

# The Hopf algebra of rooted trees

Def. 1) A rooted tree is a connected and simply connected set of edges and vertices such that each edge connects two vertices. We distinguish one vertex and orient all edges away from that vertex.

1)  $\text{fert}(v)$  is the number of edges outgoing from  $v$ .

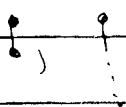
We consider the free commutative algebra of polynomials in rooted trees. The product is induced by the disjoint union, and we write  $e$  for the unit in this algebra. ( $e$  from empty set)

For each rooted tree with root  $r$ , we have  $\text{fert}(r)$  rooted trees attached to the root.

$$\text{fert}(r) = 0$$

$$\text{fert}(r) = 1$$

$$\text{fert}(r) = 2 \dots$$



Let  $H$  be the algebra of rooted trees.

$H$  is graded by the number of vertices:

$$H = \bigoplus_{k \geq 0} H^{(k)}$$

$$H^{(0)} \cong \mathbb{Q}e \quad H^{(1)} \cong \mathbb{Q} \bullet \quad H^{(2)} \cong \{ \bullet, \bullet \bullet \}, \dots$$



$H_L$  is the linear subspace.

( $\mathbb{1}$  is in  $H_L$  and  $H^{(2)}$ ,  $\circ\circ$  is in  $H^{(2)}$ ,  $\text{root}$  is in  $H_L$ )

Define a linear map  $B_-: H \rightarrow H$  by

$$B_-(e) = 0, \quad B_-(\circ) = e, \quad B_-(T) = \bigcup_{i=1, \dots, \text{fst}(T)} T_i, \quad T \in H_L$$

where  $T_i$  are the trees attached to the root of  $T$ .

For  $X \in H$ , extend  $B_-$  by a Leibniz rule:

$$B_-(X_1 X_2) = X_1 B_-(X_2) + B_-(X_1) X_2.$$

$$\bullet \quad B_-(\circ) = e, \quad B_-(\mathbb{1}) = \circ, \quad B_-(\mathbb{1}) = \mathbb{1}, \quad B_-(\circ\circ) = \circ\circ.$$

Let us now introduce a map  $B_+: H \rightarrow H$ .

$$B_+(X) = \bigwedge_{T_1 \dots T_k} \text{where } X = \bigvee_{T_i}$$

$$B_+(e) = \circ.$$

$$\text{For } T \in H_L, \quad B_-(B_+(T)) = T = B_+(B_-(T)).$$

But in general

$$B_+(B(X)) \neq X,$$

Example:  $B_+(B(\dots)) = B_+(2 \cdot) = 2 B_+(\cdot) = 2 \cdot \neq \dots$

let us now define a map (the coproduct)

$$\Delta: H \rightarrow H \otimes H \text{ by}$$

$$\Delta[e] = e \otimes e$$

$$\Delta[T_1 \dots T_k] = \Delta[T_1] \dots \Delta[T_k]$$

$$\Delta[B_+(X)] = B_+(X) \otimes e + (\text{id} \otimes B_+) \circ \Delta(X).$$

Examples:

$$\begin{aligned} \Delta[\cdot] &= \Delta[B_+(e)] = B_+(e) \otimes e + (\text{id} \otimes B_+) \circ \Delta(e) \\ &= \cdot \otimes e + e \otimes \cdot \end{aligned}$$

$$\begin{aligned} \Delta[!] &= \Delta[B_+(\cdot)] = ! \otimes e + (\text{id} \otimes B_+) \Delta[\cdot] \\ &= ! \otimes e + \cdot \otimes \cdot + e \otimes ! \end{aligned}$$

$$\Delta[!!] = \dots, \Delta[!!] = \dots$$

let us finally introduce a map (the counit)

$$\bar{e}: H \rightarrow K \text{ by } \bar{e}(e) = 1 \in K,$$

$$\bar{e}(X) = 0 \text{ else.}$$

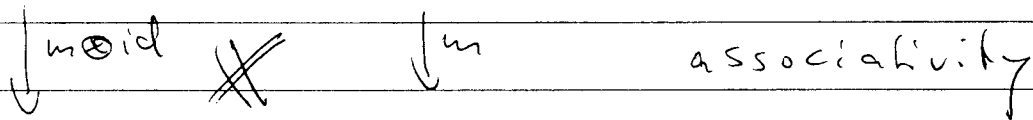
let  $E: K \rightarrow H$  be the map  $K \ni q \rightarrow qe \in H$ .

We set  $\gamma = E \circ \bar{e}$ , and  $P := \text{id} - \gamma$ .

To understand the significance of  $\Delta$  (the comultiplication)

let us remind ourselves what a product does for us in an algebra.

