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# THE CUSPIDAL GROUP AND SPECIAL VALUES OF *L*-FUNCTIONS

BY

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ABSTRACT. The structure of the cuspidal divisor class group is investigated by relating this structure to arithmetic properties of special values of *L*-functions of weight two Eisenstein series. A new proof of a theorem of Kubert (Proposition 3.1) concerning the group of modular units is derived as a consequence of the method. The key lemma is a nonvanishing result (Theorem 2.1) for values of the "*L*-function" attached to a one-dimensional cohomology class over the relevant-congruence subgroup. Proposition 4.7 provides data regarding Eisenstein series and associated subgroups of the cuspidal divisor class group which the author hopes will simplify future calculations in the cuspidal group.

Let  $X_{\Gamma/\mathbf{Q}}$  be Shimura's canonical model over  $\mathbf{Q}$  of the complete modular curve associated to the congruence group  $\Gamma \subseteq \mathrm{SL}_2(\mathbf{Z})$ , where  $\Gamma$  is one of the groups  $\Gamma(N)$ ,  $\Gamma_1(N)$ , or  $\Gamma_0(N)$ . The cuspidal divisor class group  $C_{\Gamma} \subseteq \mathrm{Pic}^0(X_{\Gamma})$  plays an important role in the theory of the arithmetic of the modular curve  $X_{\Gamma}$ , for example in Mazur's proof of Ogg's conjecture [13] and in the proof by Mazur and Wiles of the main conjecture of Iwasawa theory [14]. Subgroups of the cuspidal group lead to the isogenies used in the Eisenstein descent theory. These subgroups can also be used to give congruence formulas for the universal special values of the *L*-function of  $X_{\Gamma}$ which are compatible with the descent theoretic results and the conjecture of Birch and Swinnerton-Dyer [3, 5, 12, 19].

The structure of the cuspidal group has been investigated extensively by Kubert and Lang [6, 7]. Their approach is based on a study of the group of modular units.

In the present paper we propose another approach based on a study of integrality and divisibility properties of special values of *L*-functions of weight two Eisenstein series. Typically such an *L*-function is a product of two Dirichlet *L*-functions whose special values are closely related to the arithmetic of cyclotomic fields. Using a theorem of L. Washington [20] on the non-*p*-part of the class number in cyclotomic  $Z_p$ -extensions we describe a method of computing subgroups of  $C_{\Gamma}$  which should be useful for the descent theory. The corresponding congruence formulas for universal special values of *L*-functions are an immediate consequence of the method.

Since Eisenstein series occur in many settings our point of view offers the prospect of generalization. Such a generalization to Hilbert-Blumenthal varieties may be an

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important step towards extending the Mazur-Wiles theorem to totally real number fields.

Our motivating observation is that the logarithmic derivative of a modular unit over  $\Gamma$  is a weight two Eisenstein series over  $\Gamma$  with integral periods on the affine curve  $Y_{\Gamma} = X_{\Gamma} \setminus \{\text{cusps}\}$  (§1). Thus the problem of finding all modular units is closely related to finding the group of periods on  $Y_{\Gamma}$  of a weight two Eisenstein series E over  $\Gamma$ . Our starting point is Theorem 1.3 which determines the group of periods of E in terms of the special values at s = 0 and s = 1 of the L-function of Eand its twists.

By relating these values to units in cyclotomic fields we give another proof of a theorem of Kubert (Proposition 3.1) which leads to a description of the group of modular units of all levels in terms of the Siegel units and square roots of certain products of Siegel units. Kubert's original proof [7] was for odd level only, though he has since modified the proof to work for arbitrary level [8].

The cuspidal group  $C_{\Gamma}$  is generated by certain subgroups  $C_{\Gamma}(E)$  associated to weight two Eisenstein series E over  $\Gamma$ . In case E is an eigenfunction for the Hecke operators, the group  $C_{\Gamma}(E)$  is preserved by the action of the Galois group and the Hecke algegra. Thus  $C_{\Gamma}(E)$  is a natural group for the purpose of descent. Moreover, the corresponding congruence formulas for the universal special values of the *L*-function of  $X_{\Gamma}$  are given in terms of the special values of the *L*-function of *E*.

In §4 we introduce a natural basis of eigenforms for the space of weight two Eisenstein series over  $\Gamma = \Gamma_1(N)$ . For each *E* in this basis we describe  $C_{\Gamma}(E)$  and the associated congruence formula. We have attempted to arrange this section to facilitate similar calculations for other eigenforms.

§4 closes with two examples on  $X_0(N)$ . The methods described here determine  $C_{\Gamma_0(N)}(E)$  only modulo its intersection with the Shimura group. Since the Shimura group is easy to describe as a Galois module, it is often possible to show this intersection is trivial. In the general case we would need to make a study of the explicit formulas for the periods of E in terms of generalized Dedekind sums.

NOTATION. For  $x \in \mathbf{C}$  we write e(x) for  $e^{2\pi i x}$ .

The Bernoulli functions  $\mathbf{B}_1, \mathbf{B}_2: \mathbf{R} \to \mathbf{R}$  are defined by

$$\mathbf{B}_{1}(x) = x - [x] - \frac{1}{2}, \qquad \mathbf{B}_{2}(x) = (x - [x])^{2} - (x - [x]) + \frac{1}{6},$$

where [x] is the largest integer less than or equal to x. If  $\chi$  is a primitive Dirichlet character of conductor m, the generalized Bernoulli functions associated to  $\chi$  are:

$$\mathbf{B}_{1,\chi}(x) = \sum_{a=0}^{m-1} \chi(a) \mathbf{B}_1\left(\frac{x+a}{m}\right), \qquad \mathbf{B}_{2,\chi}(x) = m \sum_{a=0}^{m-1} \chi(a) \mathbf{B}_2\left(\frac{x+a}{m}\right).$$

We will write  $\mathbf{B}_{1}(\chi)$  for  $\mathbf{B}_{1,\chi}(0)$  and  $\mathbf{B}_{2}(\chi)$  for  $\mathbf{B}_{2,\chi}(0)$ .

If R is a subring of C we will write  $R[\chi]$  for the ring generated over R by the values of  $\chi$ . If  $\mathfrak{X}$  is a set of Dirichlet characters, then  $R[\mathfrak{X}] = R[\chi|\chi \in \mathfrak{X}]$ . If M is an R-submodule of C, then we will write  $M[\chi]$  (resp.  $M[\mathfrak{X}]$ ) for  $M \cdot R[\chi]$  (resp.  $M \cdot R[\mathfrak{X}]$ ).

The Gauss sum of  $\chi$  is  $\tau(\chi) = \sum_{a=0}^{m-1} \chi(a) e(a/m)$ .

**1.** The cuspidal group. Let N > 0 be a positive integer and  $\Gamma \subseteq SL_2(\mathbb{Z})$  be one of the groups  $\Gamma(N)$ ,  $\Gamma_1(N)$ , or  $\Gamma_0(N)$ . The complete modular curve  $X_{\Gamma}$  is the union of the affine modular curve  $Y_{\Gamma} = \Gamma \setminus \Re$  and the finite set of cusps,  $cusps(\Gamma) = \Gamma \setminus \mathbb{P}^1(\mathbb{Q})$ . The curve  $X_{\Gamma}$  has a canonical nonsingular projective model defined over  $\mathbb{Q}$  [18, Chapter 6]. In this model the set of cusps is invariant under the action of the Galois group  $Gal(\overline{\mathbb{Q}}/\mathbb{Q})$ . Each cusp is rational over  $\mathbb{Q}(e(1/N))$ , and the  $\infty$  cusp is  $\mathbb{Q}$ -rational.

Let  $U(\Gamma)$  denote the multiplicative group of modular units over  $\Gamma$ . Thus an element of  $U(\Gamma)$  is a modular function g over  $\Gamma$  without zeros or poles on  $\Re$ . The group  $U(\Gamma)$  may be identified with the group of meromorphic functions on  $X_{\Gamma}$  whose divisors are supported on the cusps. Let  $\mathscr{D}_{\Gamma}$  (resp.  $\mathscr{D}_{\Gamma}^{0}$ ) denote the group of divisors (resp. degree zero divisors) supported on cusps( $\Gamma$ ) and for  $g \in U(\Gamma)$  let  $\operatorname{div}_{\Gamma}(g) \in \mathscr{D}_{\Gamma}^{0}$  be the divisor of the associated meromorphic function on  $X_{\Gamma}$ . The cuspidal group on  $X_{\Gamma}$  is the group

$$C_{\Gamma} = \mathscr{D}_{\Gamma}^{0} / \operatorname{div}_{\Gamma}(U(\Gamma)).$$

We will view  $C_{\Gamma}$  as a subgroup of Pic<sup>0</sup>( $X_{\Gamma}$ ). A theorem of Manin and Drinfeld [2, 11] asserts that  $C_{\Gamma}$  is a finite group.

Let  $\mathscr{E}_{\Gamma}$  denote the space of weight two Eisenstein series over  $\Gamma$ . If  $E \in \mathscr{E}_{\Gamma}$ , then E(z) dz is a  $\Gamma$ -invariant differential form on  $\Re$ . Let  $\omega_{\Gamma}(E)$  denote the 1-form on  $X_{\Gamma}$  whose pull-back to  $\Re$  is E(z) dz. Then  $\omega_{\Gamma}(E)$  is regular on  $Y_{\Gamma}$  but may have simple poles at the cusps. Integration of  $\omega_{\Gamma}(E)$  on  $Y_{\Gamma}$  induces a homomorphism

(1.1) 
$$H_1(Y_{\Gamma}; \mathbf{Z}) \xrightarrow{\int \omega_{\Gamma}(E)} \mathbf{C}$$

whose image,  $\mathscr{P}_{\Gamma}(E)$ , is the group of periods of  $\omega_{\Gamma}(E)$  on  $Y_{\Gamma}$ . The residual divisor of  $\omega_{\Gamma}(E)$  on  $X_{\Gamma}$  is the divisor

(1.2) 
$$\delta_{\Gamma}(e) = \sum_{x \in \operatorname{cusps}(\Gamma)} r_{\Gamma,E}(x) \cdot (x),$$

where  $r_{\Gamma,E}(x) = 2\pi i \cdot \operatorname{Res}_x \omega_{\Gamma}(E)$ . This is a divisor of degree zero with complex coefficients. Let  $\mathscr{R}_{\Gamma}(E)$  be the **Z**-submodule of **C** generated by the coefficients of  $\delta_{\Gamma}(E)$ . Since  $r_{\Gamma,E}(x)$  is the integral of  $\omega_{\Gamma}(E)$  over a parabolic cycle about x we have  $\mathscr{R}_{\Gamma}(E) \subseteq \mathscr{P}_{\Gamma}(E)$ .

A simple calculation shows that if  $g \in U(\Gamma)$ , then  $E(z) = (2\pi i)^{-1}g'(z)/g(z)$  is a weight two modular form over  $\Gamma$ . In fact E is an Eisenstein series. This can be seen by verifying directly that E is orthogonal to the space of cusp forms under the Petersson inner product (or see [19, §2.4]). The invariance of g(z) under  $\Gamma$  is equivalent to  $\mathscr{P}_{\Gamma}(E) \subseteq \mathbb{Z}$ . If  $\mathscr{E}_{\Gamma}(\mathbb{Z})$  is the lattice of Eisenstein series  $E \in \mathscr{E}_{\Gamma}$  for which  $\mathscr{P}_{\Gamma}(E) \subseteq \mathbb{Z}$ , then logarithmic differentiation gives an isomorphism

$$U(\Gamma)/\mathbb{C}^* \xrightarrow[\sim]{(2\pi i)^{-1} d \log/dz} \mathscr{E}_{\Gamma}(\mathbb{Z}).$$

Moreover, if  $E(z) = (2\pi i)^{-1}g'(z)/g(z)$ , then  $\delta_{\Gamma}(E) = \operatorname{div}_{\Gamma}(g)$ . So the cuspidal group can be described using the Eisenstein series:

$$C_{\Gamma} = \mathscr{D}_{\Gamma}^{0} / \delta_{\Gamma} (\mathscr{E}_{\Gamma}(\mathbf{Z})).$$

For an arbitrary **Z**-submodule, M, of **C** let  $\mathscr{E}_{\Gamma}(M) = \{E \in \mathscr{E}_{\Gamma} | \mathscr{P}_{\Gamma}(E) \subseteq M\}$ . For  $E \in \mathscr{E}_{\Gamma}$  let  $A_{\Gamma}(E) = \mathscr{P}_{\Gamma}(E) / \mathscr{R}_{\Gamma}(E)$ .

**PROPOSITION 1.1.** (a) For a **Z**-module  $M \subseteq \mathbf{C}$  the natural map  $\mathscr{E}_{\Gamma}(\mathbf{Z}) \otimes_{\mathbf{Z}} M \to \mathscr{E}_{\Gamma}(M)$  is an isomorphism

(b) For  $E \in \mathscr{E}_{\Gamma}$ ,  $A_{\Gamma}(E)$  is finite.

**PROOF.** (a) It suffices to prove this when  $M = \mathbb{C}$ . By the Manin-Drinfeld theorem the image of  $\delta_{\Gamma}: \mathscr{E}_{\Gamma}(\mathbb{Z}) \to \mathscr{D}_{\Gamma}^{0}$  has finite index in  $\mathscr{D}_{\Gamma}^{0}$ . Thus extending scalars gives an isomorphism  $\delta_{\Gamma} \otimes 1: \mathscr{E}_{\Gamma}(\mathbb{Z}) \otimes_{\mathbb{Z}} \mathbb{C} \to \mathscr{D}_{\Gamma}^{0} \otimes_{\mathbb{Z}} \mathbb{C}$ . The homomorphism  $\delta_{\Gamma}: \mathscr{E}_{\Gamma}(\mathbb{C}) \to \mathscr{D}_{\Gamma}^{0} \otimes_{\mathbb{Z}} \mathbb{C}$  is also an isomorphism. Hence the commutativity of the diagram



proves (a).

(b) If  $0 \neq E_0 \in \mathscr{E}_{\Gamma}(\mathbb{Z})$ , then  $(0) \neq \mathscr{R}_{\Gamma}(E_0) \subseteq \mathbb{Z}$ . Hence  $\mathbb{Q} \cdot \mathscr{R}_{\Gamma}(E_0) = \mathbb{Q} \supseteq \mathscr{P}_{\Gamma}(E_0)$ . By (a) it follows that  $\mathscr{P}_{\Gamma}(E) \subseteq \mathbb{Q} \cdot \mathscr{R}_{\Gamma}(E)$  for any  $E \in \mathscr{E}_{\Gamma}$ . Since  $\mathscr{R}_{\Gamma}(E)$ ,  $\mathscr{P}_{\Gamma}(E)$  are finitely generated, (b) follows.  $\Box$ 

For a prime *l* not dividing *N* let  $T_l$  be the usual Hecke correspondence on  $X_{\Gamma}$  and for  $a \in (\mathbb{Z}/N\mathbb{Z})^*$  let  $\langle a \rangle$  be the associated Nebentypus automorphism of  $X_{\Gamma}$  [18, 19]. These induce operators on the lattice  $\mathscr{E}_{\Gamma}(\mathbb{Z})$  of integral Eisenstein series and on Pic<sup>0</sup>( $X_{\Gamma}$ ) preserving the cuspidal group  $C_{\Gamma}$ .

If  $\varepsilon_1$ ,  $\varepsilon_2$  are primitive Dirichet characters defined modulo N and  $E \in \mathscr{E}_{\Gamma}$  satisfies

$$E|T_{l} = (\varepsilon_{1}(l) + l\varepsilon_{2}(l))E$$

for all l + N, then we say E has signature  $(\varepsilon_1, \varepsilon_2)$ . In this case E has Nebentypus character  $\varepsilon_1 \varepsilon_2$ .

Fix an isomorphism

$$(\mathbb{Z}/N\mathbb{Z})^* \to \operatorname{Gal}(\mathbb{Q}(e(1/N))/\mathbb{Q})$$
$$a \mapsto (\tau_a: e(1/N) \mapsto e(a/N))$$

**THEOREM** 1.2. (a) To every  $E \in \mathscr{E}_{\Gamma}$  there is associated a canonical subgroup  $C_{\Gamma}(E) \subseteq C_{\Gamma}$  and a canonical perfect pairing

$$C_{\Gamma}(E) \times A_{\Gamma}(E) \rightarrow \mathbf{Q}/\mathbf{Z}$$

(b) If  $E \in \mathscr{E}_{\Gamma}(\mathbf{Q}[\varepsilon_1, \varepsilon_2])$  has signature  $(\varepsilon_1, \varepsilon_2)$ , then

(i)  $\mathscr{P}_{\Gamma}(E)$ ,  $\mathscr{R}_{\Gamma}(E)$  are fractional ideals in  $\mathbb{Q}[\varepsilon_1, \varepsilon_2]$ . Hence  $A_{\Gamma}(E)$  and by duality  $C_{\Gamma}(E)$  inherit natural structures of  $\mathbb{Z}[\varepsilon_1, \varepsilon_2]$ -modules.

