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## What is on today

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## 1 Introduction to linear transformations

Lay–Lay–McDonald §1.8 pp. 63 – 69

Now we'll look at transforming vectors under matrix multiplication, which introduces the idea of *linear transformations*. For example, in the equation

$$A \mathbf{x} = \mathbf{b}$$

$$\begin{bmatrix} 4 & -3 & 1 & 3 \\ 2 & 0 & 5 & 1 \end{bmatrix} \begin{bmatrix} 1 \\ 1 \\ 1 \\ 1 \end{bmatrix} = \begin{bmatrix} 5 \\ 8 \end{bmatrix}$$

multiplication by the matrix  $A$  transforms  $\mathbf{x}$  into  $\mathbf{b}$ , and in the equation

$$A \mathbf{u} = \mathbf{0}$$

$$\begin{bmatrix} 4 & -3 & 1 & 3 \\ 2 & 0 & 5 & 1 \end{bmatrix} \begin{bmatrix} 1 \\ 4 \\ -1 \\ 3 \end{bmatrix} = \begin{bmatrix} 0 \\ 0 \end{bmatrix}$$

multiplication by  $A$  transforms  $\mathbf{u}$  into  $\mathbf{0}$ .

From this new point of view, solving the equation  $A\mathbf{x} = \mathbf{b}$  amounts to finding all vectors  $\mathbf{x}$  in  $\mathbb{R}^4$  that are transformed into the vector  $\mathbf{b}$  in  $\mathbb{R}^2$  under multiplication by  $A$ . Here we introduce some new terminology to further this viewpoint.

A *transformation* (or *function* or *mapping*)  $T$  from  $\mathbb{R}^n$  to  $\mathbb{R}^m$  is a rule that assigns to each vector in  $\mathbf{x}$  in  $\mathbb{R}^n$  a vector  $T(\mathbf{x})$  in  $\mathbb{R}^m$ . The set  $\mathbb{R}^n$  is called the *domain* of  $T$  and  $\mathbb{R}^m$  is called the *codomain* of  $T$ . The notation

$$T : \mathbb{R}^n \rightarrow \mathbb{R}^m$$

indicates that the domain of  $T$  is  $\mathbb{R}^n$  and the codomain is  $\mathbb{R}^m$ . For  $\mathbf{x}$  in  $\mathbb{R}^n$ , the vector  $T(\mathbf{x})$  in  $\mathbb{R}^m$  is called the *image* of  $\mathbf{x}$ . The set of all images  $T(\mathbf{x})$  is called the *range* of  $T$ .

**Example 1.** Let  $A = \begin{bmatrix} 1 & -3 \\ 3 & 5 \\ -1 & 7 \end{bmatrix}$ ,  $\mathbf{u} = \begin{bmatrix} 2 \\ -1 \end{bmatrix}$ ,  $\mathbf{b} = \begin{bmatrix} 3 \\ 2 \\ -5 \end{bmatrix}$ ,  $\mathbf{c} = \begin{bmatrix} 3 \\ 2 \\ 5 \end{bmatrix}$  and define a transformation  $T : \mathbb{R}^2 \rightarrow \mathbb{R}^3$  by  $T(\mathbf{x}) = A\mathbf{x}$ , so that

$$T(\mathbf{x}) = A\mathbf{x} = \begin{bmatrix} 1 & -3 \\ 3 & 5 \\ -1 & 7 \end{bmatrix} \begin{bmatrix} x_1 \\ x_2 \end{bmatrix} = \begin{bmatrix} x_1 - 3x_2 \\ 3x_1 + 5x_2 \\ -x_1 + 7x_2 \end{bmatrix}.$$

1. Find  $T(\mathbf{u})$ , the image of  $\mathbf{u}$  under the transformation  $T$ .
2. Find an  $\mathbf{x}$  in  $\mathbb{R}^2$  whose image under  $T$  is  $\mathbf{b}$ .
3. Is there more than one  $\mathbf{x}$  whose image under  $T$  is  $\mathbf{b}$ ?
4. Determine if  $\mathbf{c}$  is in the range of the transformation  $T$ .

The next two matrix transformations each have a nice geometric interpretation.

**Example 2.** If  $A = \begin{bmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 0 \end{bmatrix}$ , then the transformation  $\mathbf{x} \mapsto A\mathbf{x}$  projects points in  $\mathbb{R}^3$  into the  $x_1x_2$ -plane, because

$$\begin{bmatrix} x_1 \\ x_2 \\ x_3 \end{bmatrix} \mapsto \begin{bmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 0 \end{bmatrix} \begin{bmatrix} x_1 \\ x_2 \\ x_3 \end{bmatrix} = \begin{bmatrix} x_1 \\ x_2 \\ 0 \end{bmatrix}.$$

**Example 3.** Let  $A = \begin{bmatrix} 1 & 3 \\ 0 & 1 \end{bmatrix}$ . The transformation  $T : \mathbb{R}^2 \rightarrow \mathbb{R}^2$  defined by  $T(\mathbf{x}) = A(\mathbf{x})$  is called a shear transformation. This transformation sends a square to a parallelogram, deforming the square as if the top of the square were pushed to the right while the base is held fixed.

