3}{2})2^k \\ (\frac{1}{2})2^k - (\frac{1}{2})4^k & (\frac{3}{2})4^k - (\frac{1}{2})2^k \end{bmatrix}$$

$$= \begin{bmatrix} 3(2^{k-1}) - 2^{2k-1} & 3(2^{2k-1}) - 3(2^{k-1}) \\ 2^{k-1} - 2^{2k-1} & 3(2^{2k-1}) - 2^{k-1} \end{bmatrix}$$

5. (18 points) Which of the following three matrices can be diagonalized? In order to receive credit, you must justify your answer, but you do not have to diagonalize the matrices that can be diagonalized.

(a)  $A = \begin{bmatrix} 3 & -1 \\ 1 & 3 \end{bmatrix}$  char poly  $\det \begin{bmatrix} 3-\lambda & -1 \\ 1 & 3-\lambda \end{bmatrix} = (\lambda-3)^2 + 1$

The char poly is at least 1 for all real  $\lambda$ , and therefore it does not have any real roots (no real eigenvalues).  
 $\Rightarrow A$  is not diagonalizable.

(b)  $B = \begin{bmatrix} 0 & 1 \\ -4 & -4 \end{bmatrix}$

char poly  $\det \begin{bmatrix} -\lambda & 1 \\ -4 & -4-\lambda \end{bmatrix} = (\lambda+4)\lambda + 4$   
 $= \lambda^2 + 4\lambda + 4$

Need  $\dim \text{Nul}(B+2I)$ :

$$B+2I = \begin{bmatrix} 2 & 1 \\ -4 & -2 \end{bmatrix}$$

$\text{rank}(B+2I) = 1 \Rightarrow$

$\dim(\text{Nul}(B+2I)) = 1 \Rightarrow$

no basis of  $\mathbb{R}^2$  of evecs  $\Rightarrow$  not diag

(c)  $C = \begin{bmatrix} 4 & 2 \\ 2 & 2 \end{bmatrix}$

char poly  $\det \begin{bmatrix} 4-\lambda & 2 \\ 2 & 2-\lambda \end{bmatrix} = (\lambda-4)(\lambda-2) - 4$   
 $= \lambda^2 - 6\lambda + 4$

eigenvalues:  $\lambda = \frac{3 \pm \sqrt{36-16}}{2}$

two distinct, real <sup>§</sup> eigenvalues for a  $2 \times 2$  matrix  $\Rightarrow C$  is diagonalizable.

6. (20 points) Are the following statements true or false? **You will not receive any credit unless you justify your answers.** (Note that there are two more parts to this question on the next page.)

- (a) Every orthonormal set is orthogonal.

True. An orthonormal set  $\{v_1, v_2, \dots, v_k\}$  satisfies the conditions  $v_i \cdot v_j = 0$  for  $i \neq j$  and  $v_i \cdot v_i = 1$  for  $i = 1, 2, \dots, k$ . An orthogonal set satisfies  $v_i \cdot v_j = 0$  for  $i \neq j$ . So every orthonormal set is orthogonal, but not every orthogonal set is orthonormal.

- (b) If a matrix  $U$  has orthogonal columns, then  $U^T U = I$ .

False. The matrix  $U = \begin{bmatrix} 1 & -1 \\ 1 & 1 \end{bmatrix}$

has orthogonal columns, but

$$U^T U = \begin{bmatrix} 1 & 1 \\ -1 & 1 \end{bmatrix} \begin{bmatrix} 1 & -1 \\ 1 & 1 \end{bmatrix} = \begin{bmatrix} 2 & 0 \\ 0 & 2 \end{bmatrix}.$$

Question 6 (continued):

- (c) If  $A$  and  $B$  are row equivalent square matrices, then the eigenvalues of  $A$  are the same as the eigenvalues of  $B$ .

False. The matrices  $A = \begin{bmatrix} 1 & 0 \\ 0 & 2 \end{bmatrix}$  and

$B = \begin{bmatrix} 3 & 0 \\ 0 & 4 \end{bmatrix}$  are row equivalent

(both  $A$  and  $B$  are row equivalent to  $I$ ),  
but the eigenvalues of  $A$  are 1 and 2  
and the eigenvalues of  $B$  are 3 and 4.

- (d) If  $A$  and  $B$  are row equivalent matrices, then  $\text{rank } A = \text{rank } B$ .

True. Row operations on a matrix do not change the row space. That is, if  $A \sim B$ , then  $\text{row } A = \text{row } B$ .

Since the rank of a matrix is equal to the dimension of its row space,  $\text{rank } A = \text{rank } B$  if  $A \sim B$ .