

# Polarizations and Étale Cohomology

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Let  $A/k$  be an abelian variety (possibly  $X$  sometimes)

Dfn: A polarisation is an isogeny  $\lambda: A \rightarrow \hat{A}$  such that over  $k$  we have a line bundle  $L$  such that  
$$\lambda = \lambda_L: a \mapsto t_a^* L \otimes L^{-1}$$

The degree of a polarisation is its degree as an isogeny.

A degree 1 polarisation is a principal polarisation.

Natural question: What can we read off from  $L$  about  $\lambda_L$ ?

To answer this, recall sheaf cohomology.

If we have a short exact sequence of sheaves, then  $\Gamma$  is not necessarily right exact.

Example (classical):  $X = \mathbb{C}^x$

$$0 \rightarrow \mathbb{Z} \xrightarrow{i} \mathcal{O}_X \xrightarrow{\exp} \mathcal{O}_X^* \rightarrow 0$$

but

$$0 \rightarrow \Gamma(X, \mathbb{Z}) \rightarrow \Gamma(X, \mathcal{O}_X) \rightarrow \Gamma(X, \mathcal{O}_X^*)$$

not surjective, no global  $\log(z)$

To remedy/control this, we introduce additional "things".

Explicitly, for a sheaf  $\mathcal{F}$ , take an injective resolution

$$0 \rightarrow \mathcal{F} \rightarrow \mathcal{I}^0 \rightarrow \mathcal{I}^1 \rightarrow \dots \quad \text{chain complex}$$

apply  $\Gamma$  and truncate

$$0 \xrightarrow{d^0} \Gamma(X, \mathcal{I}^0) \xrightarrow{d^1} \Gamma(X, \mathcal{I}^1) \xrightarrow{d^2} \dots$$

and take cohomology

$$H^0(X, \mathcal{F}) = \frac{\ker d^0}{\text{im } d^0}, \dots, H^i(X, \mathcal{F}) = \frac{\ker d^i}{\text{im } d^i}$$

Dfn: The Euler (-Poincaré) characteristic of a line bundle  $\mathcal{L}$  is

$$\chi(\mathcal{L}) = \sum (-1)^i \dim_k H^i(X, \mathcal{L}).$$

Thm (Riemann-Roch)

$$\textcircled{1} \deg(\mathcal{L}_2) = \chi(\mathcal{L}_2)^2$$

$$\textcircled{2} \text{ If } \mathcal{L} = \mathcal{L}(D), \text{ then } \chi(\mathcal{L}) = \frac{(D^g)}{g!}, \quad \leftarrow g\text{-fold self intersection number}$$

where  $g = \dim(A)$ .

## Then (Vanishing)

If  $\#K(h) < \infty$ , then there is a unique  $0 \leq i(h) \leq g$  with

$$H^i(X, L) \neq 0$$
$$H^r(X, L) = 0 \quad \forall r \neq i.$$

Moreover,  $i(L^{-1}) = g - i(L)$ .

Recall that if  $L$  is ample, then  $\#K(L) < \infty$ .

Moreover, if  $L$  is very ample then  $H^0(X, L) \neq 0$ , i.e.  $i(L) = 0$ .

## Then (Finiteness)

The set of all Abelian Varieties over a finite field, of dim  $g$ , with a polarisation of degree  $d^2$  is finite.

Proof (Super sketch): Over a finite field  $k$ , there exists an ample  $L$  with  $\lambda_L$  a polarisation of deg  $d^2$ .

Using the above,  $\chi(L^3) = 3^g d$ , but  $L^3$  is very ample.

So

$$\dim H^0(A, L^3) = 3^g d.$$

So we get an embedding into  $\mathbb{P}^{3^g d - 1}$ .

The degree of  $A \subseteq \mathbb{P}^{3^g d - 1}$   
 $((3D)^g) = 3^g d^g!$

Such an embedding is determined by its Chow form (some big polynomial) of fixed degree.

Hence the set of such is finite.  $\square$

## Étale cohomology

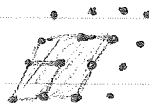
Recall for abelian varieties over  $\mathbb{C}$ , we had singular cohomology  $H^i(A(\mathbb{C}), \mathbb{Z})$ .

Want to emulate this over a general field.

We had  $\pi_1(A(\mathbb{C}), 0) \cong \pi^{-1}(0) = \Lambda$

$$\begin{array}{c} V \\ \downarrow \pi \\ A(\mathbb{C}) = V/\Lambda \end{array}$$

Isogenies gave us covers, eg. 4-fold cover by [2].



Problem: Zariski is too coarse.

eg. [2]:  $A \rightarrow A$ , there exists no Zariski open  $U \subseteq A$  such that  $[2]|_U \xrightarrow{\sim} \text{im}([2]|_U)$ .

Isogenies are not local isomorphisms for the Zariski topology.

What is a local isomorphism?

Given  $f: X \rightarrow Y$ , we want  $U \subseteq Y$  open s.t.

$$\begin{array}{ccc}
 f^{-1}(U) & \xrightarrow{p} & U \\
 \downarrow & & \downarrow \text{open immersion} \\
 X & \longrightarrow & Y
 \end{array}$$

$f^{-1}(U)$  disjoint union of schemes which are  $\cong U$  by  $p$

If I have a map  $f: X \rightarrow Y$  which I want to call a local isomorphism, I can adjust my view of open sets.

$$\left. \begin{array}{ccc}
 X & \xrightarrow{id} & X \\
 id \downarrow & & \downarrow f \\
 X & \xrightarrow{p} & Y
 \end{array} \right\} \text{Allow } f: X \rightarrow Y \text{ to be an "open set"}.$$

Def: Let  $X, Y$  be nonsingular varieties /  $k = \bar{k}$ .