(ii)  $C_{\Gamma}(E)$  is preserved by the action of  $\text{Gal}(\mathbf{Q}(e(1/N))/\mathbf{Q}), T_l(l+N)$  and  $\langle a \rangle$  $(a \in (\mathbf{Z}/N\mathbf{Z})^*)$ . In terms of the  $\mathbf{Z}[\varepsilon_1, \varepsilon_2]$ -module structure:

$$\begin{aligned} &\tau_a & acts \ as \ \varepsilon_1(a); \\ &T_l & acts \ as \ \varepsilon_1(l) + l\varepsilon_2(l); \\ &\langle a \rangle & acts \ as \ \varepsilon_1\varepsilon_2(a). \end{aligned}$$

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**PROOF.** We will define  $C_{\Gamma}(E)$  and prove (a). We refer the reader to [19, §3.2] for the proof of (b).

For  $E \in \mathscr{E}_{\Gamma}$  we have  $\delta_{\Gamma}(E) \in \mathscr{D}_{\Gamma}^{0} \otimes \mathscr{R}_{\Gamma}(E)$ . The map

$$\operatorname{Hom}(\mathscr{R}_{\Gamma}(E); \mathbf{Z}) \to \mathscr{D}_{\Gamma}^{0}$$
$$\phi \mapsto (1 \otimes \phi)(\delta_{\Gamma}(E))$$

is one-one. Let  $\mathscr{D}_{\Gamma}(E)$  be the image. Then  $C_{\Gamma}(E)$  is the image of  $\mathscr{D}_{\Gamma}(E)$  in  $C_{\Gamma}$ under the natural map  $\mathscr{D}_{\Gamma}^{0} \to C_{\Gamma}$ .

To see the pairing we observe that because of Proposition 1.1(b), any  $\phi \in$ Hom $(\mathscr{R}_{\Gamma}(E); \mathbb{Z})$  extends uniquely to a map  $\phi: \mathscr{P}_{\Gamma}(E) \to \mathbb{Q}$ . This gives us a natural pairing

$$\mathscr{D}_{\Gamma}(E) \times \mathscr{P}_{\Gamma}(E) \to \mathbf{Q}$$

Since  $(1 \otimes \phi)(\delta_{\Gamma}(E)) \in \mathscr{D}_{\Gamma}(E)$  is principal if and only if  $\phi(\mathscr{P}_{\Gamma}(E)) \subseteq \mathbb{Z}$  this induces a perfect pairing

$$C_{\Gamma}(E) \times A_{\Gamma}(E) \to \mathbf{Q}/\mathbf{Z}.$$

To understand the groups  $C_{\Gamma}(E)$  for  $E \in \mathscr{E}_{\Gamma}$  we must therefore have a satisfactory way of understanding the groups  $\mathscr{P}_{\Gamma}(E)$ ,  $\mathscr{R}_{\Gamma}(E)$ . The aim of the next theorem is to describe these groups in terms of special values of L-functions attached to E.

Let  $E \in \mathscr{E}_{\Gamma}$  and  $\gamma \in SL_2(\mathbb{Z})$ . Then  $E|\gamma$  has a Fourier expansion of the form

(1.3) 
$$(E|\gamma) = a_0(E|\gamma) + \sum_{n \ge 1} a_n(E|\gamma) e(nz/N)$$

for  $z \in \Re$ . The constant term  $a_0(E|\gamma)$  depends only on the  $\Gamma$ -orbit of  $\gamma \cdot i\infty \in \mathbb{P}^1(\mathbb{Q})$ . It is related to the *L*-series of  $E|\gamma$  by the formula [19, 2.2.1]

(1.4) 
$$a_0(E|\gamma) = -L(E|\gamma,0).$$

Let  $\chi$  be a primitive Dirichlet character whose conductor  $m_{\chi}$  is prime to N. The Dirichlet series

(1.5) 
$$\bar{\chi}(N)N^{s}\sum_{n\geq 1}a_{n}(E)\chi(n)n^{-s}$$

converges absolutely for  $\operatorname{Re}(s) > 2$  and extends to a meromorphic function on the complex plane with a possible simple pole at s = 2. Let  $L(E, \chi, s)$  denote this analytic continuation. If  $\chi$  is the trivial character we write simply L(E, s). As we will see in the next section (Lemma 2.2), the "special value"

(1.6) 
$$\Lambda(E,\chi,1) \stackrel{\text{dfn}}{=} \frac{\tau(\bar{\chi})L(E,\chi,1)}{2\pi i}$$

is in  $\mathscr{P}_{\Gamma}(E)[\chi, 1/m_{\chi}]$  if  $\chi$  is nontrivial.

If *m* is an odd prime, let  $\chi_m = (\cdot/m)$  be the quadratic character of conductor *m*. For a primitive nonquadratic Dirichlet character  $\chi$  whose conductor is a power of *m* let

(1.7) 
$$\Lambda_+(E,\chi,1) = \frac{1}{2} (\Lambda(E,\chi,1) \pm \Lambda(E,\chi\chi_m,1)).$$

In the following S will denote a set of positive primes satisfying

(1.8) (a) 
$$m \equiv 3 \pmod{4}, (m, N) = 1 \text{ for all } m \in S;$$
  
(b) S intersects every arithmetic progression of the form  $\{-1 + Nkr | r \in \mathbf{Z}\}.$ 

For such a set S, let  $\mathfrak{X}_S$  denote the set of primitive Dirichlet characters  $\chi$  such that  $m_{\chi} \in S$  and  $\chi$  is not quadratic.

THEOREM 1.3. Let  $E \in \mathscr{E}_{\Gamma}$ . (a) For  $x \in \text{cusps}(\Gamma)$ 

$$\mathbf{r}_{\Gamma,E}(\mathbf{x}) = \mathbf{e}_{\Gamma}(\mathbf{x}) \cdot \mathbf{a}_{0}(E|\mathbf{\gamma}_{\mathbf{x}}),$$

where  $e_{\Gamma}(x)$  is the ramification index or x over the j-line X(1), and  $\gamma_x \in SL_2(\mathbb{Z})$  is chosen arbitrarily so that  $\gamma_x \cdot i\infty$  is in the  $\Gamma$ -orbit of  $\mathbb{P}^1(\mathbb{Q})$  representing x.

(b) Suppose  $\Gamma$  is one of the groups  $\Gamma_1(N)$ , or  $\Gamma(N)$ . Let  $M \subseteq \mathbb{C}$  be a finitely generated **Z**-submodule of  $\mathbb{C}$ . Let S be a set of primes satisfying (1.8). Then  $E \in \mathscr{E}_{\Gamma}(M)$  if and only if

(i)  $\mathscr{R}_{\Gamma}(E) \subseteq M$ ; and

(ii) for every  $\chi \in \mathfrak{X}_{S}$ 

$$\Lambda_{\pm}(E,\chi,1) \in M[\chi,1/m_{\chi}].$$

**PROOF.** (a) Let  $x \in \text{cusps}(\Gamma)$ ,  $e = e_{\Gamma}(x)$  and  $\gamma = \gamma_x$ . The matrices  $\alpha = \gamma \begin{pmatrix} 1 & e \\ 0 & 1 \end{pmatrix} \gamma^{-1}$ and  $\begin{pmatrix} -1 & 0 \\ 0 & -1 \end{pmatrix}$  generate the parabolic subgroup of  $\Gamma$  stabilizing  $\gamma \cdot i\infty$ . Thus

$$r_{\Gamma,E}(x) = \int_{z_0}^{\alpha z_0} E(z) \, dz$$

for every  $z_0 \in \Re$ . Replacing  $z_0$  by  $\gamma z_0$  we obtain

$$r_{\Gamma,E}(x) = \int_{\gamma z_0}^{\gamma(_0^{1e}) z_0} E(z) dz = \int_{z_0}^{z_0 + e} (E|\gamma)(z) dz$$
$$= \frac{1}{N} \int_{z_0}^{z_0 + eN} (E|\gamma)(z) dz$$
$$= \frac{1}{N} (eN \cdot a_0(E|\gamma)) \quad (by (1.3))$$
$$= e_{\Gamma}(x) \cdot a_0(E|\gamma_x).$$

The proof of (b) is given in the next section.

**2.** Special values of *L*-functions. As in §1, *N* is a positive integer and  $\Gamma$  is one of the groups,  $\Gamma(N)$ ,  $\Gamma_1(N)$  or  $\Gamma_0(N)$ .

Let  $\chi$  be a nontrivial primitive Dirichlet character of conductor m with (m, N) = 1. If  $x, y \in \mathbb{P}^1(\mathbb{Q})$  are  $\Gamma$ -equivalent we will write  $\{x, y\}_{\Gamma} \in H_1(X_{\Gamma}; \mathbb{Z})$  for the homology class represented by the oriented geodesic in  $\Re$  joining x to y. The universal special value  $\Lambda(\chi) \in H_1(X_{\Gamma}; \mathbb{Z}[\chi])$  of the *L*-function of  $X_{\Gamma}$  twisted by  $\chi$  is defined by

(2.1) 
$$\Lambda(\chi) = \sum_{\substack{a=0\\(a,m)=1}}^{m-1} \overline{\chi}(Na) \left\{ x, \frac{Na}{m} \right\}_{\Gamma}.$$

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Here  $x \in \mathbb{P}^1(\mathbb{Q})$  may be chosen arbitrarily in the  $\Gamma$ -orbit of N/m. Since the rational numbers Na/m, (a, m) = 1, are  $\Gamma$ -equivalent, this expression is well defined. In case  $m \equiv \pm 1 \pmod{N}$  we may choose x = 0.

In case the conductor of  $\chi$  is  $m = p^n$  for an odd prime  $p \equiv 3 \pmod{4}$  and satisfies the congruence  $m \equiv \pm 1 \pmod{N}$  we let

(2.2) 
$$\Lambda_{\pm}(\chi) = \sum_{\substack{a=0\\\chi_p(Na)=\pm 1}}^{m-1} \overline{\chi}(Na) \Big\{0, \frac{Na}{m}\Big\}_{\Gamma}.$$

Hence  $\Lambda(\chi) = \Lambda_{+}(\chi) + \Lambda_{-}(\chi)$ .

If A is a  $\mathbb{Z}[\chi]$ -module and  $\varphi: H_1(X_{\Gamma}; \mathbb{Z}) \to A$  is a cohomology class on  $X_{\Gamma}$ , the special value of the L-function of  $\varphi$  twisted by  $\chi$  is

(2.3) 
$$\Lambda(\varphi, x) = (\varphi \otimes 1)(\Lambda(\chi)).$$

We will also let

(2.4) 
$$\Lambda_{+}(\varphi, \chi) = (\varphi \otimes 1)(\Lambda_{+}(\chi)).$$

If S is a set of primes satisfying (1.8) let  $\mathbb{Z}[\mathfrak{X}_S]$  denote the ring generated over  $\mathbb{Z}$  by the values of the characters  $\chi \in \mathfrak{X}_S$ . Let  $\mathfrak{X}_S^+$  (resp.  $\mathfrak{X}_S^-$ ) be the set of  $\chi \in \mathfrak{X}_S$  such that  $\chi(-1) = 1$  (resp.  $\chi(-1) = -1$ ).

THEOREM 2.1. Suppose  $\Gamma$  is one of  $\Gamma(N)$  or  $\Gamma_1(N)$ . Let S be a set of primes satisfying (1.8), and A be a  $\mathbb{Z}[\mathfrak{X}_S]$ -module. Let  $\varphi: H_1(X_{\Gamma}; \mathbb{Z}) \to A$  be a cohomology class satisfying  $\Lambda_+(\varphi, \chi) = \Lambda_-(\varphi, \chi) = 0$  for every  $\chi \in \mathfrak{X}_S^+$ . Then  $\varphi = 0$ .

**REMARK.** In case the kernel of multiplication by 2 in A is zero, the condition  $\Lambda_+(\varphi, \chi) = \Lambda_-(\varphi, \chi) = 0$  for all  $\chi \in \mathfrak{X}_S^+$  is equivalent to  $\Lambda(\varphi, \chi) = 0$  for all  $\chi \in \mathfrak{X}_S$ . This follows from the equalities

$$2 \cdot \Lambda_{\pm}(\varphi, \chi) = \Lambda(\varphi, \chi) \pm \Lambda(\varphi, \chi\chi_m)$$

with  $\chi \in \mathfrak{X}_{S}^{+}$  of conductor *m*.

**PROOF OF THEOREM 2.1.** Fix a prime ideal  $\mathfrak{p} \subseteq \mathbb{Z}[\mathfrak{X}_S]$  and let  $A_\mathfrak{p}$  be the localization of A at  $\mathfrak{p}$ . Let  $\varphi_\mathfrak{p}$  be the composition of  $\varphi$  with the localization map  $A \to A_\mathfrak{p}$ .

If  $\gamma \in \Gamma$ , then the homology class  $\{x, \gamma x\}_{\Gamma}$  is independent of  $x \in \mathbb{P}^{1}(\mathbb{Q})$ . Thus the map

$$\Gamma \to H_1(X_{\Gamma}; \mathbf{Z})$$
$$\gamma \mapsto \{x, \gamma x\}_{\Gamma}$$

is a surjective homomorphism which contains the parabolic elements in its kernel. Define  $\Phi_p: \Gamma \to A_p$  by  $\Phi_p(\gamma) = \varphi_p(\{x, \gamma x\}_{\Gamma})$ .

We will prove  $\Phi_{v} = 0$  in three steps.

(1) If  $m \in S$ ,  $m \equiv -1 \pmod{N^2}$  and  $\mathfrak{p} + ((m-1)/2)$ , then  $\varphi_{\mathfrak{p}}(\{0, Na/m\}_{\Gamma}) = 0$  whenever (m, Na) = 1.

Let  $a \in \mathbb{Z}$  such that (a, m) = 1. A standard character calculation shows

$$\varphi_{\mathfrak{p}}\left(\left\{0,\frac{Na}{m}\right\}_{\Gamma}\right) = \frac{2}{m-1} \sum_{\chi \neq 1} \chi(Na) \Lambda_{\pm}(\varphi_{\mathfrak{p}},\chi) + \frac{2}{m-1} \sum_{\substack{k=1\\\chi_{m}(Nk)=\pm 1}}^{m-1} \varphi_{\mathfrak{p}}\left(\left\{0,\frac{Nk}{m}\right\}_{\Gamma}\right)$$

where the sum is over nontrivial characters  $\chi$  on  $(\mathbb{Z}/m\mathbb{Z})^*$  such that  $\chi(-1) = 1$  and the  $\pm$  sign is chosen according as  $\chi_m(Na) = \pm 1$ . By the hypotheses of the theorem the terms  $\Lambda_{\pm}(\varphi_p, \chi)$  are zero. It follows that  $\varphi_p(\{0, Na/m\})$  depends only on the square class of *a* modulo *m*. We may therefore assume  $a = \pm 1$ , the choice of sign being as above.

There is a  $k \in \mathbb{Z}$  such that  $m = -1 + N^2 k$ . Let  $\beta = \begin{pmatrix} 1 & 0 \\ \pm Nk & 1 \end{pmatrix} \in \Gamma$ . Then  $\beta \cdot (0) = (0)$  and  $\beta \cdot (\mp N) = \pm N/m$ . Hence

$$\varphi_{\mathfrak{p}}\left(\left\{0, \frac{Na}{m}\right\}_{\Gamma}\right) = \varphi_{\mathfrak{p}}\left(\left\{0, \frac{\pm N}{m}\right\}_{\Gamma}\right) = \varphi_{\mathfrak{p}}\left(\left\{\beta \cdot (0), \beta \cdot (\mp N)\right\}_{\Gamma}\right)$$
$$= \varphi_{\mathfrak{p}}\left(\left\{0, \mp N\right\}_{\Gamma}\right) = \Phi_{\mathfrak{p}}\left(\left\{1 \quad \mp N\\ 0 \quad 1\right\}\right) = 0$$

since  $\begin{pmatrix} 1 & \pm N \\ 0 & 1 \end{pmatrix} \in \Gamma$  is parabolic.

(2)  $\Phi_{\mathfrak{p}}$  vanishes on the subgroup

$$\Gamma'(N) = \left\{ \begin{pmatrix} a & Nb \\ Nc & d \end{pmatrix} \in \Gamma(N) \middle| a \equiv d \equiv \pm 1 \pmod{N^2} \right\}$$

of Γ.

Let  $\gamma = \begin{pmatrix} a & Nb \\ Nc & d \end{pmatrix} \in \Gamma'(N)$ . Without loss of generality we may assume that  $d \equiv -1 \pmod{N^2}$ . We may choose  $k \in \mathbb{Z}$  so that  $m = d + N^2 bk$  is a prime in S satisfying the congruence  $m \equiv -1 \pmod{4N^2 p}$ . Then  $(m - 1)/2 = (m + 1)/2 - 1 \equiv -1 \pmod{p}$  so p + ((m - 1)/2). Thus m satisfies the hypotheses of (1).