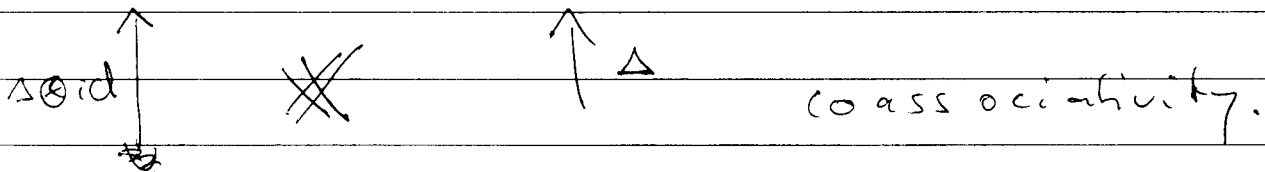
$$H \otimes H \otimes H \xrightarrow{\text{id} \otimes m} H \otimes H$$



$$H \otimes H \xrightarrow{m} H$$

Reverse arrows

$$H \otimes H \otimes H \xleftarrow{\text{id} \otimes \Delta} H \otimes H$$



$$H \otimes H \xleftarrow{\Delta} H$$

In an algebra, we demand a unit and an associative multiplication. In a coalgebra, we demand a counit and a coassociative multiplication.

$$(\Delta \otimes \text{id}) \circ \Delta = (\text{id} \otimes \Delta) \circ \Delta \tag{1}$$

$$(\bar{e} \otimes \text{id}) \circ \Delta = \text{id} = (\text{id} \otimes \bar{e}) \circ \Delta \quad (K \otimes H \cong H) \tag{2}$$

(2) is easy. (do it yourself)

Do examples!

For (1).  $\downarrow$  Write  $\Delta(X) = X' \otimes X''$  (sum suppressed)

$$\Delta[\underbrace{B_+(X)}_T] = B_+(X) \otimes e + (\text{id} \otimes B_+) \Delta(X)$$

$$(\text{id} \otimes \Delta) \circ \Delta[B_+(X)] = B_+(X) \otimes e \otimes e + (\text{id} \otimes \Delta \circ B_+) \circ \Delta(X)$$

$$= B_+(X) \otimes e \otimes e + (\text{id} \otimes \Delta \circ B_+) (X' \otimes X'')$$

$$= B_+(X) \otimes e \otimes e + X' \otimes (\text{id} \otimes B_+) \Delta(X'') + X' \otimes X'' \otimes e$$

$$= T \otimes e \otimes e + X' \otimes X'' \otimes e + (\text{id} \otimes \text{id} \otimes B_+) (\text{id} \otimes \Delta) \circ \Delta(X)$$

$$= T \otimes e \otimes e + X' \otimes X'' \otimes e + (\text{id} \otimes \text{id} \otimes B_+) (\Delta \otimes \text{id}) \circ \Delta(X)$$

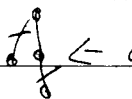
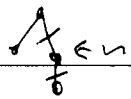
(\Delta \otimes \text{id})

$$= \Delta \circ B_+(X) \otimes e + (\Delta \otimes \text{id}) (\text{id} \otimes B_+) \circ \Delta(X)$$

$$= (\Delta \otimes \text{id}) \circ \Delta(B_+(X)). \quad \square$$

Def. admissible cut.

We let  $T^{[0]}$  be the set of vertices of  $T$  and  $T^{[1]}$  be the set of edges. A subset  $C \subset T^{[1]}$  is called admissible, if for any path from any  $v \in T^{[0]}$  towards the root, at most one edge which is traversed is in  $C$ .

Examples!  ← admissible  ← non-admissible.

Let  $P^c(T)$  be the product of rooted trees obtained by removing  $C$  which are not connected to the root.

Let  $R^c(T)$  be the single component still connected to the root.

$$\Delta[T] = T \oplus e + e \oplus T + \sum_{\substack{\text{adm.} \\ \text{cuts } C \\ \text{of } T}} P^c(T) \oplus R^c(T).$$

Examples.

the antipode. Co-inverse.

$$S: H \rightarrow H$$

$$m \circ (S \otimes id) \circ \Delta = m \circ (id \otimes S) \circ \Delta = \eta$$

$$S(e) = e, \quad S(T) = -T - \sum_{\substack{\text{adm} \\ \text{cuts} \\ \mathcal{C}}} S[P^{\mathcal{C}}(T)] R^{\mathcal{C}}(T).$$

$$S(T) = -T - \sum_{\substack{\text{all} \\ \text{cuts } \mathcal{C}}} (-1)^{n_{\mathcal{C}}} P^{\mathcal{C}}(T) R^{\mathcal{C}}(T).$$

Example. check both formulas!

$$S(\circ) = -\circ \quad S(\uparrow) = -\uparrow + \circ\circ$$

$$S(\uparrow) = \dots \quad S(\uparrow\downarrow) = \dots$$

$$\begin{aligned} \text{Sf } m \circ (S \otimes id) \circ \Delta(\uparrow) &= S(\uparrow) + S(\circ)\circ + \uparrow \\ &= -\uparrow + \circ\circ - \circ\circ + \uparrow = 0 = \eta(\uparrow) \end{aligned}$$

Do  $m \circ (S \otimes id) \circ \Delta$  and  $m \circ (id \otimes S) \circ \Delta$



general proof: exercise. (for the convergent)

Summary:

$(H, \Delta, m, E, \bar{e}, S)$  is a Hopf algebra.