We say  $f: X \rightarrow Y$  is étale at  $P \in X$  if

$$df: T_{gtp} X \rightarrow T_{gtp} Y$$

is an isomorphism.

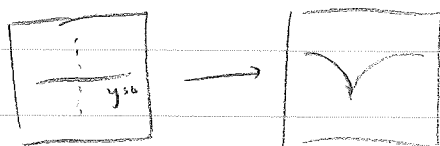
We say  $f$  is étale if it is étale at  $P$  for all  $P \in X$ .

Proposition: If  $f: A^m \rightarrow A^m$ , then  $f$  is étale at  $P = (a_1, \dots, a_m) \in A^m$

if the matrix

$$\left( \left( \frac{\partial y_j \circ f}{\partial x_i} \right)_{i,j=1, \dots, m} \Big|_{(a_1, \dots, a_m)} \right) \text{ is nonsingular.}$$

Example:  $f: A^2 \rightarrow A^2$   
 $(x, y) \mapsto (x^3, x^2 + y)$



$$\begin{pmatrix} 3x^2 & 0 \\ 2x & 1 \end{pmatrix}$$

is singular when  $x=0$  (or  $\text{char} = 3$ )

Proposition: The maps  $[n]: A \rightarrow A$  are étale if  $\text{char } k \nmid n$ .

Proof:  $(d(\alpha + \beta))|_0 = (d\alpha)|_0 + (d\beta)|_0$

So  $d[n] = d(\text{id} + \dots + \text{id})$

$= 1 + \dots + 1$

$= n$

$\leftarrow$   $k$ -vector space map

$n$  is an isomorphism precisely when  $\text{char } k \nmid n$ .  $\square$

## Dfn (Étale morphism)

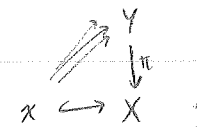
A morphism  $f: X \rightarrow Y$  of schemes is étale if it is flat and unramified.

All isogenies are finite and flat.

Dfn: Let  $\mathcal{F}\acute{E}t/X$  be the category of finite étale maps  $\pi: Y \rightarrow X$  (finite étale covers).

If we pick a base point  $x \in X$ , we can define

$$F: \mathcal{F}\acute{E}t/X \rightarrow \text{Set}$$

$$\pi \longmapsto \text{Hom}_x(x, Y) \quad \left( \text{eg. } \approx \pi^{-1}(x) \right)$$


This functor is in fact pro-representable, i.e. we can find a projective system

$$\tilde{X} = (X_i)_{i \in I} \quad \text{I some directed set}$$

such that

$$F(Y) = \text{Hom}_x(\tilde{X}, Y) = \varinjlim_i \text{Hom}_x(X_i, Y).$$

Then

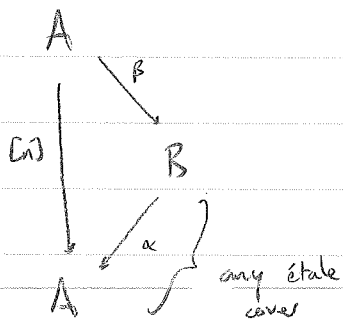
$$\pi_1^{\text{ét}}(X, x) = \text{Aut}_x(\tilde{X}) = \varprojlim_i \text{Aut}_x(X_i).$$

So we need to understand finite étale covers of abelian varieties.

Proposition (Surprising Proposition!): Let  $X$  be a complete variety  $/k$ ,  $e \in X(k)$  with some map  $m: X \times X \rightarrow X$  such that  $m(e, x) = x = m(x, e)$ .  
Then  $(X, m, e)$  is an abelian variety.

Thm (Lang-Serre): Let  $X/k$  be an abelian variety and  $Y/k$  such that  $f: Y \rightarrow X$  is a finite étale cover and there exists  $e_Y \in Y(k)$  such that  $f(e_Y) = e_X$ .  
Then  $Y$  can be given an abelian variety structure such that  $f$  becomes a separable isogeny.

Last time we saw that for any isogeny  $\alpha: B \rightarrow A$ , there exists  $\beta: A \rightarrow B$  such that  $\beta \circ \alpha = [n]$  on  $A$ .



So for our  $\tilde{A}$ , we can take  $(A)_{i \in \mathbb{Z}}$  with multiplication by  $n$  as covering maps.

$$\text{Thus, } \pi_1(A, 0) = \varprojlim_i \text{Aut}(A) = \varprojlim_n [A[n]]$$

Thm:

- $H'_{\text{ét}}(A, \mathbb{Z}_\ell) = \text{Hom}(\pi_1(A, 0), \mathbb{Z}_\ell) \cong \text{Hom}(T_e A, \mathbb{Z}_\ell)$
- $H''_{\text{ét}}(A, \mathbb{Z}_\ell) = \bigwedge^r H'_{\text{ét}}(A, \mathbb{Z}_\ell)$ .