Let  $\beta = \begin{pmatrix} 1 & 0 \\ Nk & 1 \end{pmatrix} \in \Gamma$ . Then

$$\begin{split} \Phi_{\mathfrak{p}}(\gamma) &= \varphi_{\mathfrak{p}}\left(\left\{0, \frac{Nb}{d}\right\}_{\Gamma}\right) = \varphi_{\mathfrak{p}}\left(\left\{\beta \cdot (0), \beta \cdot \left(\frac{Nb}{d}\right)\right\}_{\Gamma}\right) \\ &= \varphi_{\mathfrak{p}}\left(\left\{0, \frac{Nb}{m}\right\}\right) = 0; \end{split}$$

the last equality being a consequence of (1).

(3)  $\Phi_{\mathfrak{p}} = 0.$ 

LEMMA (FRICKE, WOHLFAHRT). Let N' be a positive integer divisible by N. Then  $\Gamma(N)$  is generated by  $\Gamma(N')$  and the parabolic elements of  $\Gamma(N)$ .  $\Box$ 

As a consequence we see that  $\Gamma$  is generated by  $\Gamma'(N)$  and the parabolic elements of  $\Gamma$ . If  $\Gamma = \Gamma(N)$ , then this follows from the lemma with  $N' = N^2$ . Since  $\Gamma_1(N)$  is generated by  $\Gamma(N)$  and the parabolic element  $\begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix}$ , the statement is valid for  $\Gamma = \Gamma_1(N)$  as well.

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Since  $\Phi_{\nu}$  vanishes on  $\Gamma'(N)$  and on parabolic elements of  $\Gamma$ , (3) follows.

The homomorphism  $\Gamma \to H_1(X_{\Gamma}; \mathbb{Z})$  is surjective. Therefore  $\varphi_{\mathfrak{p}} = 0$ . Since this is true for all  $\mathfrak{p}$  we have  $\varphi = 0$ .  $\Box$ 

We now return to the proof of Theorem 1.3(b). Let  $E \in \mathscr{E}_{\Gamma}$  and let  $\mathscr{R} = \mathscr{R}_{\Gamma}(E)$ ,  $\mathscr{P} = \mathscr{P}_{\Gamma}(E)$ . Integration of  $\omega_{\Gamma}(E)$  on  $Y_{\Gamma}$  induces a map

$$H_1(Y_{\Gamma}; \mathbb{Z}) \to \mathscr{P}/\mathscr{R} = A_{\Gamma}(E)$$

Since the parabolic classes are contained in the kernel, this map factors through a homomorphism

(2.5) 
$$\varphi_E \colon H_1(X_{\Gamma}; \mathbb{Z}) \to A_{\Gamma}(E).$$

We will refer to this as the parabolic cohomology class associated to E.

If  $\chi$  is a Dirichlet character of conductor *m*, let  $\varphi_{E,\chi}$  be the composition

(2.6) 
$$\varphi_{E,\chi}: H_1(X_{\Gamma}; \mathbb{Z}) \to A_{\Gamma}(E) \to \mathscr{P}[\chi, 1/m]/\mathscr{R}[\chi, 1/m].$$

LEMMA 2.2. Let  $p \equiv 3 \pmod{4}$  be an odd prime and  $\chi \neq 1$  be an even primitive Dirichlet character of conductor  $m = p^n$ . Suppose  $m \equiv \pm 1 \pmod{N}$ . Then

- (1)  $\Lambda_+(E, \chi, 1) \in \mathscr{P}[\chi, 1/m]$ ; and
- (2)  $\Lambda_{\pm}(\varphi_{E,\chi},\chi) \equiv \Lambda_{\pm}(E,\chi,1) \pmod{\mathscr{R}[\chi,1/m]}.$

**PROOF.** We will use Schoeneberg's cocycle  $\Pi_E$ :  $SL_2(\mathbb{Z}) \to \mathbb{C}$  [17, 19]. The basic properties are:

(a)  $\Pi_E(\alpha\beta) = \Pi_E(\alpha) + \Pi_{E|\alpha}(\beta)$  for  $\alpha, \beta \in SL_2(\mathbb{Z})$ . (b) If  $\gamma \in \Gamma$ , then

$$\Pi_E(\gamma) = \int_{z_0}^{\gamma z_0} E(z) \, dz$$

for arbitrary  $z_0 \in \Re$ .

(c) If  $\alpha = \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in SL_2(\mathbb{Z})$  and  $c \neq 0$ , then

$$\Pi_E(\alpha) = \frac{a}{c}a_0(E) + \frac{d}{c}a_0(E|\alpha) + D_E\left(\frac{a}{c}\right),$$

where

$$D_E\left(\frac{a}{c}\right) = \frac{1}{2\pi i} L\left(E\left(\begin{array}{cc}1 & a/c\\0 & 1\end{array}\right), 1\right).$$

The term  $D_E(a/c)$  is usually expressed in terms of generalized Dedekind sums but we will not need these expressions here. The formula

(2.7) 
$$\Lambda(E,\chi,1) = \sum_{a=1}^{m-1} \overline{\chi}(Na) D_E\left(\frac{Na}{m}\right)$$

is an easy consequence of (c) (see [19, 3.1.1]).

Because of (b) we have

$$\varphi_E(\{x,\gamma x\}_{\Gamma}) \equiv \prod_E(\gamma) \pmod{\mathscr{R}}$$

for every  $x \in \mathbb{P}^1(\mathbb{Q})$  and  $\gamma \in \Gamma$ . If  $a \in \mathbb{Z}$  and (a, m) = 1, let  $\gamma_a \in \Gamma$  be any element for which  $\gamma_a \cdot (0) = (Na/m)$ . So  $\gamma_a = \begin{pmatrix} b & Na \\ Na' & m \end{pmatrix}$  for some choice of  $a', b \in \mathbb{Z}$ . Let  $w = \begin{pmatrix} 1 & -1 \\ 1 & 0 \end{pmatrix}$ . Then  $\gamma_a w = \begin{pmatrix} Na & -b \\ m & -Na' \end{pmatrix}$  and by (c)

$$\Pi_E(\gamma_a w) = \frac{Na}{m} a_0(E) - \frac{Na'}{m} a_0(E|w) + D_E\left(\frac{Na}{m}\right).$$

Since  $N \cdot a_0(E)$ ,  $Na_0(E|w) \in \mathscr{R}$  by Theorem 1.3(a), we see

$$\Pi_E(\gamma_a w) \equiv D_E\left(\frac{Na}{m}\right) \pmod{\mathscr{R}\left[\frac{1}{m}\right]}.$$

On the other hand, by (a), (c) we have

$$\Pi_E(\gamma_a w) = \Pi_E(\gamma_a) + \Pi_E(w), \qquad \Pi_E(w) = D_E(0).$$

We therefore have the congruence

$$\Pi_{E}(\gamma_{a}) \equiv D_{E}\left(\frac{Na}{m}\right) - D_{E}(0) \pmod{\mathscr{R}\left[\frac{1}{m}\right]}.$$

Calculating modulo  $\mathscr{R}[\chi, 1/m]$  we find

$$\Lambda_{\pm}(\varphi_{E,\chi},\chi) = \sum_{\substack{a=0\\\chi_{p}(Na)=\pm 1}}^{m-1} \overline{\chi}(Na)\varphi_{E,\chi}\left(\left\{0,\frac{Na}{m}\right\}_{\Gamma}\right) \equiv \sum_{\substack{\chi_{p}(Na)=\pm 1}} \overline{\chi}(Na)\Pi_{E}(\gamma_{a})$$
$$\equiv \sum_{\substack{\chi_{p}(Na)=\pm 1}} \overline{\chi}(Na)D_{E}\left(\frac{Na}{m}\right) \quad \text{(since }\chi \text{ is nontrivial)}$$
$$= \frac{1}{2}\sum_{\substack{a=0\\(a,m)=1}}^{m-1} \overline{\chi}(Na)(1\pm\chi_{p}(Na))D_{E}\left(\frac{Na}{m}\right) = \Lambda_{\pm}(E,\chi,1).$$

This proves both (1) and (2) of Lemma 2.2.  $\Box$ 

**PROOF OF THEOREM 1.3(b).** There is a number field F such that for any other number field K linearly disjoint from F the natural map  $M \otimes K \to MK$  is an isomorphism. Let  $d_F$  be the discriminant of F and

$$S' = \{ m \in S | (d_F, (m-1)/2) = 1 \}.$$

Then S' satisfies (1.8) and if  $\chi \in \mathfrak{X}_{S'}$ , then  $\mathbb{Q}[\chi]$  is linearly disjoint from F.

Let p be prime and  $M_p \subseteq \mathbb{C}$  be the localization of M at p. Let  $A_p = \mathbb{C}/M_p[\mathfrak{X}_{S'}]$ . Since  $\mathscr{R}_{\Gamma}(E) \subseteq M$ , integration of  $\omega(E)$  induces a parabolic cohomology class  $\varphi_p$ :  $H_1(\mathfrak{X}_{\Gamma}; \mathbb{Z}) \to A_p$ . By hypothesis  $\Lambda_{\pm}(E, \chi, 1) \in M_p[\mathfrak{X}_{S'}]$  for every  $\chi \in \mathfrak{X}_{S'}^+$  with  $m_{\chi} \neq p$ . By Lemma 2.2 we have  $\Lambda_{\pm}(\varphi_p, \chi) = 0$  for these  $\chi$ . Then by Theorem 2.1,  $\varphi_p = 0$ . Hence  $\mathscr{P}_{\Gamma}(E) \subseteq M_p[\mathfrak{X}_{S'}]$ . Since this is true for every prime p we have  $\mathscr{P}_{\Gamma}(E) \subseteq M[\mathfrak{X}_{S'}]$ . On the other hand because of Proposition 1.1(b),  $\mathscr{P}_{\Gamma}(E) \subseteq \mathbb{Q} \cdot \mathscr{R}_{\Gamma}(E) \subseteq \mathbb{Q} \cdot M$ . Thus

$$\mathscr{P}_{\Gamma}(E) \subseteq \mathbf{Q} \cdot M \cap M[\mathfrak{X}_{S'}].$$

Since  $\mathbf{Q}[\mathfrak{X}_{S'}] \cap F = \mathbf{Q}$ , we have  $\mathbf{Q} \cdot M \cap M[\mathfrak{X}_{S'}] = M$ . Therefore  $E \in \mathscr{E}_{\Gamma}(M)$  as claimed.  $\Box$ 

3. Modular units. Let  $U = \bigcup_{N>0} U(\Gamma(N))$  be the group of modular units of all levels. For each positive integer N let  $U_N$  be the subgroup of U consisting of the units  $g \in U$  such that  $g^m \in U(\Gamma(N))$  for some m.

A theorem of Kubert [7, 8] gives a complete description of  $U_N/\mathbb{C}^*$  by describing both its elements and its structure as an  $SL_2(\mathbb{Z})$ -module. In this section we recall Kubert's theorem and use Theorem 1.3 to give another proof.

For N > 0 let  $D_N$  be the free abelian group generated by the symbols

$$\mathbf{x} \in \frac{1}{N} \mathbf{Z}^2 \setminus \{\mathbf{0}\}.$$

Let  $D = \text{inj} \lim D_N$ . Let  $R \subseteq D$  be the subgroup of "distribution relations" generated by the elements

(3.1) 
$$r_n(\mathbf{x}) = \begin{cases} \sum_{\substack{ny=x \\ y \neq \mathbf{0}}} (\mathbf{y}) - (\mathbf{x}) & \text{if } \mathbf{x} \neq \mathbf{0}, \\ \sum_{\substack{ny=(\mathbf{0}) \\ \mathbf{y} \neq \mathbf{0}}} (\mathbf{y}) & \text{if } \mathbf{x} = \mathbf{0}, \end{cases}$$

where *n* is a positive integer and  $\mathbf{x} \in \mathbf{Q}^2/\mathbf{Z}^2$ . Let  $\mathbb{U} = D/R$ . The universal (punctured) distribution is the natural map

$$(3.2) Q2/Z2 \setminus \{0\} \to U.$$

For a positive integer N let  $\mathbb{U}_N \subseteq \mathbb{U}$  be the image of  $D_N$ .

The natural right action of  $SL_2(\mathbf{Z})$  on  $\mathbf{Q}^2/\mathbf{Z}^2 \setminus \{\mathbf{0}\}$  defined by

(3.3) 
$$\gamma = \begin{pmatrix} a & b \\ c & d \end{pmatrix} : \mathbf{x} = (x_1, x_2) \mapsto \mathbf{x}\gamma = (ax_1 + cx_2, bx_1 + dx_2)$$

extends to an action on D leaving R invariant. The induced action of  $SL_2(\mathbb{Z})$  on  $\mathbb{U}$  preserves the subgroup  $\mathbb{U}_N$ . For  $u \in \mathbb{U}$  and  $\gamma \in SL_2(\mathbb{Z})$  we will write  $\gamma^* u$  for the result of applying  $\gamma$  to u. Let  $\mathbb{U}^+$  be the subgroup of even elements of  $\mathbb{U}$ , that is, the elements  $u \in \mathbb{U}$  for which  $(-1)^* u = u$ . Since (-1) is in the center of  $SL_2(\mathbb{Z})$ ,  $\mathbb{U}^+$  is an  $SL_2(\mathbb{Z})$ -submodule of  $\mathbb{U}$ . Let  $\mathbb{U}_N^+ = \mathbb{U}_N \cap \mathbb{U}^+$ .

For  $\mathbf{x} = (x_1, x_2) \in \mathbf{Q}^2 / \mathbf{Z}^2 \setminus \{\mathbf{0}\}$  define the function  $j_{\mathbf{x}}(z)$  on  $\Re$  by its Fourier expansion:

(3.4) 
$$j_{\mathbf{x}}(z) = e\left(\frac{1}{4}\mathbf{B}_{2}(x_{1})z\right) \prod_{\substack{k \equiv x_{1} \pmod{\mathbf{Z}} \\ k > 0}} \left(1 - e(kz + x_{2})\right).$$

Then  $j_{\mathbf{x}}(z)$  has no poles or zeros on  $\Re$ . Let  $J_N$  be the multiplicative group generated by  $\mathbf{C}^*$  and the functions  $j_{\mathbf{x}}(z)$ ,  $\mathbf{x} \in \frac{1}{N}\mathbf{Z}^2/\mathbf{Z}^2 \setminus \{\mathbf{0}\}$ , and let  $J = \bigcup_{N>0} J_N$ . It is not difficult to verify the distribution relations:

(3.5) 
$$\prod_{\substack{ny=x\\ y\neq 0}} j_y = j_x, \qquad \prod_{\substack{ny=0\\ y\neq 0}} j_y = 1$$

for n > 0 and  $\mathbf{x} \in \mathbf{Q}^2/\mathbf{Z}^2 \setminus \{\mathbf{0}\}$ . Hence the homomorphism  $D \to J$  defined by  $\mathbf{x} \mapsto j_{\mathbf{x}}(z)$  factors through the universal distribution to give a homomorphism  $j: \mathbb{U} \to J$ . Let  $J_N^+$  be the subgroup of  $J_N$  generated by  $\mathbb{C}^*$  and  $j(\mathbb{U}_N^+)$ .

THEOREM (KUBERT). (1)  $U_N = J_N^+$ .

(2) The homomorphism  $\mathbb{U}_N^+ \to U_N/\mathbb{C}^*$ , induced by *j*, is an isomorphism of  $\mathrm{SL}_2(\mathbb{Z})$ -modules.

We will restrict our attention to what appears to be the most difficult part of the proof, namely the inclusion  $J_N^+ \subseteq U_N$ . For the rest of the proof we refer the reader to [7 or 6, Chapter 4].

We will prove  $J_N^+ \subseteq U_N$  by verifying the corresponding statement about weight two Eisenstein series.

Let  $\mathscr{E}(\mathbf{Z}) = \bigcup_{N>0} \mathscr{E}_{\Gamma(N)}(\mathbf{Z})$ . Logarithmic differentiation gives an isomorphism

(3.6) 
$$U/C^* \xrightarrow{(2\pi i)^{-1} d \log/dz} \mathscr{E}(\mathbf{Z}).$$

The image of  $U_N/\mathbb{C}^*$  is  $\mathscr{E}(\mathbb{Z}) \cap \mathscr{E}_{\Gamma(N)}$ .

For  $\mathbf{x} = (x_1, x_2) \in \mathbf{Q}^2 / \mathbf{Z}^2 \setminus \{\mathbf{0}\}$ , let  $l_{\mathbf{x}}$  be the function on  $\Re$  defined by

(3.7) 
$$l_{\mathbf{x}}(z) = \frac{1}{2\pi i} j'_{\mathbf{x}}(z) / j_{\mathbf{x}}(z)$$
$$= \frac{1}{4} \mathbf{B}_{2}(x_{1}) - \sum_{\substack{k \equiv x_{1} \pmod{\mathbf{Z}} \\ k \in \mathbf{Q}^{+}}} k \cdot \sum_{m=1}^{\infty} e(m(kz + x_{2}))$$

Let  $L_N$  be the additive group generated by the functions  $l_x$ ,  $\mathbf{x} \in \frac{1}{N} \mathbf{Z}^2 \setminus \{\mathbf{0}\}$ . Define  $l: \mathbb{U}_N \to L_N$  to be the composition

$$\mathbb{U}_N \xrightarrow{j} J_N \xrightarrow{(2\pi i)^{-1} d \log/dz} L_N$$

and let  $L_N^+ = l(\mathbb{U}_N^+)$ .