Putting Hopf algebras to use.

Ultimately, we want to use Hopf algebras to learn how to deal with singularities in QFT. As QFT is right now too hard for us, and its singularities are its hardest part, we train the use of Hopf algebras first in toy models.

Our first toy model is defined as follows.

Consider a map  $\phi: H \rightarrow V$  (where  $V$  can be an algebra, a ring or sub)

such that  $\phi(T_1 T_2) = \phi(T_1) \phi(T_2)$ . (\*)

Note that this implies  $\phi(e) = 1_V$ .

First example:  $\phi_a(e) = 1$ .

$$\phi_a(\cdot) = \int_0^{\infty} \frac{x^{-\varepsilon}}{x+a} dx \quad \text{with } \varepsilon \in \mathbb{R}_+, a > 0, \text{ and } \text{Re}(\varepsilon) > 0.$$

$$\phi_a(B_+(X)) = \int_0^{\infty} \frac{x^{-\varepsilon}}{x+a} \phi_x(X).$$

↑ ... defined because of (\*)



Work out

$\phi_a(\bullet, \bullet, \bullet, \bullet)$  using

$$\int_0^{\infty} \frac{x^{-k\varepsilon}}{x+a} dx = \mathcal{B}(k\varepsilon, 1-k\varepsilon) a^{-k\varepsilon}$$

Calculate

$\phi_a(S(\bullet, \bullet, \bullet, \bullet))$ .  $\phi_a(S(\tau)) = -\phi_a(\tau) - \sum_{\text{ad-cut } \alpha} \phi_a(S P^\alpha(\tau))$   
 $\phi_a(R^c(\tau))$

Let  $R: V \rightarrow V$  be the map

$\phi_a \rightarrow \langle \phi_a \rangle$  (projection onto part at unit scale)

Define  $S_R^{\phi_a}(\tau) = -R[\phi_a(\tau)] - \sum_{\substack{\text{ad-} \\ \text{cuts} \\ \alpha}} S_R^{\phi_a}(P^\alpha(\tau)) \phi_a(R^c(\tau))$

$\mathcal{D}_0 \bullet, \bullet, \bullet, \bullet$

①

Then, let  $R \equiv R_{ns}^b$  be defined by

$$R(\phi_a(T)) = \langle \phi_b(T) \rangle, \text{ where}$$

$\langle \dots \rangle$  means projection onto the pole part.

$$\left\langle \sum_{k=-r}^{+\infty} c_k z^k \right\rangle = \sum_{k=-r}^{-1} c_k z^k.$$

$$\text{Then } S_R^{\phi_a}(T) \equiv -R(\phi_a(T)) - \sum_{\substack{\text{adm} \\ \text{cuts} \\ \Delta}} R \left[ S_R^{\phi_a}(P^c(T)) \phi_a(R^c(T)) \right]$$

does not depend on  $\log b$  and  $(P = \text{id} - E \circ \bar{e})$

$m_0(S_R^{\phi_a} \otimes \phi) \circ (\text{id} \otimes P) \circ \Delta(T)$  has

no poles  $\sim \log a$  ( $\omega \log b$ ) and  $S_R^{\phi_a} * \phi_a(T)$

$\equiv m_0(S_R^{\phi_a} \otimes \phi) \circ \Delta(T)$  is finite. (exists at  $\varepsilon=0$ )

Furthermore,  $S_R^{\phi_a}(T_1, T_2) = S_R^{\phi_a}(T_1) S_R^{\phi_a}(T_2)$   
is a character.

Before we consider the proof of this theorem, let us work out a few examples.

