# Arithmetic statistics for knots and knot invariants

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June 25, 2019

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We can also define knots in higher dimensions:

## Definition

An *n*-knot K is an embedded submanifold of  $S^{n+2}$  homeomorphic to  $S^n$ .

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## Note

We consider knots to be *oriented*, which means that we keep track of the orientation on K as well as on the ambient  $S^{n+2}$ .



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#### Cohen-Lenstra Heuristics

- The class group of a random real quadratic field is a 2-group times a finite group drawn from a given distribution(in particular the average size of the odd part is bounded).
- The class group of a random imaginary quadratic field is a 2-group times a cyclic group  $\sim$  98% of the time.

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#### Connection to arithmetic statistics

Families of knots can be parametrized by arithmetic data.

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The Alexander module  $Alex_K$  is a complicated object, but it turns out that a lot of the information from it is contained in one polynomial, namely the Alexander polynomial  $\Delta_K(t) \in \mathbb{Z}[t]$  of a simple 4a + 1-knot K. The Alexander module  $Alex_K$  is a complicated object, but it turns out that a lot of the information from it is contained in one polynomial, namely the Alexander polynomial  $\Delta_K(t) \in \mathbb{Z}[t]$  of a simple 4a + 1-knot K.

# Properties of the Alexander Polynomial

•  $\Delta_{\mathcal{K}}(1) = 1$ 

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$$\Delta_{\mathcal{K}}(t^{-1}) = t^{-\deg \Delta_{\mathcal{K}}} \Delta_{\mathcal{K}}(t).$$

•  $\Delta_K$  has even degree.

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If  $\Delta_{\mathcal{K}}(t)$  is a quadratic polynomial, it must have the form

$$mt^2 + (1-2m)t + m$$

for some  $m \in \mathbb{Z}$ .

Let  $\Delta$  be a squarefree polynomial. There are only finitely many distinct Alexander modules with Blanchfield pairing having the Alexander polynomial  $\Delta$ .

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Goal

Obtain a quantitative form of this finiteness statement.

# Seifert hypersurfaces and Seifert pairings

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Seifert hypersurfaces exist for all knots, but are not unique. We say that  $V^{n+1}$  is a *simple Seifert hypersurface* if V is  $\lfloor \frac{n}{2} \rfloor$ -connected. Simple Seifert hypersurfaces exist for all simple knots.

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Arithmetic knot statistics

## The Seifert Pairing

Now specialize to n = 4a + 1.

Image: Image:

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If you pick a basis for  $H_{2a+1}(V, \mathbb{Z})$  in which the intersection pairing has matrix equal to the standard skew-symmetric matrix J, the matrix P of the Seifert pairing will satisfy  $P - P^t = J$ .

If  $V^{4a+2}$  is a Seifert surface for  $K^{4a+1}$  with nondegenerate Seifert matrix P, then the  $\mathbb{Z}[t, t^{-1}]$ -module  $\operatorname{Alex}_K$  is presented by the matrix

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Corollary

$$\Delta_{\mathcal{K}}(t) = \det(tP - P^t)$$

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Different choices of Seifert surface for the same knot can have non-isomorphic Seifert pairings. That is, the Seifert matrices that are not equivalent up to change of basis.

 $\left(\begin{smallmatrix}2&0\\1&3\end{smallmatrix}\right), \left(\begin{smallmatrix}2&-1\\0&3\end{smallmatrix}\right), \left(\begin{smallmatrix}1&0\\1&6\end{smallmatrix}\right)$ 

# Seifert pairings and orbits

If you pick a basis for  $H_q(V, \mathbb{Z})$  in which the intersection pairing has matrix equal to the standard skew-symmetric matrix J, the matrix P of the Seifert pairing will satisfy  $P - P^t = J$ .

#### Observation

Isomorphism classes of Seifert pairings are equivalent to orbits of the group  $\operatorname{Sp}_{2g}(\mathbb{Z})$  on the set of  $2g \times 2g$ - matrices P with  $P - P^t = J$ . (Here  $X \in \operatorname{Sp}_{2g}(\mathbb{Z})$  acts by  $P \mapsto XPX^t$ .)