The inclusion  $J_N^+ \subseteq U_N$  is an immediate consequence of the following proposition. Moreover, we see that the functions in  $J_N^+$  are modular of level  $N' = 4 \cdot \text{lcm}(6, N^2)$ .

PROPOSITION 3.1. (1)  $L_N^+ \subseteq \mathscr{E}_{\Gamma(N)}$ ; (2)  $L_N^+ \subseteq \mathscr{E}_{\Gamma(N')}(\mathbb{Z})$ , where  $N' = 4 \cdot \operatorname{lcm}(6, N^2)$ .

**PROOF.** (1) For  $\mathbf{x} \in \frac{1}{N} \mathbf{Z}^2 \setminus \{\mathbf{0}\}$  let

(3.8) 
$$\phi_{\mathbf{x}} = l_{\mathbf{x}} + l_{-\mathbf{x}}, \quad \eta_{\mathbf{x}} = j_{\mathbf{x}} \cdot j_{-\mathbf{x}}.$$

A comparison of Fourier expansions shows that  $\eta_x$  is a nonzero multiple of the Siegel unit  $g_x$  [6, p. 29]. Hence for  $\gamma \in SL_2(\mathbb{Z})$ ,

$$\eta_{\mathbf{x}}(\gamma z) = c \cdot \eta_{\mathbf{x}\gamma}(z)$$

for a root of unity c. Taking logarithmic derivatives we find

$$(3.9) \qquad \qquad \phi_{\mathbf{x}}|\boldsymbol{\gamma} = \phi_{\mathbf{x}\boldsymbol{\gamma}}.$$

In case  $\gamma \in \Gamma(N)$  this equality says  $\phi_x | \gamma = \phi_x$ . Thus  $\phi_x \in \mathscr{E}_{\Gamma(N)}$ .

For  $E \in L_N^+$  there is a  $u \in \mathbb{U}_N^+$  such that l(u) = E. Then  $2 \cdot E = l(u + (-1)^* u)$  is a **Z**-linear combination of the functions  $\phi_x$ ,  $\mathbf{x} \in \frac{1}{N} \mathbb{Z}^2 / \mathbb{Z}^2 \setminus \{\mathbf{0}\}$ . In particular,  $E \in \mathscr{E}_{\Gamma(N)}$ .

(2) Let  $\Gamma = \Gamma(N')$ ,  $N' = 4 \cdot \text{lcm}(6, N^2)$ . Let  $E \in L_N^+$ . By (1) we have  $E \in \mathscr{E}_{\Gamma}$ . We will use Theorem 1.3 to show  $E \in \mathscr{E}_{\Gamma}(\mathbb{Z})$ .

We begin by proving  $\mathscr{R}_{\Gamma}(E) \subseteq \mathbb{Z}$ . By the argument of (1) we know that 2E is a **Z**-linear combination of the Eisenstein series  $\phi_x$ ,  $\mathbf{x} \in \frac{1}{N} \mathbb{Z}^2 / \mathbb{Z}^2 \setminus \{\mathbf{0}\}$ . Hence it suffices to prove  $\mathscr{R}_{\Gamma}(\phi_x) \subseteq 2\mathbb{Z}$  for each  $\mathbf{x}$ . Since the ramification index of every cusp on  $X_{\Gamma}$  over X(1) is N', Theorem 1.3(a) shows that  $\mathscr{R}_{\Gamma}(\phi_x)$  is generated as a Z-module by the numbers

$$N' \cdot a_0(\phi_{\mathbf{x}\gamma}), \qquad \gamma \in \mathrm{SL}_2(\mathbf{Z})$$

If  $\mathbf{x}\gamma = (x_1, x_2)$ , then an examination of the Fourier expansion of  $\phi_{\mathbf{x}\gamma}$  reveals  $a_0(\phi_{\mathbf{x}\gamma}) = \frac{1}{2}\mathbf{B}_2(x_1)$ . Hence  $N' \cdot a_0(\phi_{\mathbf{x}\gamma}) \in 2\mathbf{Z}$ , as desired.

To complete the proof we must study the values  $\Lambda(E, \chi, 1)$  for  $E \in L_N^+$  and nontrivial primitive Dirichlet characters  $\chi$  of conductor prime to N.

We may extend the definition of  $L(E, \chi, s)$  to all  $E \in L_N$  by setting

$$L(E,\chi,s) = \overline{\chi}(N)N^{s}\sum_{n=1}^{\infty}a_{n}(E)\chi(n)n^{-s}, \quad \operatorname{Re}(s) > 2,$$

if  $E = a_0(E) + \sum_{n=1}^{\infty} a_n(E)e(nz/N)$ . For  $\mathbf{x} = (x_1, x_2) \in \frac{1}{N} \mathbb{Z}^2 / \mathbb{Z}^2 \setminus \{\mathbf{0}\}$  it is not hard to check the equality

(3.10) 
$$L(l_{\mathbf{x}}, \boldsymbol{\chi}, s) = -\boldsymbol{\zeta}(s-1, \boldsymbol{\chi}, x_1) \cdot Z(s, \boldsymbol{\chi}, x_2),$$

where

(3.11)  
$$\zeta(s, \chi, x_1) = \overline{\chi}(N) \sum_{\substack{k \equiv x_1 \pmod{\mathbf{Z}} \\ k > 0}} \chi(Nk) k^{-s},$$
$$Z(s, \chi, x_2) = \sum_{n=1}^{\infty} \chi(n) e(nx_2) n^{-s}.$$

Since  $\zeta$  and Z are Hurwitz zeta functions they converge absolutely in the half-plane  $\operatorname{Re}(s) > 1$  and extend to meromorphic functions with possible simple poles only at s = 1. For a general Hurwitz zeta function H(s) represented by a Dirichlet series  $\sum_{n=1}^{\infty} \psi(n) n^{-s}$  with a periodic function  $\psi$  of period A, the residue at s = 1 is given by

$$\frac{1}{A}\sum_{n=1}^{A}\psi(n)$$

Hence  $\zeta(s, \chi, x_1)$  and  $Z(s, \chi, x_2)$  are regular at s = 1. Since any  $E \in L_N$  is a linear combination of functions  $l_x$  we have the following

**LEMMA** 3.2. If  $E \in L_N$  and  $\chi$  is a nontrivial primitive Dirichlet character, then the Dirichlet series  $L(E, \chi, s)$  converges absolutely for Re(s) > 2 and extends to an entire function of  $s \in \mathbb{C}$ .  $\Box$ 

For  $E \in L_N$  we may define

$$\Lambda(E,\chi,1) = \frac{\tau(\bar{\chi})L(E,\chi,1)}{2\pi i}$$

as we did for Eisenstein series E.

Let

$$(3.12) S = \{ m \text{ prime} | m \equiv 3 \pmod{4} \text{ and } m \equiv -1 \pmod{N} \}.$$

Clearly, S satisfies (1.8). For  $\chi \in \mathfrak{X}_{S}^{+}$  of conductor m and  $E \in L_{N}$  let

$$\Lambda_{\pm}(E,\chi,1) = \frac{1}{2} \big( \Lambda(E,\chi,1) \pm \Lambda(E,\chi\chi_m,1) \big).$$

For the rest of the proof we will fix a prime  $m \in S$  and a character  $\chi \in \mathfrak{X}_{S}^{+}$  of conductor *m*. We will show  $\Lambda_{\pm}(E, \chi, 1) \in \mathbb{Z}[\chi, 1/m]$  for  $E \in L_{N}^{+}$ .

Let  $K = \mathbf{Q}(e(1/Nm))$  and fix an isomorphism

$$(\mathbb{Z}/n\mathbb{Z})^* \to G = \operatorname{Gal}(K/\mathbb{Q}(e(1/N)))$$
$$r \mapsto (\tau_r: e(1/m) \mapsto e(r/m)).$$

For a  $\mathbb{Z}[G]$ -module V let  $V(\chi)$  be the  $\mathbb{Z}[\chi, 1/m]$ -submodule of  $V \otimes_{\mathbb{Z}} \mathbb{Z}[\chi, 1/m]$  on which the squares in G act via  $\chi$ . Hence

$$V(\chi) = \left\{ v \in V \otimes \mathbb{Z}[\chi, 1/m] | \tau_r(v) = \chi(r)v \text{ for every} \\ quadratic residue \ r \in (\mathbb{Z}/m\mathbb{Z})^* \right\}.$$

Let W be the group of units in K written additively. Thus W is generated by the symbols  $\{\omega\}, \omega \in \mathfrak{o}_K^*$ , subject to the relations  $\{\omega_1\omega_2\} = \{\omega_1\} + \{\omega_2\}$  for  $\omega_1, \omega_2 \in \mathfrak{o}_K^*$ . Of course W is a  $\mathbb{Z}[G]$ -module.

Define

$$\lambda \colon W \otimes_{\mathbb{Z}} \mathbb{Z}[\chi, 1/m] \to \mathbb{C}/\mathbb{Z}[\chi, 1/m]$$

by

$$\lambda(\{\omega\} \otimes \alpha) = \alpha \cdot \frac{1}{2\pi i} \log(\omega) \pmod{\mathbf{Z}[\chi, 1/m]}.$$

Let

$$\pi: \mathbf{C} \to \mathbf{C}/\mathbf{Z}[\chi, 1/m]$$

be the natural projection. If  $w = \{\omega\} \otimes \alpha$  is an element of  $W \otimes \mathbb{Z}[\chi, 1/m]$ , we write  $\overline{w}$  for  $\{\overline{\omega}\} \otimes \alpha$  where  $\overline{\omega}$  is the complex conjugate of  $\omega$ .

We need two lemmas. The first is concerned with special values of L-functions. The second is about units in cyclotomic fields.

LEMMA 3.3. For either choice of sign  $\pm$ , there is a distribution  $w_{\chi}^{\pm}$ :  $\mathbb{U}_N \to W(\chi)$  such that

(a) The diagram

is commutative;

(b) For  $u \in \mathbb{U}_N$ ,  $w_{\chi}^{\pm}((-1)^*u) = \overline{-w_{\chi}^{\pm}(u)}$ .

LEMMA 3.4. If  $w \in W(\chi)$  and  $w + \overline{w} = 0$ , then w = 0.

Before proving Lemmas 3.3 and 3.4 we show how they can be used to complete the proof of the proposition. Let  $E \in L_N^+$  and  $u \in \mathbb{U}_N^+$  such that  $E = \ell(u)$ . Set

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 $w = w_{\chi}^{\pm}(u)$ . Since  $(-1)^*u = u$  we have  $w + \overline{w} = 0$  by Lemma 3.3(b). Lemma 3.4 then tells us w = 0. By Lemma 3.3(a)

$$\pi(\Lambda_{\pm}(E,\chi,1))=\lambda(w)=0.$$

Therefore  $\Lambda_{\pm}(E, \chi, 1) \in \mathbb{Z}[\chi, 1/m]$ . By Theorem 1.3(b),  $E \in \mathscr{E}_{\Gamma}(\mathbb{Z})$  as was to be shown.

**PROOF OF LEMMA 3.3.** To define  $w_x^{\pm}$  we need the generalized Bernoulli functions

(3.13) 
$$\mathbf{B}_{1,\psi}^{\pm}(x) = \frac{1}{2} \big( \mathbf{B}_{1,\psi}(x) \pm \mathbf{B}_{1,\psi\varepsilon}(x) \big)$$

where  $\psi$  is a primitive nonquadratic Dirichlet character of conductor  $m, x \in \mathbf{Q}$  and  $\varepsilon = \chi_m$ . Also, let  $\mathbf{B}_1^{\pm}(\psi) = \mathbf{B}_{1,\psi}^{\pm}(0)$ . The basic properties we will use are:

(1) 
$$\mathbf{B}_{1}^{\pm}(\psi) = \sum_{\substack{a=1\\\varepsilon(a)=\pm 1}}^{m-1} \psi(a) \cdot a/m;$$

(3.14) (2) 
$$\mathbf{B}_{1,\psi}^{\pm}(x) = \mathbf{B}_{1}^{\pm}(\psi) - \psi(-1) \cdot \sum_{\substack{a=0\\ \varepsilon(a)=\pm 1}}^{x} \psi(a),$$

where  $\Sigma^*$  means the terms corresponding to the

endpoints a = 0, x are weighted by a factor of  $\frac{1}{2}$ ,

(3) 
$$\mathbf{B}_{1,\psi}^{\pm}(x) = -\psi(-1) \cdot \mathbf{B}_{1,\psi}^{\mp}(-x)$$

(1) and (3) are straightforward calculations and (2) is a consequence of a corresponding formula for  $\mathbf{B}_{1,\psi}(x)$  (see [19, 3.6.2]). Note that if  $x \in \frac{m}{N}\mathbf{Z}$ , then  $\Sigma^*$  may be replaced by  $\Sigma$ . Thus (1) and (2) show  $\mathbf{B}_{1,\psi}^{\pm}(x) \in \mathbf{Z}[\chi, 1/m]$ .

Let  $\mathbf{x} \in \frac{1}{N} \mathbb{Z}^2 / \mathbb{Z}^2 \setminus \{\mathbf{0}\}$ . Since (m, N) = 1 we may represent  $\mathbf{x}$  by an element  $(x_1, x_2) \in \frac{1}{N} \mathbb{Z}^2$  with  $x_1 \in \frac{m}{N} \mathbb{Z}$ . For our fixed character  $\chi$  define  $w^{\pm}(\mathbf{x}, \chi) \in W \otimes \mathbb{Z}[\chi, 1/m]$  by the formula

$$w^{\pm}(\mathbf{x},\chi) = \sum_{a=1}^{m-1} \left\{ \frac{1 - e(x_2 + a/m)}{1 - e(x_2 + 1/m)} \right\} \otimes \overline{\chi}(a) \mathbf{B}_{1,\chi}^{\pm e(a)}(x_1).$$

For a quadratic residue  $r \in (\mathbb{Z}/n\mathbb{Z})^*$  an easy calculation shows

$$\tau_r(w^{\pm}(\mathbf{x},\chi)) = \chi(r)w^{\pm}(\mathbf{x},\chi).$$

Hence  $w \stackrel{\pm}{} (\mathbf{x}, \chi) \in W(\chi)$ .

The formulas

$$\prod_{r=0}^{n-1} \left( 1 - e\left(\frac{x+r}{n} + \frac{a}{m}\right) \right) = \left( 1 - e\left(x + \frac{na}{m}\right) \right)$$

and

$$\sum_{s=0}^{n-1} \mathbf{B}_{1,\chi}^{\pm}\left(\frac{x+s}{n}\right) = \bar{\chi}(n) \cdot \mathbf{B}_{1,\chi}^{\pm}(x)$$

for (n, m) = 1 show that  $w^{\pm}(\cdot, \chi)$  is a distribution on  $\frac{1}{N}\mathbb{Z}^2/\mathbb{Z}^2 \setminus \{0\}$ . Hence  $w^{\pm}(\cdot, \chi)$  induces a homomorphism

$$w_{\chi}^{\pm} \colon \mathbb{U}_N \to W(\chi).$$

(a) For  $x \in \mathbf{Q}$  let

$$\zeta(s, x) = \sum_{\substack{k \equiv x \pmod{\mathbf{Z}} \\ k > 0}} k^{-s} \text{ and } Z(s, x) = \sum_{n=1}^{\infty} e(nx) n^{-s}.$$

These are Hurwitz zeta functions and thus have analytic continuations with possible simple poles only at s = 1. In fact if x is not an integer, then Z(s, x) is regular at s = 1. Moreover we have the formulas

$$\zeta(0, x) = -\mathbf{B}_1(x), \qquad Z(1, x) = -\log(1 - e(x))$$

for  $x \in \mathbf{Q} \setminus \mathbf{Z}$  [21, p. 271]. Here log denotes the principal branch of the logarithm.