(2)

 $T = \cdot$ 

$$\Delta[\cdot] = \cdot \otimes e + e \otimes \cdot$$

$$S_R^{\phi}(\cdot) = -R[\phi(\cdot)]$$

$$\phi_a(\cdot) = \int_0^{\infty} \frac{x^{-\varepsilon}}{x+a} dx = B_1(\varepsilon) a^{-\varepsilon}$$

$$\text{where } B_j(\varepsilon) = B(j\varepsilon, 1-j\varepsilon) = \frac{\Gamma(1+j\varepsilon)\Gamma(1-j\varepsilon)}{j\varepsilon}$$

$$S_R^{\phi_a}(\cdot) = -\langle B_1(\varepsilon) a^{-\varepsilon} \rangle = -\frac{1}{\varepsilon}$$

$$\Gamma(1+j\varepsilon) = 1 + O(\varepsilon)$$

$$S_R^{\phi_a} * \phi_a(\cdot) = B_1(\varepsilon) a^{-\varepsilon} - \frac{1}{\varepsilon} = \frac{1}{\varepsilon} - \ln a + O(\varepsilon)$$

 $T = \mathbb{1}$ 

$$\Delta[\mathbb{1}] = \mathbb{1} \otimes e + e \otimes \mathbb{1} + \cdot \otimes \cdot$$

$$S_R^{\phi_a}(\mathbb{1}) = -R(\phi_a(\mathbb{1})) - R(S_R^{\phi_a}(\cdot) \phi_a(\cdot))$$

$$\phi_a(\mathbb{1}) = \int_0^{\infty} \int_0^{\infty} \frac{x^{-\varepsilon}}{x+a} \frac{y^{-\varepsilon}}{y+a} dy dx$$

$$= B_1(\varepsilon) B_2(\varepsilon) a^{-2\varepsilon} = \frac{1}{2\varepsilon^2} (1 + O(\varepsilon)) (1 - 2\varepsilon \ln a + \dots)$$

$$= \frac{1}{2\varepsilon^2} + \dots - \frac{\ln a}{\varepsilon} + \dots$$

(11)

(3)

$$\begin{aligned} S_R^{\phi_a}(\mathbb{1}) &= - \langle B_1(\varepsilon) B_2(\varepsilon) e^{-2\varepsilon} \rangle \\ &\quad + \langle \langle B_1(\varepsilon) e^{-\varepsilon} \rangle B_1(\varepsilon) e^{-\varepsilon} \rangle \\ &= - \langle B_1(\varepsilon) B_2(\varepsilon) e^{-2\varepsilon} \rangle + \langle \frac{1}{2} B_1(\varepsilon) e^{-\varepsilon} \rangle \end{aligned}$$

Expand in  $\varepsilon \Rightarrow$  no  $\frac{e^{-\varepsilon}}{\varepsilon}$  terms survive.

$$\begin{aligned} S_R^{\phi_a} * \phi(\mathbb{1}) &= \phi_a(\mathbb{1}) - R[\phi_a(\mathbb{1})] - R[\phi_a(\cdot)]\phi_a(\cdot) + R[R[\phi_a(\cdot)]\phi_a(\cdot)] \\ &= [\text{id} - R] \left( m \circ (S_R^{\phi_a} \otimes \phi_a) \cdot (\text{id} \otimes P) \cdot \Delta(\mathbb{1}) \right) \end{aligned}$$

and in general,

$$S_R^{\phi_a} * \phi(T) = (\text{id} - R) \left[ m \circ (S_R^{\phi_a} \otimes \phi_a) \cdot (\text{id} \otimes P) \cdot \Delta(T) \right].$$

We implicitly assume that

$$S_R^{\phi_a}(T_L, T_R) = S_R^{\phi_a}(T_L) S_R^{\phi_a}(T_R), \text{ which}$$

we will prove later in class.

As  $S_R^{\phi_a} * \phi_a$  is in the image of  $\text{id} - R$ , it is clear that  $S_R^{\phi_a} * \phi_a$

(12)

(4)

exists at  $\varepsilon=0$  and from

the results for  $\Gamma = \bullet$

We get  $S_R^{\phi_a}$  does not depend on  $\log b_1$

$S_R^{\phi_a} * \phi_a$  is finite and

$$m \circ (S_R^{\phi_a} \otimes \phi_a) \cdot (\text{id} \otimes P) \cdot \Delta \equiv S_R^{\phi_a} * \phi_a - S_R^{\phi_a}$$

has no log-dependent poles.

More actually,  $S_R^{\phi_a} * \phi_a(\bullet) |_{\varepsilon=0}$  is a first order polynomial in  $\log a$ .

We can prove similar properties for all

$\Gamma$  by induction ~~of~~ over the number of

vertices.

$$\text{Use } \Delta \circ B_+^e = B_+^e \otimes \text{id} + (\text{id} \otimes B_+^e) \circ \Delta,$$

$$S_R^{\phi} * \phi = (B_+(X))$$

$$= m \circ (S_R^{\phi} \otimes \phi) \cdot \Delta \circ B_+(X)$$

(13)