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### Conclusion

Isomomorphism classes of Seifert pairings are orbits for the representation  $Sym^2(2g)$  of  $Sp_{2g}(\mathbb{Z})!$ 

When g = 1,  $Sp_{2g} = SL_2$ , and the arithmetic invariant theory reduces to the question of binary quadratic forms with odd discriminant.

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There is also a generalization to higher degree Alexander polynomials.

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The number of SL<sub>2</sub>-orbits of binary quadratic forms of discriminant 1 - 4m with  $m \in [0, X]$  is  $\sim X^{3/2}$ .

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So we get the same results for isomorphism classes of Seifert pairings, or of simple Seifert hypersurfaces.

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Arithmetic knot statistics

June 25, 2019 16 / 22

Average-case counting simple knots of genus 1, equivalently genus 1 Alexander modules with Blanchfield pairing, is harder because we need to know the average size of the narrow class group of  $R_{\Delta} = \mathbb{Z}[\frac{1}{m}][\frac{1+\sqrt{1-4m}}{2}]$ . Average-case counting simple knots of genus 1, equivalently genus 1 Alexander modules with Blanchfield pairing, is harder because we need to know the average size of the narrow class group of  $R_{\Delta} = \mathbb{Z}[\frac{1}{m}][\frac{1+\sqrt{1-4m}}{2}]$ .

## Case: |m| is prime

Then *m* factors as a product of principal prime ideals  $(m) = (\gamma)(\overline{\gamma})$ , so the map  $NCl(\mathbb{Z}[\gamma_m]) \to NCl(R_m)$  is an isomorphism.

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### Case: |m| is composite

For any  $p \mid |m|$ , the ideal  $(p, \gamma_m)^2$  lies in the kernel of the map  $NCI(\mathbb{Z}[\gamma_m]) \rightarrow NCI(R_m)$ 

### Heuristic

 There are ~ X<sup>3/2</sup>/ log X isomorphism classes of simple 2q − 1 knots whose Alexander polynomial has the form pt<sup>2</sup> + (1 − 2p)t + p for some prime p in the range [1, X].

### Heuristic

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- There are ~ X log X isomorphism classes of simple 2q − 1 knots whose Alexander polynomial has the form mt<sup>2</sup> + (1 − 2m)t + m for some m ∈ [−X, X] such m is not a positive prime.

#### Theorem

The total number of isotopy classes of simple 4a + 1-knots having Alexander polynomial equal to  $\Delta_p$  for  $0 \le p \le X$  is  $\gg (X^{3/2-\epsilon})$  for all  $\epsilon > 0$ .

#### Theorem

The total number of isotopy classes of simple 4a + 1-knots having Alexander polynomial equal to  $\Delta_p$  for p running over all primes in the range [1, X] is (unconditionally) bounded above by  $O(X^{3/2}/\log X)$ .
The lower bound for the contribution of primes also gives us a lower bound for the total:

#### Theorem

The total number of isotopy classes of simple 4a + 1-knots having Alexander polynomial equal to  $\Delta_m$  for  $|m| \le X$  is  $\gg (X^{3/2-\epsilon})$  for all  $\epsilon > 0$ .

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#### Work in progress

The total number of  $\text{Sp}_{2g}$ -orbits of symmetric matrices Q such that  $\text{ht}(e_Q) < X$  is asymptotic to  $X^{g(g+\frac{1}{2})}$ .

As in quadratic case, most orbits come from the cases when the stabilizer of Q finite, when happens when  $\mathbb{Q}[x]/e_Q(x)$  is a CM field. Can also count the other orbits weighted by regulator.

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ight)$$

for

$$e_Q(x) = \det(xJ - Q) = x^{2g} + c_2 x^{2g-2} + ... + c_{2g}$$

as before.

### Work in progress

The total number of  $\text{Sp}_{2g}$ -orbits of symmetric matrices Q such that  $\text{ht}(e_Q) < X$  is asymptotic to  $X^{g(g+\frac{1}{2})}$ .

As in quadratic case, most orbits come from the cases when the stabilizer of Q finite, when happens when  $\mathbb{Q}[x]/e_Q(x)$  is a CM field. Can also count the other orbits weighted by regulator.

For the knot question, expect again largest contribution when  $c_{2g}$  is prime.

# Thank you!