For a primitive character  $\psi$  of conductor *m*, the functions  $\zeta(s, \psi, x_1)$  and  $Z(s, \psi, x_2)$  can be expressed in terms of the functions  $\zeta(s, \cdot)$  and  $Z(s, \cdot)$  by

$$\zeta(s, \psi, x_1) = m^{-s} \sum_{a=1}^{m-1} \psi(a) \zeta\left(s, \frac{x_1 + a}{m}\right)$$

(recall  $x_1 \in m\mathbb{Z}/N$ ) and

$$\tau(\bar{\psi})Z(s,\psi,x_2) = \sum_{a=1}^{m-1} \bar{\psi}(a)Z\left(s,x_2 + \frac{a}{m}\right).$$

Thus

$$\zeta(0,\psi,x_1) = -\mathbf{B}_{1,\psi}(x_1),$$
  
$$\tau(\bar{\psi})Z(1,\psi,x_2) = -\sum_{a=1}^{m-1} \bar{\psi}(a) \log\left(\frac{1-e(x_2+a/m)}{1-e(x_2+1/m)}\right).$$

Hence

$$\begin{split} \Lambda(l_x, \psi, 1) &= -\xi(0, \psi, x_1) \cdot \frac{\tau(\bar{\psi})}{2\pi i} Z(1, \psi, x_2) \\ &= -\mathbf{B}_{1, \psi}(x_1) \cdot \sum_{a=1}^{m-1} \bar{\psi}(a) \cdot \frac{1}{2\pi i} \log \left( \frac{1 - e(x_2 + a/m)}{1 - e(x_2 + 1/m)} \right). \end{split}$$

Thus for our fixed character  $\chi$  we have

$$\begin{split} \Lambda_{\pm}(l_{x},\chi,1) &= -\sum_{a=1}^{m-1} \bar{\chi}(a) \cdot \frac{1}{2} \Big( \mathbf{B}_{1,\chi}(x_{1}) \pm \epsilon(a) \mathbf{B}_{1,\chi\epsilon}(x_{1}) \Big) \\ &\cdot \frac{1}{2\pi i} \log \left( \frac{1 - e(x_{2} + a/m)}{1 - e(x_{2} + 1/m)} \right) \\ &= -\sum_{a=1}^{m-1} \bar{\chi}(a) \mathbf{B}_{1,\chi}^{\pm\epsilon(a)}(x_{1}) \frac{1}{2\pi i} \log \left( \frac{1 - e(x_{2} + a/m)}{1 - e(x_{2} + 1/m)} \right). \end{split}$$

The commutativity of the diagram in (a) is now clear.

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(b) We use property (3) of the functions  $\mathbf{B}_{1,\chi}^{\pm}$  to compute

$$w^{\pm}(-\mathbf{x},\chi) = \sum_{a=1}^{m-1} \left\{ \frac{1 - e(-x_2 + a/m)}{1 - e(x_2 - 1/m)} \right\} \otimes \bar{\chi}(a) \mathbf{B}_{1,\chi}^{\pm \epsilon(a)}(-x_1)$$
$$= \sum_{a=1}^{m-1} \left\{ \frac{1 - e(-x_2 - a/m)}{1 - e(-x_2 - 1/m)} \right\} \otimes \bar{\chi}(-a) \mathbf{B}_{1,\chi}^{\pm \epsilon(-a)}(-x_1)$$
$$= -\sum_{a=1}^{m-1} \left\{ \frac{1 - e(-x_2 - a/m)}{1 - e(-x_2 - 1/m)} \right\} \otimes \bar{\chi}(a) \mathbf{B}_{1,\chi}^{\pm \epsilon(a)}(x_1)$$
$$= -\overline{w^{\pm}(\mathbf{x},\chi)}.$$

This proves (b).  $\Box$ 

**PROOF OF LEMMA 3.4.** It is a well-known fact that if  $\omega \in \mathfrak{o}_K^*$  is a unit of absolute value one, then  $\omega$  is a root of unity. Thus an element  $w \in W$  satisfying  $w + \overline{w} = 0$  must lie in  $W_{\text{tor}}$ , the subgroup of torsion elements of W. Hence if  $w \in W(\chi)$  and  $w + \overline{w} = 0$ , then  $w \in W_{\text{tor}}(\chi)$ . We will show  $W_{\text{tor}}(\chi) = 0$ .

Let  $N_1 = \text{lcm}(2, N)$ . Then  $N_1$  is the number of roots of unity in the  $\mathbf{Q}(e(1/N))$ and  $W_{\text{tor}} \cong \mu_{N_1} \times \mu_m$ , where  $\mu_n$  denotes the group of *n*th roots of unity. Hence  $W_{\text{tor}}(\chi) \cong \mu_{N_1}(\chi) \times \mu_m(\chi)$ .

Since *m* acts invertibly on  $\mu_m(\chi)$ , we have  $\mu_m(\chi) = (0)$ .

The group of squares in the Galois group  $G = \text{Gal}(K/\mathbb{Q}(e(1/N))) \cong (\mathbb{Z}/m\mathbb{Z})^*$ acts at the same time trivially and via  $\chi$  on  $\mu_{N_1}(\chi)$ . Therefore  $\mu_{N_1}(\chi)$  is annihilated by  $\chi(r) - 1$  for every quadratic residue  $r \in (\mathbb{Z}/m\mathbb{Z})^*$ . Since  $\chi$  is not quadratic, we can choose r so that  $\chi(r)$  is a nontrivial ((m-1)/2)th root of unity. Hence (m-1)/2 annihilates  $\mu_{N_1}(\chi)$ . But the conditions  $m \equiv 3 \pmod{4}$  and  $m \equiv$  $-1 \pmod{N}$  show that  $N_1$  and (m-1)/2 are relatively prime. Thus  $\mu_{N_1}(\chi) = 0$ .  $\Box$ 

**4.** Subgroups of the cuspidal group. Let N > 0 and  $\Gamma$  be one of the groups  $\Gamma_1(N)$  or  $\Gamma_0(N)$ . For a divisor  $D \in \mathscr{D}^0_{\Gamma} \otimes \mathbb{C}$  let R(D) be the Z-submodule of  $\mathbb{C}$  generated by the coefficients of D.

DEFINITION 4.1. The subgroup of  $C_{\Gamma}$  associated to D is the image of the composition

$$\operatorname{Hom}(R(D); \mathbb{Z}) \to \mathscr{D}_{\Gamma}^{0} \to C_{\Gamma}$$
$$\phi \mapsto \phi_{*}(D). \quad \Box$$

It follows from the definition that for  $\lambda \in \mathbb{C}^*$  the subgroup associated to D is equal to the subgroup associated to  $\lambda D$ .

The Hecke algebra  $\mathbf{T} = \mathbf{Z}[T_l, \langle a \rangle: l + N$  prime,  $a \in (\mathbf{Z}/N\mathbf{Z})^*]$  and the Galois group  $G = \text{Gal}(\mathbf{Q}(e(1/N))/\mathbf{Q})$  act on  $\mathcal{D}_{\Gamma}^0$ . If  $\mathbf{T}[G]$  acts on D via a character  $\psi: \mathbf{T}[G] \to \mathbf{C}$ , then the subgroup of  $C_{\Gamma}$  associated to D is a cyclic  $\mathbf{T}[G]$ -submodule of  $C_{\Gamma}$ . In this section we show how Theorems 1.2 and 1.3 can be used to study this module. In case  $\Gamma = \Gamma_1(N)$  we will obtain a complete description. When  $\Gamma = \Gamma_0(N)$ we describe it only modulo the Shimura group.

For the rest of this section we will write  $\Gamma_1$  for  $\Gamma_1(N)$  and  $\Gamma_0$  for  $\Gamma_0(N)$ .

Over  $\Gamma_1$  our method may be summarized in two steps:

(1) Find a weight two Eisenstein series E over  $\Gamma_1$  with  $\delta_{\Gamma_1}(E) = D$ .

(2) Use Theorem 1.3 to find  $\mathscr{P}_{\Gamma}(E)$ .

Then the subgroup of  $C_{\Gamma_1}$  associated to D is  $C_{\Gamma_1}(E)$  and its structure is described in Theorem 1.2.

The first step is easily accomplished using Proposition 4.7(d). To carry out (2) we need some divisibility properties of the values  $\Lambda_{\pm}(E, \chi, 1)$ . Proposition 4.7(c) allows us to give explicit formulas for  $\Lambda_{\pm}(E, \chi, 1)$  in terms of generalized Bernoulli numbers and Euler factors. The following theorem then provides the necessary divisibility information.

THEOREM 4.2. Let  $\varepsilon$  be a primitive Dirichlet character of conductor M and m + M and odd prime. Let  $\mathfrak{X}^+$  (resp.  $\mathfrak{X}^-$ ) be the set of primitive Dirichlet characters  $\chi$  whose conductors are powers of m and satisfy  $\chi(-1) = 1$  (resp.  $\chi(-1) = -1$ ). Let  $\mathfrak{P} + m$  be a prime of  $\overline{\mathbf{Q}}$  and  $\pm$  be a choice of sign. Then

(a) For every algebraic integer  $\alpha$  and prime number *l*, there are infinitely many  $\chi \in \mathfrak{X}^{\pm}$  such that

$$\mathfrak{P} + (1 - \alpha \chi(l));$$

(b) If  $m \equiv 3 \pmod{4}$  and  $\chi \in \mathfrak{X}^{\pm}$  is not quadratic, then

$$\frac{1}{2}\mathbf{B}_{1}(\boldsymbol{\epsilon}\boldsymbol{\chi}) \in \mathbf{Z}[\boldsymbol{\epsilon},\boldsymbol{\chi},\frac{1}{m}];$$

(c) (L. Washington [20]) For all but finitely many  $\chi \in \mathfrak{X}^{-\epsilon(-1)}, \frac{1}{2}\mathbf{B}_{1}(\epsilon\chi)$  is a  $\mathfrak{P}$ -unit.

PROOF. (a) For any *m*-power root of unity,  $\zeta$ , there is a  $\chi \in \mathfrak{X}^+$  such that  $\chi(l) = \zeta$ . Since the *m*-power roots of unity are distinct modulo  $\mathfrak{P}$ , there is at most one choice of  $\zeta$  for which  $\mathfrak{P}(1 - \alpha \zeta)$ . This proves the result for  $\mathfrak{X}^+$ . Fix  $\chi_0 \in \mathfrak{X}^-$ . Then there are infinitely many  $\chi \in \mathfrak{X}^+$  such that  $\mathfrak{P} + (1 - \alpha \chi_0(l)\chi(l))$ . Since  $\chi_0\chi$  is imprimitive for only finitely many  $\chi \in \mathfrak{X}^+$ , the result follows.

(b) If  $\epsilon \chi(-1) = 1$ , then  $\mathbf{B}_1(\epsilon \chi) = 0$ . If  $\epsilon \chi(-1) = -1$ , then  $\epsilon \chi(-1)(-1/m) = 1$ . Thus  $\mathbf{B}_1(\epsilon \chi) = \mathbf{B}_1(\epsilon \chi) + \mathbf{B}_1(\epsilon \chi(\cdot/m))$ 

$$= 2\varepsilon(m_{\chi})\chi(M) \cdot \sum_{\substack{a=0\\(a/m)=(M/m)}}^{m_{\chi}-1} \chi(a)\mathbf{B}_{1,\varepsilon}\left(\frac{Ma}{m_{\chi}}\right). \qquad \Box$$

In case  $\Gamma = \Gamma_0$  we use the natural projection  $\pi$ :  $X_1(N) \to X_0(N)$ . The map  $\pi$  induces  $\pi^*$ : Pic<sup>0</sup>  $(X_0(N)) \to \text{Pic}^0(X_1(N))$  whose kernel  $\Sigma_N$  is known as the Shimura group. Let  $X_2(N)$  be the maximal unramified extension of  $X_0(N)$  intermediate to  $\pi$ . By Kummer theory there is a perfect pairing of Gal( $\overline{\mathbf{Q}}/\mathbf{Q}$ )-modules

$$\operatorname{Aut}(X_2(N)/X_0(N)) \times \Sigma_N \to \mu,$$

where  $\mu$  is the group of roots of unity. Since the Nebentypus automorphisms of  $X_1(N)$  are defined over  $\mathbf{Q}$  we see that  $\operatorname{Gal}(\overline{\mathbf{Q}}/\mathbf{Q})$  acts trivially on  $\operatorname{Aut}(X_2(N)/X_0(N))$ . Thus  $\Sigma_N$  is a  $\mu$ -type group.

Let  $D \in \mathscr{D}_{\Gamma_0}^0 \otimes \mathbb{C}$  be a divisor on which  $\mathbb{T}[G]$  acts via a character  $\psi$ . Using Proposition 4.7(d) we can find  $E \in \mathscr{E}_{\Gamma_1}$  so that  $\delta_{\Gamma_1}(E) = \pi^* D$ . Then  $E \in \mathscr{E}_{\Gamma_0}$  and  $\delta_{\Gamma_0}(E) = D$ . Using Theorems 1.3 and 4.2 we can calculate  $\mathscr{P}_{\Gamma_1}(E)$  as before. Let

(4.1) 
$$C_{\Gamma_0}^{(s)}(E) = \pi^* (C_{\Gamma_0}(E)),$$
$$A_{\Gamma_0}^{(s)}(E) = (\mathscr{P}_{\Gamma_1}(E) + \mathscr{R}_{\Gamma_0}(E)) / \mathscr{R}_{\Gamma_0}(E).$$

Clearly  $C_{\Gamma_0}^{(s)}(E) \subseteq C_{\Gamma_1}(E)$ . The following proposition will allow us to describe the structure of  $C_{\Gamma_0}^{(s)}(E)$  as a T[G]-module.

**PROPOSITION 4.3.** There is a canonical perfect pairing

$$C^{(s)}_{\Gamma_0}(E) \times A^{(s)}_{\Gamma_0}(E) \to \mathbf{Q}/\mathbf{Z}$$

**PROOF.**  $C_{\Gamma_0}^{(s)}(E)$  is the image of the composition

$$\operatorname{Hom}\left(\mathscr{R}_{\Gamma_{0}}(E); \mathbf{Z}\right) \to \mathscr{D}_{\Gamma_{0}}^{0} \stackrel{\pi^{*}}{\to} \mathscr{D}_{\Gamma_{1}}^{0} \to C_{\Gamma_{1}}$$
$$\phi \mapsto \phi_{*}(D).$$

Since any  $\phi \in \text{Hom}(\mathscr{R}_{\Gamma_0}(E); \mathbb{Z})$  extends uniquely to a homomorphism

$$\phi: \left(\mathscr{P}_{\Gamma_{1}}(E) + \mathscr{R}_{\Gamma_{0}}(E)\right) \to \mathbf{Q}$$

we obtain a natural pairing

(4.2) 
$$\operatorname{Hom}(\mathscr{R}_{\Gamma_0}(E); \mathbf{Z}) \times (\mathscr{P}_{\Gamma_1}(E) + \mathscr{R}_{\Gamma_0}(E)) \to \mathbf{Q}.$$

An element  $\phi \in \operatorname{Hom}(\mathscr{R}_{\Gamma_0}(E); \mathbb{Z})$  goes to zero in  $C_{\Gamma_1}$  if and only if  $\pi^*(\phi_* D) = \phi_*(\pi^* D) = \phi_*(\delta_{\Gamma_1}(E))$  is principal if and only if  $\phi(\mathscr{P}_{\Gamma_1}(E)) \subseteq \mathbb{Z}$ . Thus (4.2) induces a perfect pairing

$$C^{(s)}_{\Gamma_0}(D) \times A^{(s)}_{\Gamma_0}(E) \to \mathbf{Q}/\mathbf{Z}$$

as desired. 🛛

Since the Shimura group  $\Sigma_N$  can be computed explicitly and since we have an exact sequence

(4.3) 
$$0 \to \Sigma_N \cap C_{\Gamma_0}(E) \to C_{\Gamma_0}(E) \to C_{\Gamma_0}(E) \to 0,$$

we can often use our complete knowledge of  $C_{\Gamma}^{(s)}(E)$  to say a good deal about  $C_{\Gamma_0}(E)$ .

Before proceeding to a general description of the cusps and the Eisenstein series, we illustrate these techniques with a familiar example.

EXAMPLE 4.4. Let  $\Gamma_0 = \Gamma_0(N)$ , where N is prime. There are only two cusps (0) and  $(i\infty)$  on  $X_0(N)$ , thus  $C_{\Gamma_0}$  is generated by the class of  $D = (0) - (i\infty)$ . The space of Eisenstein series over  $\Gamma_0$  is spanned by

$$E(z) = \frac{N-1}{24} + \sum_{n=1}^{\infty} \sigma_N(n) e(nz),$$

where  $\sigma_N(n) = \sum_{d|n; N+d} d$ . Thus  $C_{\Gamma_0} = C_{\Gamma_0}(E)$ .

By Theorem 1.3(a),  $r_{\Gamma_0, E}(i\infty) = (N-1)/24$ . Since  $\delta_{\Gamma_0}(E)$  has degree zero we have  $\delta_{\Gamma_0}(E) = (1-N)/24 \cdot D$ . Thus  $\mathscr{R}_{\Gamma_0}(E) = \frac{N-1}{24}\mathbf{Z}$ .

The *L*-function of *E* is  $L(E, s) = (1 - N^{1-s})\zeta(s)\zeta(s-1)$ . Let  $S = \{m \text{ prime} | m \equiv -1 \pmod{4N}\}$ . Then *S* satisfies (1.8). If  $m \in S$  and  $\chi$  is an odd primitive character with conductor a power of *m*, then

$$\Lambda(E,\chi,1) = \frac{1}{2}(1-\chi(N))\mathbf{B}_1(\chi)\mathbf{B}_1(\bar{\chi})$$

and

$$\Lambda_{\pm}(E,\chi,1) = (1-\chi(N)) \cdot \frac{\mathbf{B}_{1}(\chi)}{2} \cdot \frac{\mathbf{B}_{1}(\overline{\chi})}{2}.$$

Thus Theorems 4.2(b) and 1.3 show  $\mathscr{P}_{\Gamma_1(N)}(E) \subseteq \mathbb{Z} + \frac{N-1}{24}\mathbb{Z}$ . By Theorem 4.2(a), (c) we have equality,  $\mathscr{P}_{\Gamma_1(N)}(E) = \mathbb{Z} + \frac{N-1}{24}\mathbb{Z}$ . Thus

$$A_{\Gamma_0}^{(s)}(E) = \left(\mathbf{Z} + \frac{N-1}{24}\mathbf{Z}\right)/\mathbf{Z} \cong \mathbf{Z}/n'\mathbf{Z},$$

where n' = Num((N-1)/24). By Proposition 4.3  $C_{\Gamma_0}^{(s)}(E) \cong \mathbb{Z}/n'\mathbb{Z}$ .