(5)

$$= m \circ (S_R^\phi \otimes \phi) \circ [(B_+ \otimes e) + (\text{id} \otimes B_+)] \Delta(X)$$

$$= S_R^\phi(B_+(X)) + (S_R^\phi \otimes \phi \circ B_+) (\Sigma X' \otimes X'')$$

$$= S_R^\phi(B_+(X)) + \int_0^\infty dx \frac{x^{-\varepsilon}}{x+a} S_R^\phi * \phi_x(X)$$

in class, we called

$$\text{this } \overline{B}_+^\phi = S_R^\phi * \phi(X).$$

It's easy to see that the integral has

no log a dependent poles if

$$S_R^\phi * \phi_x(X) \text{ is } \sim \text{at } \varepsilon=0 \text{ at}$$

most a polynomial in  $\log x$ , as

$$\int_0^\infty dx \left\{ \frac{x^{-\varepsilon}}{x+a} \text{Poly}(\log x) - \frac{x^{-\varepsilon}}{x+\tilde{a}} \text{Poly}(\log_1 x) \right\}$$

has no poles, and that after the

subtraction of the poles  $\overline{B}_+^\phi$  by  $S_R^\phi$ , (14)

(6)

$S_n * f(T)$  is again a polynomial

of degree at most  $n-1$ .

We will repeat this proof again in detail

for Rynman papers, and in the

exercises.

(15)

The sub Hopf algebra  $H_{\text{con}}$ .

So far we introduced the Hopf algebra

$H$  of undecorated rooted trees. We identified its coproduct  $\Delta$ , counit  $\bar{\epsilon}$  and antipode  $S$ .

This Hopf algebra has a closed sub-Hopf algebra  $H_{\text{con}}$ , i.e.

$$\Delta[X] = \sum X' \otimes X'' \quad \text{where } X, X', X'' \in H_{\text{con}} \text{ and}$$

$\Delta$  is the restriction of our coproduct to  $H_{\text{con}}$ .

To define  $H_{\text{con}}$  we need the operation of natural growth.

$$N: H \rightarrow H \quad N(X_1 X_2) = N(X_1)X_2 + X_1 N(X_2)$$

(it's a derivation) and

$N(T) =$  "sum over all ways of adding one more edge and vertex"

$$\Rightarrow N(\bullet) = \bullet \quad N(\bullet) = \downarrow \quad N(\downarrow) = \downarrow + \downarrow$$

$$N(\downarrow) = \downarrow + \downarrow + \downarrow, \quad N(\downarrow) = 2\downarrow + \downarrow \quad (16)$$



(2)

We define

$$\delta_x := N^x(e).$$

We define  $H_{\text{op}}$  as the algebra of polynomials in generators  $\delta_x$ , and make this commutative algebra into a Hopf algebra by using the previous  $\bar{e}, \Delta, S$ .

The only non-trivial fact to be shown is that the coproduct maps  $\delta_x$  into polynomials of sub generators.

$$\Delta(\delta_1) = \delta_1 \otimes e + e \otimes \delta_1$$

$$\Delta(\delta_2) = \delta_2 \otimes e + \delta_1 \otimes \delta_1 + e \otimes \delta_2$$

$$\Delta(\delta_3) = \Delta(\overset{\circ}{\delta} + \overset{\circ}{\delta}) = \delta_3 \otimes e + e \otimes \delta_3 + \overset{\circ}{\delta} \otimes \overset{\circ}{\delta} + 2 \cdot \overset{\circ}{\delta} \otimes \overset{\circ}{\delta}$$

$$+ \overset{\circ}{\delta} \otimes \overset{\circ}{\delta} + \dots \otimes \overset{\circ}{\delta} = \delta_3 \otimes e + e \otimes \delta_3 + 3\delta_1 \otimes \delta_2 + \delta_2 \otimes \delta_1$$

$$+ \delta_1^2 \otimes \delta_1 \quad \text{by inspection.}$$

(17)

Let us now prove this is general.

$\mathcal{D}_n$  is by construction a sum of trees:

$$\mathcal{D}_n = \sum_i t_i \quad \text{say. (for example, } \mathcal{D}_3 = \text{tree with 1 edge} + \text{tree with 2 edges})$$

$$\Delta(\mathcal{D}_n) = e \otimes \mathcal{D}_n + \mathcal{D}_n \otimes e + \sum_i \sum_{\substack{\text{all cuts} \\ G_i \text{ of } t_i}} P^{G_i}(t_i) \otimes R^{G_i}(t_i)$$

We can write (using the  $t_i$  of  $\mathcal{D}_n$ )

$$\Delta(\mathcal{D}_{n+1}) = e \otimes \mathcal{D}_{n+1} + \mathcal{D}_{n+1} \otimes e$$

$$+ \sum_i \sum_{\substack{\text{all cuts} \\ G_i \text{ of } t_i}} \left\{ N [ P^{G_i}(t_i) ] \otimes R^{G_i}(t_i) \right.$$

$$\left. + P^{G_i}(t_i) \otimes N [ R^{G_i}(t_i) ] \right\}$$

$$+ n \mathcal{D}_1 \otimes \mathcal{D}_n + \sum_i \sum_{\substack{\text{all cuts} \\ G_i \text{ of } t_i}} l(R^{G_i}(t_i)) P^{G_i}(t_i) \otimes$$

$\otimes R^{G_i}(t_i)$ , where  $l(t)$  gives the number of vertices of a tree  $t$ .