We therefore have an exact sequence

$$0 \to \Sigma_N \cap C_{\Gamma_0} \to C_{\Gamma_0} \to \mathbb{Z}/n'\mathbb{Z} \to 0.$$

The group  $\Sigma_N$  is cyclic of order n = Num((N-1)/12). Thus  $\Sigma_N \cong \mu_n$ . Since G acts trivially on  $C_{\Gamma_0}$  (Theorem 1.2(b)) we have

$$\Sigma_N \cap C_{\Gamma_0} \cong (0) \text{ or } (\mathbb{Z}/2\mathbb{Z}).$$

To decide which of these possibilities occurs we can appeal to the explicit formulas for the cocycle of E [19] and then use classical congruence formulas modulo 8 for Dedekind sums [16, p. 34] to show

$$\mathscr{P}_{\Gamma_0(N)}(E) = \begin{cases} \frac{1}{2}\mathbf{Z} + \frac{N-1}{24}\mathbf{Z} & \text{if } N \equiv 1 \pmod{8}, \\ \mathbf{Z} + \frac{N-1}{24}\mathbf{Z} & \text{otherwise.} \end{cases}$$

Thus

$$C_{\Gamma_0} \cong \mathbb{Z}/n\mathbb{Z}, \quad n = \operatorname{Num}((N-1)/12). \square$$

Fix N > 0. We will use Shimura's notation for the cusps on X(N). For  $a, b \in \mathbb{Z}$  such that the triple (a, b, N) is relatively prime,  $\begin{bmatrix} a \\ b \end{bmatrix}_{\Gamma(N)}$  denotes the cusp represented by  $\alpha/\beta \in \mathbb{Q}$  with  $\alpha, \beta \neq 0$  relatively prime integers satisfying  $\alpha \equiv a, \beta \equiv b \pmod{N}$ . The group  $GL_2(\mathbb{Z}/N\mathbb{Z})$  acts on cusps $(\Gamma(N))$  by matrix multiplication.

Let  $\Gamma$  be one of the groups  $\Gamma_1 = \Gamma_1(N)$ , or  $\Gamma_0 = \Gamma_0(N)$ . The natural map  $\Gamma \to \operatorname{GL}_2(\mathbb{Z}/N\mathbb{Z})$  affords an action of  $\Gamma$  on  $\operatorname{cusps}(\Gamma(N))$ . The cusps on  $X_{\Gamma}$  may be identified with the  $\Gamma$ -orbits of cusps on X(N). Let  $\begin{bmatrix} a \\ b \end{bmatrix}_{\Gamma}$  be the cusp represented by the  $\Gamma$ -orbit of  $\begin{bmatrix} a \\ b \end{bmatrix}_{\Gamma(N)}$ . Then we have the relations

(4.4)  
(i) 
$$\begin{bmatrix} a \\ b \end{bmatrix}_{\Gamma} = \begin{bmatrix} a' \\ b' \end{bmatrix}_{\Gamma}$$
 if  $a \equiv a', b \equiv b' \pmod{N}$ ;  
(ii)  $\begin{bmatrix} a \\ b \end{bmatrix}_{\Gamma} = \begin{bmatrix} a' \\ b \end{bmatrix}_{\Gamma}$  if  $a \equiv a' \pmod{b}$ ;  
(iii)  $\begin{bmatrix} a \\ b \end{bmatrix}_{\Gamma} = \begin{bmatrix} -a \\ -b \end{bmatrix}_{\Gamma}$ .

If  $\Gamma = \Gamma_0$ , (iii) may be strengthened to

(iii)'  $\begin{bmatrix} ra \\ b \end{bmatrix}_{\Gamma_0} = \begin{bmatrix} a \\ rb \end{bmatrix}_{\Gamma_0}$  for all  $r \in \mathbb{Z}$  with (r, N) = 1.

The integer d = (b, N) depends only on the cusp  $\begin{bmatrix} a \\ b \end{bmatrix}_{\Gamma}$ . We will refer to d as the "divisor" of  $\begin{bmatrix} a \\ b \end{bmatrix}_{\Gamma}$ . The ramification index of  $\begin{bmatrix} a \\ b \end{bmatrix}_{\Gamma}$  over X(1) is given by

(4.5) 
$$e_{\Gamma}\left(\begin{bmatrix}a\\b\end{bmatrix}_{\Gamma}\right) = \begin{cases} \frac{N}{d} & \text{if } \Gamma = \Gamma_{1}(N), \\ \frac{N}{dt} & \text{if } \Gamma = \Gamma_{0}(N), \end{cases}$$

where  $t = \gcd(d, N/d)$ .

Let  $T(N) = \{ \begin{pmatrix} * & 0 \\ 0 & * \end{pmatrix} \} \subseteq \operatorname{GL}_2(\mathbb{Z}/N\mathbb{Z})$  be the standard torus. Then T(N) normalizes the image of  $\Gamma$  in  $\operatorname{GL}_2(\mathbb{Z}/N\mathbb{Z})$  and therefore acts on  $\operatorname{cusps}(\Gamma)$ . The action is given by

(4.6) 
$$\begin{pmatrix} r & 0 \\ 0 & s \end{pmatrix}_{N} \cdot \begin{bmatrix} a \\ b \end{bmatrix}_{\Gamma} = \begin{bmatrix} ra \\ sb \end{bmatrix}_{\Gamma}$$

for  $r, s \in (\mathbb{Z}/N\mathbb{Z})^*$ .

For a divisor d of N let  $\mathscr{D}_{\Gamma,d} \subseteq \mathscr{D}_{\Gamma}$  be the subgroup of divisors supported on cusps with divisor d. The above formulas show that  $\mathscr{D}_{\Gamma,d}$  is preserved by T(N).

In Shimura's canonical model for  $X_{\Gamma}$  over **Q**, the cusps are defined over  $\mathbf{Q}(e(1/N))$ . If we fix the isomorphism

(4.7) 
$$(\mathbf{Z}/N\mathbf{Z})^* \to G = \operatorname{Gal}(\mathbf{Q}(e(1/N))/\mathbf{Q}) \\ r \mapsto (\tau_r: e(1/N) \mapsto e(r/N)),$$

then the action of G on  $\mathscr{D}_{\Gamma}$  is given in terms of the action of T(N) by the correspondence

(4.8) 
$$\tau_r \leftrightarrow \begin{pmatrix} 1 & 0 \\ 0 & r^{-1} \end{pmatrix}_N$$

[19, Theorem 1.3.1]. Hence the rationality properties of the cusps are summarized by

(4.9) 
$$\begin{bmatrix} a \\ b \end{bmatrix}_{\Gamma} \text{ is rational over } \begin{cases} \mathbf{Q}(e(d/N)) & \text{if } \Gamma = \Gamma(N), \\ \mathbf{Q}(e(1/t)) & \text{if } \Gamma = \Gamma_0(N), \end{cases}$$

where d is the divisor of  $\begin{bmatrix} a \\ b \end{bmatrix}_{\Gamma}$  and  $t = \gcd(d, N/d)$ .

The action on  $\mathscr{D}_{\Gamma}$  of the Nebentypus automorphisms  $\langle r \rangle$ ,  $r \in (\mathbb{Z}/N\mathbb{Z})^*$ , and the Hecke operators  $T_l$ , l prime, l + N, can also be described in terms of the action of T(N) by

(4.10) 
$$\begin{array}{l} \langle r \rangle \leftrightarrow \begin{pmatrix} r & 0 \\ 0 & r^{-1} \end{pmatrix}_{N}, \\ T_{l} \leftrightarrow \tau_{l} + l \langle l \rangle \tau_{l}^{-1}. \end{array}$$

We warn the reader that this action of  $\langle r \rangle$ ,  $T_l$  on  $\mathscr{D}_{\Gamma}$  is dual to what may be considered the standard action. This is due to the fact that we are viewing  $C_{\Gamma}$  as a subgroup of the *contravariant* object  $\operatorname{Pic}^{0}(X_{\Gamma})$ . For  $E \in \mathscr{E}_{\Gamma}$  define  $E|\langle r \rangle$ ,  $E|T_l$  as usual by

(4.11) 
$$E|\langle r \rangle = E|\gamma \quad \text{for } \gamma = \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in \Gamma_0(N) \text{ with } d \equiv r \pmod{N};$$
$$E|T_l = \sum_{k=0}^{l-1} E\left|\begin{pmatrix} 1 & k \\ 0 & l \end{pmatrix}\right| + E|\langle l\rangle|\begin{pmatrix} l & 0 \\ 0 & 1 \end{pmatrix}.$$

Then with our conventions for the action of  $\langle r \rangle$ ,  $T_l$  on  $\mathscr{D}_{\Gamma}$  we have

(4.12) 
$$\delta_{\Gamma}(E|\langle r \rangle) = \langle r \rangle \cdot \delta_{\Gamma}(E), \quad \delta_{\Gamma}(E|T_{l}) = T_{l} \cdot \delta_{\Gamma}(E).$$

Fix a character  $\psi$ :  $T(N) \rightarrow C^*$ . Then there are primitive Dirichlet characters  $\varepsilon_1$ ,  $\varepsilon_2$  of conductors  $N_1$ ,  $N_2$  divisors of N such that

(4.13) 
$$\psi\left(\begin{pmatrix} r & 0\\ 0 & s \end{pmatrix}_N\right) = \bar{\varepsilon}_1(s)\varepsilon_2(r)$$

for  $r, s \in (\mathbb{Z}/N\mathbb{Z})^*$ . Let  $\varepsilon = \varepsilon_1 \varepsilon_2$ . Then  $\varepsilon$  need not be primitive.

For a divisor d of N let  $\mathscr{D}_{\Gamma,d}(\psi)$  be the  $\mathbb{Z}[\psi]$ -submodule of  $\mathscr{D}_{\Gamma,d} \otimes \mathbb{Z}[\psi]$  on which T(N) acts via  $\psi$  and let  $\mathscr{D}_{\Gamma}(\psi) = \sum_{d|N} \mathscr{D}_{\Gamma,d}(\psi)$ . In particular, for  $r \in (\mathbb{Z}/N\mathbb{Z})^*$ ,  $\tau_r \in G$  acts on  $\mathscr{D}_{\Gamma}(\psi)$  as  $\varepsilon_1(r)$ , and  $\langle r \rangle$  acts as  $\varepsilon(r)$ . For a prime l + N,  $T_l$  acts as  $\varepsilon_1(l) + l\varepsilon_2(l)$ . By (4.4)(iii)  $\mathscr{D}_{\Gamma}(\psi) = 0$  unless  $\varepsilon(-1) = 1$ .

**PROPOSITION 4.5.** Let  $\psi$  be as above, with  $\varepsilon(-1) = 1$ . (a)  $\mathscr{D}_{\Gamma,d}(\psi) \neq 0$  if and only if  $N_2|d, N_1|(N/d)$  and, in case  $\Gamma = \Gamma_0(N)$ ,  $\varepsilon_1 = \overline{\varepsilon}_2$ . (b) If  $\mathscr{D}_{\Gamma,d}(\psi) \neq 0$ , then it is generated as a  $\mathbb{Z}[\psi]$ -module by

$$D_{\Gamma,d}(\psi) = \sum \varepsilon_1(b) \bar{\varepsilon}_2(a) \begin{bmatrix} a \\ db \end{bmatrix}_{\Gamma},$$

where the sum is over cusps  $\begin{bmatrix} a \\ db \end{bmatrix}_{\Gamma}$  with divisor d.

The proof is a straightforward calculation which uses the primitivity of  $\varepsilon_1, \varepsilon_2$ . For a character  $\psi: T(N) \to \mathbb{C}^*$  let  $\mathscr{D}^0_{\Gamma}(\psi)$  be the degree zero divisors in  $\mathscr{D}_{\Gamma}(\psi)$ . Let  $C_{\Gamma}(\psi) \subseteq C_{\Gamma}$  be the image of the composition

$$\mathscr{D}^{0}_{\Gamma}(\psi) \otimes_{\mathbf{Z}[\psi]} \operatorname{Hom}(\mathbf{Z}[\psi]; \mathbf{Z}) \to \mathscr{D}^{0}_{\Gamma} \to C_{\Gamma}$$
$$D \otimes \phi \mapsto \phi_{*}(D).$$

In case  $\psi$  is nontrivial we have  $\mathscr{D}^{0}_{\Gamma}(\psi) = \mathscr{D}_{\Gamma}(\psi)$ . In this case we let  $C_{\Gamma,d}(\psi) \subseteq C_{\Gamma}(\psi)$  be the image of  $\mathscr{D}_{\Gamma,d}(\psi) \otimes \operatorname{Hom}(\mathbb{Z}[\psi];\mathbb{Z})$  under the above homomorphism. Then we have

$$C_{\Gamma}(\psi) = \sum_{d|N} C_{\Gamma,d}(\psi),$$

though this sum is usually not direct.

It is convenient to extend the space  $\mathscr{E}$  of weight two Eisenstein series of all levels by adjoining the nonholomorphic Eisenstein series of level one:

$$\phi_{(0,0)}(z) = \frac{1}{2\pi i(z-\bar{z})} + \frac{1}{12} - 2 \cdot \sum_{k=1}^{\infty} k \cdot \sum_{m=1}^{\infty} e(mkz).$$

Let  $\mathscr{E}^* = \mathscr{E} \oplus \mathbb{C} \cdot \phi_0$ . A typical element  $E \in \mathscr{E}^*$  has a Fourier expansion of the form

(4.14) 
$$E(z) = \frac{a_{-1}(E)}{2\pi i (z-\bar{z})} + a_0(E) + \sum_{k=1}^{\infty} a_k(E) e\left(\frac{kz}{N}\right)$$

The space  $\mathscr{E}^*$  is a module under the weight two action of the group  $\operatorname{GL}_2^+(\mathbf{Q})$  of  $2 \times 2$ rational matrices with positive determinant. For a congruence group  $\Gamma \subseteq \operatorname{SL}_2(\mathbf{Z})$  let  $\mathscr{E}_{\Gamma}^* = \mathscr{E}_{\Gamma} \oplus \mathbf{C} \cdot \phi_0$  be the space of  $\Gamma$ -invariants in  $\mathscr{E}^*$ . If  $\Gamma = \Gamma_1(N)$  or  $\Gamma_0(N)$ , then the action of T(N) on  $\mathscr{E}_{\Gamma}$  extends trivially to an action on  $\mathscr{E}_{\Gamma}^*$ .

We may extend  $\delta_{\Gamma}$  to a linear map  $\delta_{\Gamma} : \mathscr{E}_{\Gamma}^* \to \mathscr{D}_{\Gamma} \otimes \mathbb{C}$  by defining

$$\delta_{\Gamma}(\phi_{\mathbf{0}}) = \frac{1}{12} \sum_{x \in \operatorname{cusps}(\Gamma)} e_{\Gamma}(x) \cdot (x).$$

We also extend the definition of  $L(E, \chi, s)$  to all  $E \in \mathscr{E}^*$  by setting

$$L(\phi_0, \chi, s) = -2L(s, \chi)L(s-1, \chi)$$

and extending linearly. We then define  $\Lambda(E, \chi, 1)$ ,  $\Lambda_{\pm}(E, \chi, 1)$  as before (1.6), (1.7). The basic properties (1.4), (4.11) of these symbols are preserved by the extension.

As before let  $\Gamma_1 = \Gamma_1(N)$ ,  $\Gamma_0 = \Gamma_0(N)$  and  $\psi$ :  $T(N) \to \mathbb{C}^*$  be a character associated to  $\varepsilon_1$ ,  $\varepsilon_2$  of conductors  $N_1$ ,  $N_2$  as in (4.13). Assume  $\varepsilon = \varepsilon_1 \varepsilon_2$  is an even character, and  $(N_1 N_2) | N$ .

DEFINITION 4.6.(a)

$$E_{(\epsilon_1,\epsilon_2)} = \frac{1}{2} \sum_{r=0}^{N_1-1} \sum_{s=0}^{N_2-1} \bar{\epsilon}_1(r) \epsilon_2(s) \phi_{(s/N_2,r/N_1)};$$

(b) For d a divisor of  $N/N_1$  such that  $N_2|d$  let

$$E_d(\psi) = E_{(\epsilon_1, \epsilon_2)} \bigg| \prod_{p \mid (N/d)} \left( 1 - \frac{\epsilon_1(p)}{p} \begin{pmatrix} p & 0\\ 0 & 1 \end{pmatrix} \right) \prod_{p \mid d} \left( 1 - \frac{\overline{\epsilon}_2(p)}{p} \begin{pmatrix} 1 & 0\\ 0 & p \end{pmatrix} \right) \cdot \begin{pmatrix} d & 0\\ 0 & 1 \end{pmatrix},$$

where the product on the right is multiplication in the group ring  $C[GL_2^+(Q)]$ .

**PROPOSITION 4.7.** Let d be a divisor of  $N/N_1$  such that  $N_2|d$  and let  $E = E_d(\psi)$ . Then

(a)  $E \in \mathscr{E}_{\Gamma_1}^*$ ;  $E|\langle r \rangle = \varepsilon(r)E$  for  $r \in (\mathbb{Z}/N\mathbb{Z})^*$ ;  $E|T_l = (\varepsilon_1(l) + l\varepsilon_2(l))E$  for prime l + N.

(b)

$$L(E, s) = -\tau(\bar{\epsilon}_1) \left(\frac{d}{N_2}\right)^{1-s} \prod_{p \mid (N/d)} (1 - \epsilon_1(p) p^{-s})$$
$$\times \prod_{p \mid d} (1 - \bar{\epsilon}_2(p) p^{s-2}) L(\epsilon_1, s) L(\epsilon_2, s-1)$$

(c) For a primitive Dirichlet character  $\chi$  of conductor  $m_{\chi}$  with  $(m_{\chi}, N) = 1$ ,

$$\Lambda(E,\chi,1) = -\frac{1}{2}\epsilon_1(m_\chi)\chi\left(\frac{dN_1}{N_2}\right)\prod_{p|\langle N/d\rangle} \left(1 - \frac{\epsilon_1\chi(p)}{p}\right) \\ \times \prod_{p|d} \left(1 - \frac{\bar{\epsilon}_2\bar{\chi}(p)}{p}\right) \mathbf{B}_1(\bar{\epsilon}_1\bar{\chi})\mathbf{B}_1(\epsilon_2\chi).$$

(d)

$$\delta_{\Gamma_1}(E) = \frac{\varepsilon_1(-1)N}{4n} \prod_{p \mid N} \left(1 - \frac{\xi(p)}{p^2}\right) \frac{\tau(\bar{\varepsilon}_1)\tau(\varepsilon_2)}{\tau(\bar{\xi})} \mathbf{B}_2(\bar{\xi}) \cdot D_{\Gamma_1,d}(\psi),$$

where  $\xi$  is the primitive Dirichlet character associated to  $\varepsilon_1 \overline{\varepsilon}_2$ , and n is the conductor of  $\xi$ .

The proof is given in the next section.

EXAMPLE 4.8. If  $\Gamma_1 = \Gamma_1(N)$ ,  $d|(N/N_1)$  and  $N_2|d$ , then  $C_{\Gamma_1,d}(\psi) \cong \mathbf{Z}[\psi]/\operatorname{Num}(\beta)$ 

as  $\mathbb{Z}[\psi]$ -modules. The action of  $\mathbb{T}[G]$  is given in Theorem 1.2. Here  $\operatorname{Num}(\beta)$  is the ideal  $(\beta) \cap \mathbb{Z}[\psi]$ , and

$$\beta = \frac{M \cdot N}{4n} \prod_{p \mid N} \left( 1 - \frac{\xi(p)}{p^2} \right) \frac{\tau(\bar{\varepsilon}_1) \tau(\varepsilon_2)}{\tau(\bar{\xi})} \mathbf{B}_2(\bar{\xi}),$$

where  $M = (\prod_{p|(N/d); p+N_1} p)(\prod_{p|d; p+N_2} p), \xi$  is the primitive Dirichlet character associated to  $\varepsilon_1 \overline{\varepsilon}_2$ , and *n* is the conductor of  $\xi$ .

**PROOF.** We have  $C_{\Gamma_1,d}(\psi) = C_{\Gamma_1}(E)$ , where  $E = E_d(\psi)$ . Proposition 4.7(d) shows  $\mathscr{R}_{\Gamma_1}(E) = \frac{\beta}{M} \mathbb{Z}[\psi]$ .

Let S be a set of primes satisfying (1.8). If  $m \in S$  and  $\chi$  has conductor a power of m, then

$$\Lambda_{\pm}(E,\chi,1) = -\epsilon_{1}(m_{\chi})\chi\left(\frac{dN_{1}}{N_{2}}\right)\prod_{p\mid(N/d)}\left(1-\frac{\epsilon_{1}\chi(p)}{p}\right)$$
$$\times \prod_{p\mid d}\left(1-\frac{\bar{\epsilon}_{1}\bar{\chi}(p)}{p}\right)\frac{\mathbf{B}_{1}(\bar{\epsilon}_{1}\bar{\chi})}{2}\frac{\mathbf{B}_{1}(\epsilon_{2}\chi)}{2}.$$

By Theorem 4.2(b)  $M \cdot \Lambda_{\pm}(E, \chi, 1) \in \mathbb{Z}[\psi, \chi, 1/m_{\chi}]$  for every  $\chi \in \mathfrak{X}_{S}^{-\varepsilon_{1}(-1)}$ . For  $m \in S$  and  $\mathfrak{P} + m$  a prime of  $\overline{\mathbb{Q}}$ , Theorem 4.2(a), (c) assures the existence of  $\chi$  with conductor a power of m such that  $M \cdot \Lambda_{\pm}(E, \chi, 1)$  is a  $\mathfrak{P}$ -unit. Thus by Theorem 1.3(b)

$$\mathscr{P}_{\Gamma_{1}}(E) = \frac{1}{M} \mathbf{Z}[\psi] + \mathscr{R}_{\Gamma_{1}}(E) = \frac{1}{M} \mathbf{Z}[\psi] + \frac{\beta}{M} \mathbf{Z}[\psi].$$

Then

$$A_{\Gamma_{1}}(E) = \mathscr{P}_{\Gamma_{1}}(E) / \mathscr{R}_{\Gamma_{1}}(E)$$
$$= \left(\frac{1}{M}\mathbf{Z}[\psi] + \frac{\beta}{M}\mathbf{Z}[\psi]\right) / \left(\frac{\beta}{M}\mathbf{Z}[\psi]\right) \cong \mathbf{Z}[\psi] / \operatorname{Num}(\beta)$$

and the result follows from Theorem 1.2.  $\Box$ 

EXAMPLE 4.9. Let  $N = m^2$  and  $\alpha$  be a primitive Dirichlet character of conductor m. Let  $\psi$  be the character of T(N) defined by  $\psi(\binom{r}{0}_{s})_N = \overline{\alpha}(rs)$ . Let  $\mathfrak{p} \subseteq \mathbb{Z}[\psi]$  be a prime ideal such that  $\alpha$  is not the cyclotomic character at  $\mathfrak{p}$  (i.e. there exists  $n \in \mathbb{Z}$  such that  $\alpha(n) \neq n \pmod{\mathfrak{p}}$ ). Then

$$C_{\Gamma_0(N)}(\psi)_{\mathfrak{p}} \cong (\mathbb{Z}[\alpha]/\operatorname{Num}(\beta))_{\mathfrak{p}},$$

where

$$\beta = \frac{m}{4n} \prod_{l|m} \left( 1 - \frac{\xi(l)}{l^2} \right) \frac{\tau(\bar{\alpha})^2}{\tau(\bar{\xi})} \mathbf{B}_2(\bar{\xi}),$$

 $\xi$  is the primitive character associated to  $\alpha^2$ , *n* is the conductor of  $\xi$ , and the subscript  $\mathfrak{p}$  denotes completion at  $\mathfrak{p}$ .

PROOF. Let  $E = E_m(\psi) \in \mathscr{E}_{\Gamma_0}$ . Then  $C_{\Gamma_0}(\psi) = C_{\Gamma_0}(E)$ . In the notation of (4.13)  $\varepsilon_1 = \alpha_1, \varepsilon_2 = \overline{\alpha}$ .

As in Example 4.8,  $\mathscr{R}_{\Gamma_1}(E) = m\beta \mathbb{Z}[\alpha]$  and  $\mathscr{P}_{\Gamma_1}(E) = \mathbb{Z}[\alpha] + \mathscr{R}_{\Gamma_1}(E) = \mathbb{Z}[\alpha] + m\beta \mathbb{Z}[\alpha]$ . By (4.5)  $\mathscr{R}_{\Gamma_0}(E) = \frac{1}{m} \mathscr{R}_{\Gamma_1}(E) = \beta \cdot \mathbb{Z}[\alpha]$ . Thus

$$A_{\Gamma_0}^{(s)}(E) = (\mathscr{P}_{\Gamma_1}(E) + \mathscr{R}_{\Gamma_0}(E)) / \mathscr{R}_{\Gamma_0}(E)$$
$$= (\mathbf{Z}[\alpha] + \beta \mathbf{Z}[\alpha]) / \beta \mathbf{Z}[\alpha] = \mathbf{Z}[\alpha] / \operatorname{Num}(\beta).$$

Since  $\alpha$  is not cyclotomic at  $\mathfrak{p}$ , we have  $(\Sigma_N \cap C_{\Gamma_0}(E))_{\mathfrak{p}} = (0)$ . Hence  $C_{\Gamma_0}(\psi)_{\mathfrak{p}} = C_{\Gamma_0}(E)_{\mathfrak{p}} = C_{\Gamma_0}(E)_{\mathfrak{p}}$  and the result follows.  $\Box$ 

EXAMPLE 4.10. Let C be the p-primary component of the cuspidal group  $C_{\Gamma_0(p^2)}$ on  $X_0(p^2)$ , where p is prime. The cusps are rational over  $\mathbf{Q}(e(1/p))$  by (4.9). We identify  $(\mathbf{Z}/p\mathbf{Z})^*$  and  $G = \text{Gal}(\mathbf{Q}(e(1/p))/\mathbf{Q})$  as in (4.7). For each integer k with 0 < k < p - 1 let  $C^{(k)} \subseteq C$  be the subgroup on which G acts via  $\omega^k$ , where  $\omega: G \to \mathbf{Z}_p^*$  is the cyclotomic character defined by  $\omega(r) \equiv r \pmod{p}$ . Then

$$C^{(k)} \cong \begin{cases} (0) & \text{if } k = 1, (p-1)/2, (p+1)/2, \\ \mathbf{Z}_p/\mathbf{B}_2(\omega^{-2k}) & \text{if } 1 < k < (p-1)/2, \\ \mathbf{Z}_p/p\mathbf{B}_2(\omega^{-2k}) & \text{if } (p+1)/2 < k < p-1. \end{cases}$$

**PROOF.** We may assume p > 3. Fix k with 0 < k < p - 1, a prime ideal  $\mathfrak{p} \subseteq \mathbb{Z}[e(1/(p-1))]$  dividing p, and a primitive Dirichlet character  $\alpha$  of conductor p such that  $\alpha \equiv \omega^k \pmod{\mathfrak{p}}$ . Let  $\psi, E, \beta$  be as in Example 4.9. One easily checks

$$C_{\Gamma_{0}}(\psi)_{\mathfrak{p}}\cong C^{(k)}.$$

As in Example 4.9 we have  $C_{\Gamma_0}(\psi) = C_{\Gamma_0}(E)$ . The order of  $\Sigma_{p^2}$  is easily seen to be Num((p-1)/12). Hence  $C_{\Gamma_0}(E)_{\mathfrak{p}} \cong C_{\Gamma_0}^{(s)}(E)_{\mathfrak{p}}$  even when  $\alpha$  is cyclotomic at  $\mathfrak{p}$ . Thus

$$C^{(k)} \cong (\mathbf{Z}[\alpha] / \operatorname{Num}(\beta))_{\mathfrak{p}}$$

by Example 4.9.

To calculate ord  $_{p}(\beta)$  we use the following facts:

$$\operatorname{ord}_{v}(p/n) = \begin{cases} 0 & \text{if } k \neq (p-1)/2, \\ 1 & \text{if } k = (p-1)/2, \end{cases}$$
$$\operatorname{ord}_{v}((1-\xi(p)/p^{2})) = \begin{cases} 0 & \text{if } k \neq (p-1)/2, \\ -2 & \text{if } k = (p-1)/2, \end{cases}$$
$$\operatorname{ord}_{v}(\tau(\bar{\alpha})^{2}/\tau(\bar{\xi})) = \begin{cases} 0 & \text{if } 0 < k < (p-1)/2, \\ 1 & \text{if } (p-1)/2 \leqslant k < p-1 \end{cases}$$
$$\operatorname{ord}_{v}(\mathbf{B}_{2}(\bar{\xi})) = \begin{cases} -1 & \text{if } k = 1, (p+1)/2, \\ 0 & \text{if } k = (p-1)/2, \\ \geqslant 0 & \text{otherwise} \end{cases}$$

We conclude

$$\operatorname{ord}_{\mathfrak{p}}(\beta) = \begin{cases} -1 & \text{if } k = 1, \\ 0 & \text{if } k = (p-1)/2, (p+1)/2, \\ \operatorname{ord}_{\mathfrak{p}}(\mathbf{B}_{2}(\bar{\xi})) \ge 0 & \text{if } 1 < k < (p-1)/2, \\ 1 + \operatorname{ord}_{\mathfrak{p}}(\mathbf{B}_{2}(\bar{\xi})) \ge 1 & \text{if } (p+1)/2 < k < p-1. \end{cases}$$

Since  $\mathbf{Z}[\alpha]_{\mathfrak{p}} \cong \mathbf{Z}_p$  and  $\mathbf{B}_2(\bar{\xi})$  is sent to  $\mathbf{B}_2(\omega^{-2k})$  under this isomorphism, the result follows.  $\Box$ 

#### GLENN STEVENS

**5. Proof of Proposition 4.7.** (a) Using (3.9) one easily sees the equality  $E_{(\epsilon_1, \epsilon_2)}|\gamma = E_{(\epsilon_1, \epsilon_2)}$  for  $\gamma = \begin{pmatrix} a & b \\ c & d \end{pmatrix}$  with  $b \equiv 0 \pmod{N_2}$ ,  $c \equiv 0 \pmod{N_1}$  and  $a \equiv d \equiv \pm 1 \pmod{N_1N_2}$ . Thus, if k is a divisor of  $N/N_1$  such that  $N_2|k$ , then

$$E_{(\varepsilon_1,\varepsilon_2)}\Big|\begin{pmatrix}k&0\\0&1\end{pmatrix}\in\mathscr{E}^*_{\Gamma_1(N)}$$

Since *E* is a linear combination of terms of this form, we have also  $E \in \mathscr{E}^*_{\Gamma_1(N)}$ . The other two statements follow from corresponding statements for  $E_{(\epsilon_1, \epsilon_2)}$ , or from an examination of the *L*-series in (b).

(b) A straightforward calculation [19, 3.4.2] using the Fourier expansion of the  $\phi_x$  shows

$$L(E_{(\epsilon_1,\epsilon_2)},s) = -\tau(\bar{\epsilon}_1)N_s^{s-1}L(\epsilon_1,s)L(\epsilon_2,s-1).$$

The L-series of E is then easily calculated using the identity

(5.1) 
$$L\left(f\left|\begin{pmatrix}d&0\\0&1\end{pmatrix},s\right\rangle = d^{1-s}L(f,s)\right.$$

for  $f \in \mathscr{E}^*$ . (c)

$$\Lambda(E,\chi,1) = \frac{\tau(\bar{\chi})L(E,\chi,1)}{2\pi i}$$
$$= -\left(\frac{\tau(\bar{\chi})}{2\pi i}\right)\tau(\bar{\epsilon}_1)\chi\left(\frac{d}{N_2}\right)\prod_{p\mid (N/d)}\left(1-\frac{\epsilon_1\chi(p)}{p}\right)$$
$$\times \prod_{p\mid d}\left(1-\frac{\bar{\epsilon}_2\bar{\chi}(p)}{p}\right)L(\epsilon_1\chi,1)L(\epsilon_2\chi,0).$$

The result follows from substitution with the identities:

$$L(\varepsilon_{1}\chi,1) = \frac{-\pi i}{\tau(\bar{\varepsilon}_{1}\bar{\chi})} \mathbf{B}_{1}(\bar{\varepsilon}_{1}\bar{\chi})$$
$$L(\varepsilon_{2}\chi_{1},0) = -\mathbf{B}_{1}(\varepsilon_{1}\chi),$$
$$\frac{\tau(\bar{\chi})\tau(\bar{\varepsilon}_{1})}{\tau(\bar{\chi}\bar{\varepsilon}_{1})} = \chi(N_{1})\varepsilon_{1}(m_{\chi}).$$

(d) For  $t \in T$  we have  $E|t = \psi(t)^{-1}E$ . Thus  $t \cdot \delta_{\Gamma_1}(E) = \delta_{\Gamma_1}(E|t^{-1}) = \psi(t)\delta_{\Gamma_1}(E)$ . It follows that  $\delta_{\Gamma_1}(E)$  may be written as

(5.2) 
$$\delta_{\Gamma_1}(E) = \sum c(n) \cdot D_{\Gamma_1,n}(\psi),$$

where the sum is over n > 0 satisfying

$$(5.3) N_2|n, n \bigg| \frac{N}{N_1}.$$

We will calculate the coefficients c(n) using an induction on N.