What we did is we decomposed the

cuts at  $\delta_{net}$  in four classes:

i) the edge of a new grown vertex is not part of the admissible cut: then we will have  $N$  acting on either the  $P^q$  or the  $R^q$  side. (two cases)

ii) or, the new edge is part of the admissible cut. This gives us a factor  $\delta_1$  always. If that edge is the only cut, we get  $n \delta_1 \otimes \delta_n$  as a contribution.

Otherwise, that edge must have been grown from the  $R^c$ -part (otherwise the cut obtained by adding that edge would not be admissible)

then, we get the  $l[R^{q_i}(t_i)] \dots$  part.  $\square$ .

What is the use of the Hopf algebra

$H_{c,1}$ ?

different.

Consider formal diffeomorphism of the form

$$x \mapsto x + \sum_{k=2}^{\infty} a_k x^k \quad (\text{for real } x \text{ say}).$$

Let  $\varphi(x)$ ,  $\psi(x)$  be two such diff's.

$$\varphi(x) = x + \sum_{k=2}^{\infty} a_k x^k \quad \psi(x) = x + \sum_{k=2}^{\infty} b_k x^k$$

What are the Taylor coefficients of the composition

$$\varphi \circ \psi(x) \stackrel{?}{=} ?$$

The answer is given by Heun in the following way.

$$\text{Define } \log^{(n)} [\varphi'(x)] = \delta_{\varphi}^{(n)}, \quad \log^{(n)} [\psi'(x)] = \delta_{\psi}^{(n)}$$

(these are the  $n$ -th derivatives of the

log of the derivative of the diffeomorphism)

useful in understanding two different

view points on renormalization: perturbative

(20)

It is easy to reconstruct  $\varphi, \psi$  from the knowledge of  $\delta_\varphi^n, \delta_\psi^n$  (exponentials and integrate).

So what we are after is the knowledge of  $\delta_{\varphi \circ \psi}^n$  from  $\delta_\varphi^n, \delta_\psi^n$ .

Then,

$$\delta_{\varphi \circ \psi}^n = m \circ (\bar{\psi} \otimes \bar{\varphi}) \circ \Delta(\delta_n),$$

where  $\delta_n \in H_{cn}$  and  $\bar{\psi}, \bar{\varphi}$  are characters

$$\bar{\psi}(\delta_{x_1} \dots \delta_{x_r}) = \delta_{\psi}^{x_1} \dots \delta_{\psi}^{x_r}, \quad \bar{\psi}(e) = 1,$$

and similarly for  $\bar{\varphi}$ .

That this is part of a larger theory

given by Connes and Moscovici, and

myself. We will not prove it here. It is

useful in understanding two different

view points on renormalization: perturbative

renormalization using Hopf algebras as we do in these lectures and renormalization regarded as diffeomorphisms of physical parameters driven by the renormalization group.

The equivalence of both viewpoints relies on theorems like the above.

— o —

We are now ready to start considering

the Lie algebra which appears in the dual of our Hopf algebra.

Thus  $(H, B_+)$  is unique up to isomorphism and universal: for any pair  $(H_+, L)$ , with  $H_+$  being a commutative Hopf algebra and  $L$  a Hochschild one cocycle (ie  $\Delta_+ \circ L = L \otimes 1 + (\text{id} \otimes L) \circ \Delta_+$ ) there exists a unique Hopf algebra morphism

$$g: H \rightarrow H_+ \text{ s.t. } L \circ g = g \circ B_+.$$

$B_+$  is Hochschild closed by definition,

but not exact:  $B_+(e) = 0$ , while

$$\begin{aligned} \text{for } T = bZ, \quad T(e) &= Z(e)e - (\text{id} \otimes Z) \Delta(e) \\ &= Z(e)e - eZ(e) = 0. \end{aligned}$$

Note that for any map  $Z: H \rightarrow \underbrace{H \otimes \dots \otimes H}_{n\text{-times}}$

$bZ: H \rightarrow \underbrace{H \otimes \dots \otimes H}_{n+1\text{ times}}$  is given by

$$\begin{aligned} bZ(a) &= (\text{id} \otimes Z) \circ \Delta(a) - \Delta_{(n)} Z(a) + \Delta_{(n)} Z(a) + \dots + (-1)^n \\ &\quad \Delta_{(j)} Z(a) + \dots + (-1)^n \Delta_{(n)} Z(a) + (-1)^{n+1} Z(a) \otimes e. \end{aligned}$$

So, if  $Z: H \rightarrow K$  ( $\sigma$  times),

$\Delta^{(i)}$  means  $\text{id} \otimes \dots \otimes \Delta \otimes \dots \otimes \text{id}$  where  $\Delta$  is in  $i$ 'th slot.  
Then  $bZ(a) = (\text{id} \otimes Z) \Delta(a) - Z(a) \otimes e \in H$

$$n=1: \quad Z: H \rightarrow H \quad bZ(a) = (\text{id} \otimes Z) \Delta(a) - \Delta \cdot Z(a) + Z(a) \otimes e \in H \otimes H$$

and so on. Thus  $bB_+ = 0$ . Note  $b^2 = 0$ .

Now consider a pair  $(H_1, L_1)$  where  $L_1$  is a commutative Hopf algebra and  $L_1$  is a 1-cocycle.

$L_1 \circ g = g \circ B_+$  uniquely determines an algebra homomorphism  $g: H \rightarrow H_1$ .

$$g(\pi T) = \pi g(T)$$

$$g(\cdot) = L_1(1)$$

$$g \circ B_+(\pi T) = L_1 g(\pi T) \text{ by induction.}$$

Need to check:  $(g \otimes g) \circ \Delta(a) = \Delta_1 g(a)$ .

$$\text{Check on } T = B_+(\pi T).$$

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$$\Delta_1 L_1 (g(\pi T)) = L_1 (g(\pi T)) \otimes 1$$

$$+ (\text{id} \otimes L_1) \Delta_1 g(\pi T).$$

In direction

$$(\text{id} \otimes L_1) \circ \Delta_1 g(\pi T) = (g \otimes g)(\text{id} \otimes B_+) \circ \Delta(\pi T)$$

$$= (\text{id} \otimes \frac{1}{1})$$

$$\Rightarrow \Delta_1 L_1 \circ g(\pi T) = L_1 \circ g(\pi T) \otimes 1$$

$$+ (g \otimes g)(\text{id} \otimes B_+) \circ \Delta(\pi T).$$

$$(g \otimes g) \circ \Delta \circ B_+(\pi T) = g \circ B_+(\pi T) \otimes g(e) + \overset{g \otimes g}{\downarrow} (\text{id} \otimes B_+) \Delta(\pi T)$$

$$= L_1 \circ g(\pi T) \otimes 1 + (g \otimes g)(\text{id} \otimes B_+) \Delta(\pi T) \quad \square.$$

The Lie algebra  $\mathcal{L}$

let  $\mathcal{L}$  be the linear span of elements  $Z_T$ ,  
 $T$  a rooted tree.

$$\text{Define } Z_{T_1} * Z_{T_2} = \sum_T n(T_1, T_2; T) Z_T$$

$n(T_1, T_2; T) = \#$  of simple admissible cuts  $c$ ,  
 $|c| = 1$ , such that  $P^c(c) = T_1$ ,  $R^c(c) = T_2$ .

$$[Z_{T_1}, Z_{T_2}] = Z_{T_1} * Z_{T_2} - Z_{T_2} * Z_{T_1}$$

is a Lie bracket.

$H_{\mathcal{L}}$  is the dual of the enveloping algebra  
of  $\mathcal{L}$ .

$$\text{Set } \text{Ass}(T_1, T_2, T_3) = Z_{T_1} * (Z_{T_2} * Z_{T_3}) - (Z_{T_1} * Z_{T_2}) * Z_{T_3}$$

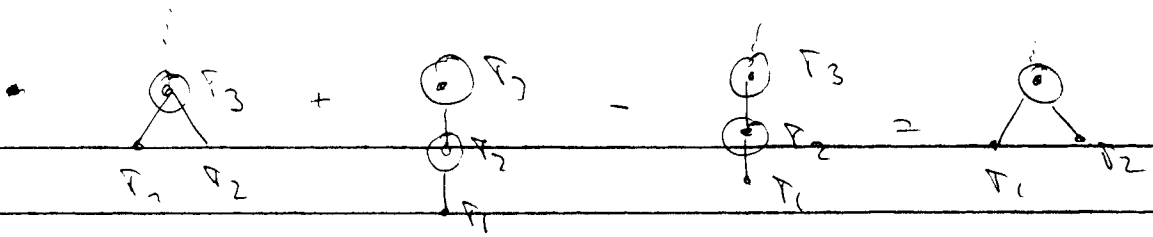
$$\text{Ass}(T_1, T_2, T_3) = \sum_T n(T_1, T_2, T_3; T) Z_T$$

where  $n = \#$  of admissible cuts  $c$ ,  $|c| = 2$ ,

$$P^c(c) = T_1, T_2, \quad R^c(c) = T_3$$

$$\text{Prop. } \text{Ass}(T_1, T_2, T_3) = \text{Ass}(T_2, T_3, T_1)$$

( $\hat{=} *$  is pre-Lie).