To start the induction suppose  $N = N_1 N_2$ . Then  $E = E_{(\epsilon_1, \epsilon_2)} | \begin{pmatrix} N_2 & 0 \\ 0 & 1 \end{pmatrix}$ . There is only one *n* satisfying (5.3); hence

$$\delta_{\Gamma_1}(E) = c(N_2) \cdot D_{\Gamma_1, N_2}(\psi),$$

where (Theorem 1.3)

$$c(N_2) = r_{\Gamma_1, E} \left( \begin{bmatrix} 1 \\ N_2 \end{bmatrix}_{\Gamma_1} \right) = e_{\Gamma_1} \left( \begin{bmatrix} 1 \\ N_2 \end{bmatrix}_{\Gamma_1} \right) \cdot a_0 \left( E \left| \begin{pmatrix} 1 & 0 \\ N_2 & 1 \end{pmatrix} \right) \right)$$
$$= N_1 \cdot a_0 \left( E \left| \begin{pmatrix} 1 & 0 \\ N_2 & 1 \end{pmatrix} \right) \right).$$

Thus

(5.4) 
$$\delta_{\Gamma_1}(E) = N_1 \cdot a_0 \left( E \middle| \begin{pmatrix} 1 & 0 \\ N_2 & 1 \end{pmatrix} \right) \cdot D_{\Gamma_1, N_2}(\psi)$$

We will calculate  $a_0(E|\begin{pmatrix}1 & 0\\N_2 & 1\end{pmatrix})$  using the identity  $a_0(f) = -L(f, 0)$  for  $f \in \mathscr{E}^*$ . By expressing E in terms of the functions  $\phi_x$  and applying  $\begin{pmatrix}1\\N_2 & 1\end{pmatrix}$  it is easy to derive expressions for  $a_0(E|\begin{pmatrix}1\\N_2 & 1\end{pmatrix})$  and  $L(E|\begin{pmatrix}1\\N_2 & 1\end{pmatrix}, s)$ . Unfortunately, these expressions require a great deal of work to simplify to the desired expressions. We therefore prefer the following trick.

If we compare the coefficient of  $\begin{bmatrix} 1\\ aN_2 \end{bmatrix}_{\Gamma_1}$ ,  $a \in \mathbb{Z}$ , on both sides of the equality (5.4) we find

$$a_0\left(E\left|\begin{pmatrix}1&0\\aN_2&1\end{pmatrix}\right)\right)=\epsilon_1(a)a_0\left(E\left|\begin{pmatrix}1&0\\N_2&1\end{pmatrix}\right)\right).$$

Hence if we let  $\nu(N_1) = \#((\mathbb{Z}/N_1\mathbb{Z})^*)$ , then

(5.5) 
$$\nu(N_1)a_0\left(E\left|\begin{pmatrix}1&0\\N_2&1\end{pmatrix}\right)\right)=a_0(E').$$

where

$$E' = \sum_{a=0}^{N_1-1} \bar{\varepsilon}_1(a) E \left| \begin{pmatrix} 1 & 0\\ aN_2 & 1 \end{pmatrix} \right|.$$

It therefore suffices to find  $a_0(E')$ .

For a primitive Dirichlet character  $\chi$  of conductor *n*, let

$$R(\chi) = \sum_{a=0}^{n-1} \overline{\chi}(a) \cdot \begin{pmatrix} 1 & a/n \\ 0 & 1 \end{pmatrix} \in \mathbf{C}[\mathrm{GL}_2^+(\mathbf{Q})].$$

In the group ring  $C[GL_2^+(Q)]$  we have the identity

(5.6) 
$$\epsilon_1(-1)\sum_{a=0}^{N_1-1} \tilde{\epsilon}_1(a) \begin{pmatrix} 1 & 0\\ aN_2 & 1 \end{pmatrix} = \begin{pmatrix} 0 & -1\\ N_1N_2 & 0 \end{pmatrix} R(\epsilon_1) \begin{pmatrix} 0 & -1\\ N_1N_2 & 0 \end{pmatrix}^{-1}.$$

We will calculate L(E', s) using the following lemma. For  $f \in \mathscr{E}^*$  let

$$(5.7) D(f,s) = i \cdot \Gamma(s)(2\pi)^{-s}L(f,s).$$

LEMMA 5.1. For  $f \in \mathscr{E}^*$  we have

(a)  $D(f|_{1}^{(0-1)}, s) + D(f, 2-s) = 0;$ 

(b) For a primitive Dirichlet character  $\chi$  and  $f \in \mathscr{E}^*_{\Gamma_1(A)}$ , A > 0,

$$L(f|R(\chi),s) = \tau(\bar{\chi})L(f,\chi,s);$$

(c) For primitive Dirichlet characters  $\xi_1$ ,  $\xi_2$  of conductors  $m_1$ ,  $m_2$ ,

$$E_{(\xi_1, \xi_2)} \middle| \begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix} = E_{(\bar{\xi}_2, \bar{\xi}_1)}$$

**PROOF.** The functional equation in (a) is well known (e.g. [15]). The second statement (b) is a standard calculation. A simple calculation from Definition 4.6 using (3.9) proves (c).  $\Box$ 

Returning to the calculation of L(E', s) let

$$E_1 = E \left| \begin{pmatrix} 0 & -1 \\ N_1 N_2 & 0 \end{pmatrix}, \quad E_2 = E_1 | R(\varepsilon_1), \quad E_3 = E_2 \left| \begin{pmatrix} 0 & -1 \\ n & 0 \end{pmatrix}, \right.$$

where *n* is the conductor of  $\xi$ . Then by (5.6)

(5.8) 
$$E' = \varepsilon(-1) \cdot E_3 \left| \begin{pmatrix} N_1 N_2 / n & 0 \\ 0 & 1 \end{pmatrix} \right|$$

Since

$$\binom{N_2 \ 0}{0 \ 1}\binom{0 \ -1}{N_1N_2 \ 0} = N_2\binom{0 \ -1}{1 \ 0}\binom{N_1 \ 0}{0 \ 1},$$

Lemma 5.1(c) shows

$$E_1 = E_{(\tilde{\epsilon}_2, \tilde{\epsilon}_2)} \bigg| \begin{pmatrix} N_1 & 0\\ 0 & 1 \end{pmatrix}.$$

Hence

$$L(E_1, s) = -\tau(\varepsilon_2)L(\overline{\varepsilon}_2, s)L(\overline{\varepsilon}_1, s-1).$$

Then (b) of the lemma shows

$$L(E_2, s) = -\tau(\bar{\epsilon}_1)\tau(\epsilon_2)L(\epsilon_1\bar{\epsilon}_2, s)L(\epsilon_1\bar{\epsilon}_1, s-1)$$
  
= 
$$\left[\frac{\tau(\bar{\epsilon}_1)\tau(\epsilon_2)}{\tau(\bar{\xi})}\prod_{p|N}(1-\xi(p)p^{-s})\prod_{p|N_1}(1-p^{1-s})\right]$$
  
$$\cdot(-\tau(\bar{\xi})L(\xi, s)\xi(s-1)).$$

Thus 
$$D(E_2, s) = [] \cdot D(E_{(\xi,1)}, s)$$
. Since  $\binom{0}{n} = \binom{0}{1} = \binom{0}{0} \binom{0}{0} = \binom{0}{0} \binom{0}{0}$ , (a) of the lemma shows  
 $D(E_3, s) = n^{1-s} D\left(E_2 \middle| \begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix}, s \right) = -n^{1-s} D(E_2, 2-s)$   
 $= -n^{1-s} \left\{ \frac{\tau(\bar{\epsilon}_1)\tau(\epsilon_2)}{\tau(\bar{\xi})} \prod_{p|N} (1-\xi(p)p^{s-2}) \prod_{p|N_1} (1-p^{s-1}) \right\} \cdot D(E_{(\xi,1)}, 2-s)$   
 $= n^{1-s} \{\} \cdot D\left(E_{(\xi,1)} \middle| \begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix}, s \right)$  (a)  
 $= n^{1-s} \{\} \cdot D(E_{(1,\bar{\xi})}, s)$  (c).

Hence  $L(E_3, s) = -\{ \} \zeta(s) \cdot L(\bar{\xi}, s-1)$ . If we combine this with (5.8) we find  $L(E', s) = \varepsilon_1(-1) \left(\frac{N_1 N_2}{n}\right)^{1-s} L(E_3, s)$   $= -\varepsilon_1(-1) \left(\frac{N_1 N_2}{n}\right)^{1-s}$  $\times \left\{ \frac{\tau(\bar{\varepsilon}_1) \tau(\varepsilon_2)}{\tau(\bar{\xi})} \prod_{p|N} (1-\xi(p)p^{s-2}) \prod_{p|N_1} (1-p^{s-1}) \right\} \zeta(s) L(\bar{\xi}, s-1).$ 

The formulas (5.4), (5.5) and

$$\begin{aligned} a_0(E') &= -L(E',0), \qquad \nu(N_1) = N_1 \cdot \prod_{p \mid N_1} (1-p^{-1}) \\ \zeta(0) &= -\frac{1}{2}, \qquad L(\bar{\xi},-1) = -\frac{1}{2} \mathbf{B}_2(\bar{\xi}) \end{aligned}$$

now lead easily to the desired formula. This proves (d) when  $N = N_1 N_2$ .

To complete the proof of (d) we proceed by induction on N. Let A be a positive integer divisible by  $N_1N_2$  and l a prime. Suppose the result is known for N = A. We prove it for N = Al. Let  $\Gamma = \Gamma_1(A)$ ,  $\Gamma' = \Gamma_1(Al)$ .

Consider the two projections

$$\begin{array}{c} X_1(Al) \\ \pi \swarrow & \searrow \pi_l \\ X_1(A) & X_1(A) \end{array}$$

where  $\pi$  is induced by the inclusion  $\Gamma' \subseteq \Gamma$  and  $\pi_l$  is induced by the map  $\Gamma' \hookrightarrow \Gamma$  given by  $\gamma \mapsto \binom{l}{0} \frac{1}{1} \gamma \binom{l^{-1}}{0} \frac{0}{1}$ . These maps induce maps on cuspidal divisors

$$\begin{array}{c} \mathscr{D}_{\Gamma'} \\ \pi^* \nearrow & \diagdown \pi_l^* \\ \mathscr{D}_{\Gamma} & \mathscr{D}_{\Gamma} \end{array}$$

The Dirichlet characters  $\varepsilon_1$ ,  $\varepsilon_2$  define a character on T(A) and also one on T(Al) as in (4.13). We denote both of these characters by the same letter,  $\psi$ .

LEMMA 5.2. Let d be a divisor of  $A/N_1$  such that  $N_2|d$ . Then (a)

$$\pi^*(D_{\Gamma,d}(\psi)) = \begin{cases} l \cdot D_{\Gamma',d}(\psi) & \text{if } l | (A/d), \\ l \cdot D_{\Gamma',d}(\psi) + \varepsilon_1(l) D_{\Gamma',ld}(\psi) & \text{if } l + (A/d) \end{cases}$$

(b)

$$\pi_l^*(D_{\Gamma,d}(\psi)) = \begin{cases} lD_{\Gamma',ld}(\psi) & \text{if } l|d, \\ lD_{\Gamma',ld}(\psi) + \bar{\epsilon}_2(l)D_{\Gamma',d}(\psi) & \text{if } l+d \end{cases}$$

**PROOF.** Clearly each of these divisors is in  $\mathscr{D}_{\Gamma'}(\psi)$ . We will give the details of (a) only since (b) is similar.

We have

$$\pi^*(D_{\Gamma,d}(\psi)) = \sum c(k) \cdot D_{\Gamma',k}(\psi),$$

where the sum is over all k such that  $k|(Al/N_1)$  and  $N_2|k$ . The coefficients c(k) are given by

$$c(k) = e\left(\pi; \begin{bmatrix} 1 \\ k \end{bmatrix}_{\Gamma'}\right) \cdot r\left(\begin{bmatrix} 1 \\ k \end{bmatrix}_{\Gamma'}\right),$$

where  $e(\pi; [{}^1_k]_{\Gamma'})$  is the ramification index of  $\pi$  at  $[{}^1_k]_{\Gamma'}$  and  $r([{}^1_k]_{\Gamma})$  is the coefficient of  $[{}^1_k]_{\Gamma}$  in  $D_{\Gamma,d}(\psi)$ . Since  $r([{}^1_k]_{\Gamma}) = 0$  unless (k, A) = d, we have c(k) = 0 if  $k \neq d$ and  $k \neq dl$ . Moreover,  $r([{}^1_d]_{\Gamma}) = 1$  and

$$r\left(\begin{bmatrix}1\\dl\end{bmatrix}_{\Gamma}\right) = \begin{cases} 0 & \text{if } l|(A/d),\\ \varepsilon_1(l) & \text{if } l+(A/d). \end{cases}$$

The ramification indices are easily computed using the formula  $e(\pi; x) = e_{\Gamma'}(x)/e_{\Gamma}(x)$ . We obtain  $e(\pi; \begin{bmatrix} 1 \\ d \end{bmatrix}_{\Gamma'}) = l$  and for l + (A/d),  $e(\pi; \begin{bmatrix} 1 \\ dt \end{bmatrix}_{\Gamma'}) = 1$ . Thus c(d) = l and

$$c(dl) = \begin{cases} 0 & \text{if } l | (A/d), \\ \epsilon_1(l) & \text{if } l + (A/d). \quad \Box \end{cases}$$

LEMMA 5.3. Let d be a divisor of  $A/N_1$  such that  $N_2|d$ . Let  $E \in \mathscr{E}_{\Gamma}^*$  such that  $\delta_{\Gamma}(E) = D_{\Gamma,d}(\psi)$ . Let

$$E_{1} = \begin{cases} E & \text{if } l | (A/d), \\ E \left| \left( 1 - \frac{\varepsilon_{1}(l)}{l} \begin{pmatrix} l & 0 \\ 0 & 1 \end{pmatrix} \right) & \text{if } l + (A/d), \end{cases}$$
$$E_{2} = \begin{cases} E \left| \begin{pmatrix} l & 0 \\ 0 & 1 \end{pmatrix} & \text{if } l | d, \\ E \left| \left( \begin{pmatrix} l & 0 \\ 0 & 1 \end{pmatrix} - \frac{\overline{\varepsilon}_{2}(l)}{l} \right) & \text{if } l + d. \end{cases}$$

Then  $E_1, E_2 \in \mathscr{E}_{\Gamma'}^*$  and

$$\delta_{\Gamma'}(E_1) = \begin{cases} lD_{\Gamma',d}(\psi) & \text{if } l | A, \\ l\left(1 - \frac{\xi(l)}{l^2}\right) D_{\Gamma',d}(\psi) & \text{if } l + A, \end{cases}$$

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and

$$\delta_{\Gamma'}(E_2) = \begin{cases} lD_{\Gamma',ld}(\psi) & \text{if } l|A, \\ l\left(1 - \frac{\xi(l)}{l^2}\right) \cdot D_{\Gamma',ld}(\psi) & \text{if } l+A \end{cases}$$

**PROOF.** This is a straightforward calculation using Lemma 5.2. We will give the details only for  $E_1$ .

Suppose l|A. If l|(A/d), then  $\delta_{\Gamma'}(E_1) = \delta_{\Gamma'}(E) = \pi^*(D_{\Gamma,d}(\psi)) = lD_{\Gamma',d}(\psi)$  by Lemma 5.2(a). On the other hand if l + (A/d), then l|d and

$$\begin{split} \delta_{\Gamma'}(E_1) &= \pi^* \big( D_{\Gamma,d}(\psi) \big) - \frac{\epsilon_1(l)}{l} \pi_l^* \big( D_{\Gamma,d}(\psi) \big) \\ &= \big( l D_{\Gamma',d}(\psi) + \epsilon_1(l) D_{\Gamma',dl}(\psi) \big) - \frac{\epsilon_1(l)}{l} \big( l D_{\Gamma',dl}(\psi) \big) \\ &= l D_{\Gamma',d}(\psi). \end{split}$$

Suppose  $l \nmid A$ . Then

$$\begin{split} \delta_{\Gamma'}(E_1) &= \pi^* (D_{\Gamma,d}(\psi)) - \frac{\varepsilon_1(l)}{l} \pi_l^* (D_{\Gamma,d}(\psi)) \\ &= (lD_{\Gamma',d}(\psi) + \varepsilon_1(l) D_{\Gamma',ld}(\psi)) - \frac{\varepsilon_1(l)}{l} (lD_{\Gamma',ld}(\psi) + \bar{\varepsilon}_2(l) D_{\Gamma',d}(\psi)) \\ &= l \Big( 1 - \frac{\xi(l)}{l^2} \Big) D_{\Gamma',d}(\psi). \quad \Box \end{split}$$

The proof of Proposition 4.7(d) follows easily by induction from the case  $N = N_1 N_2$ and Lemma 5.3.  $\Box$ 

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