Pre-lie:  $z_{F_1} * (z_{F_2} * z_{F_3}) - (z_{F_1} * z_{F_2}) * z_{F_3}$   
 $= z_{F_2} * (z_{F_1} * z_{F_3}) - (z_{F_2} * z_{F_1}) * z_{F_3}$

Thm. If a map  $*$ :  $L \times L \rightarrow L$  is pre-lie, then  $[X, Y] = X * Y - Y * X$  is a Lie-bracket, i.e. fulfills Jacobi.

Proof.  $[X [Y, Z]] = X * (Y * Z - Z * Y) - (Y * Z - Z * Y) * X$   
 + cyclic perms.

Use Pre-lie property  $\square$

$$\begin{aligned} & X * (Y * Z - Z * Y) - (Y * Z - Z * Y) * X \\ + & Y * (Z * X - X * Z) - (Z * X - X * Z) * Y \\ + & Z * (X * Y - Y * X) - (X * Y - Y * X) * Z \end{aligned} \quad \square$$

So  $L$  becomes a Lie algebra.

Now  $\langle z_T, P(\delta_T) \rangle = \left( \frac{\delta}{\delta T} P \right) (0)$  lin. form on  $\mathcal{H}$ , i.e.  $\in \mathcal{H}^*$

$\langle z_T, \delta_T \rangle = 1$ . Kronecker pairing (2)

③

$P \rightarrow P(0)$  is the counit

$$*) \langle z_T, PQ \rangle = \langle z_T, P \rangle \varepsilon(Q) + \varepsilon(P) \langle z_T, Q \rangle$$

$$\Rightarrow \Delta z_T = z_T \otimes 1 + 1 \otimes z_T \in \mathcal{L} \times \mathcal{L}$$

$$\langle z_1, z_2, P \rangle = \langle z_1 \otimes z_2, \Delta P \rangle$$

~~$[z_{r_1}, z_{r_2}]$~~  Now  $z_{r_1} z_{r_2} - z_{r_2} z_{r_1}$  is a

derivation (it fulfills (\*)), hence

we must show that  $z_{r_1} z_{r_2} - z_{r_2} z_{r_1}$

$$= [z_{r_1}, z_{r_2}].$$

Now, let  $\mathcal{H}_{xy}$  be the kernel of  $\bar{\varepsilon}$ .

$$\text{Then } \Delta \delta_T = \delta_T \otimes e + e \otimes \delta_T + R_T,$$

$$R_T \in \mathcal{H}_{xy} \otimes \mathcal{H}_{xy}.$$

projection onto  $\mathcal{H}_L \cap \mathcal{H}_{xy}$

$$R_T^{(lin)} = \sum_{|d|=1} \otimes_{\mathcal{H}_L} \delta_{P^d}(T) \otimes \delta_{R^d}(T).$$

④

(4)

$$\text{Now } \langle Z_{\Gamma_1}, Z_{\Gamma_2}, \delta_{\Gamma} \rangle = \langle Z_{\Gamma_1} \otimes Z_{\Gamma_2}, \Delta \delta_{\Gamma} \rangle$$

counts the number of ways  $\Gamma_1$  can be cut from  $\Gamma$  as to obtain  $\Gamma_2$ .  $\square$

~~Furthermore,~~

let us introduce some actions of  $\mathcal{L}$  on  $\mathcal{H}$ .  
(as derivations)

$$i) \mathcal{L} \times \mathcal{H} \rightarrow \mathcal{H} \text{ by } Z_{\Gamma_1} \times \delta_{\Gamma_2} \rightarrow \int \langle Z_{\Gamma_1}, P^q(\Gamma_2) \rangle \delta_{R^q(\Gamma_2)}$$

$\begin{matrix} \text{od} \\ \text{c} \\ \text{c} \\ \text{s} \\ \hline \text{d} \end{matrix}$

$$ii) N_{\Gamma} : \mathcal{L}^* \otimes \mathcal{H} \rightarrow \mathcal{H} \text{ by}$$

$$N_{\Gamma}(\delta_{\Gamma_2}) = \delta_{\Gamma_1 * \Gamma_2}$$

$$\begin{aligned} \text{for } i) \quad & Z_{\Gamma_1} \times (Z_{\Gamma_2} + \delta_{\Gamma_3}) - Z_{\Gamma_2} \times (Z_{\Gamma_1} + \delta_{\Gamma_3}) \\ &= \cancel{Z_{\Gamma_1} \times Z_{\Gamma_2}} [Z_{\Gamma_1}, Z_{\Gamma_2}] \times \delta_{\Gamma_3} \end{aligned}$$

for ii) same action!

(more on that later)

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