Recall that we saw earlier that the transformation  $\mathbf{x} \mapsto A\mathbf{x}$  has the properties

$$A(\mathbf{u} + \mathbf{v}) = A\mathbf{u} + A\mathbf{v}, \quad A(c\mathbf{u}) = cA\mathbf{u},$$

for all  $\mathbf{u}, \mathbf{v} \in \mathbb{R}^n$  and all scalars  $c$ . These key properties lead us to the formal definition of a linear transformation.

**Definition 4.** A transformation  $T$  is linear if

1.  $T(\mathbf{u} + \mathbf{v}) = T(\mathbf{u}) + T(\mathbf{v})$  for all  $\mathbf{u}, \mathbf{v}$  in the domain of  $T$ ,
2.  $T(c\mathbf{u}) = cT(\mathbf{u})$  for all scalars  $c$  and all  $\mathbf{u}$  in the domain of  $T$ .

**Remark 5.** Note that every matrix transformation is a linear transformation.

Here are a few more useful facts, both of which can be derived from the above. If  $T$  is a linear transformation, then  $T(\mathbf{0}) = \mathbf{0}$  and  $T(c\mathbf{u} + d\mathbf{v}) = cT(\mathbf{u}) + dT(\mathbf{v})$  for all vectors  $\mathbf{u}, \mathbf{v}$  in the domain of  $T$  and all scalars  $c, d$ .

**Example 6.** Given a scalar  $r$ , define  $T : \mathbb{R}^2 \rightarrow \mathbb{R}^2$  by  $T(\mathbf{x}) = r\mathbf{x}$ .  $T$  is called a contraction when  $0 \leq r \leq 1$  and a dilation when  $r > 1$ . Let  $r = 2$  and show that  $T$  is a linear transformation.

**Example 7.** Let  $A = \begin{bmatrix} 1 & 0 \\ 0 & -1 \end{bmatrix}$ . Give a geometric description of the transformation  $\mathbf{x} \mapsto A\mathbf{x}$ .

**Example 8 (1.8.30).** An affine transformation  $T : \mathbb{R}^n \rightarrow \mathbb{R}^m$  has the form  $T(x) = A\mathbf{x} + \mathbf{b}$ , with  $A$  an  $m \times n$  matrix and  $\mathbf{b}$  in  $\mathbb{R}^m$ . Show that  $T$  is not a linear transformation when  $\mathbf{b} \neq \mathbf{0}$ . (Affine transformations are important in compute graphics.)

## 2 The matrix of a linear transformation

Lay–Lay–McDonald §1.9 pp. 71 – 78

Whenever a linear transformation  $T$  arises geometrically, it's an interesting problem to compute the corresponding matrix transformation  $\mathbf{x} \mapsto A\mathbf{x}$ . (Every linear transformation from  $\mathbb{R}^n$  to  $\mathbb{R}^m$  is actually a matrix transformation  $\mathbf{x} \mapsto A\mathbf{x}$ .) The key to finding  $A$  is to observe that  $T$  is completely determined by what it does to the columns of the  $n \times n$  identity matrix  $I_n$ .

**Example 9.** The columns of  $I_2 = \begin{bmatrix} 1 & 0 \\ 0 & 1 \end{bmatrix}$  are  $\mathbf{e}_1 = \begin{bmatrix} 1 \\ 0 \end{bmatrix}$  and  $\mathbf{e}_2 = \begin{bmatrix} 0 \\ 1 \end{bmatrix}$ . Suppose  $T$  is a linear transformation from  $\mathbb{R}^2$  to  $\mathbb{R}^3$  such that  $T(\mathbf{e}_1) = \begin{bmatrix} 5 \\ -7 \\ 2 \end{bmatrix}$  and  $T(\mathbf{e}_2) = \begin{bmatrix} -3 \\ 8 \\ 0 \end{bmatrix}$ . Find a formula for the image of an arbitrary  $\mathbf{x}$  in  $\mathbb{R}^2$ .

**Theorem 10.** Let  $T : \mathbb{R}^n \rightarrow \mathbb{R}^m$  be a linear transformation. Then there exists a unique matrix  $A$  such that

$$T(\mathbf{x}) = A\mathbf{x} \quad \text{for all } \mathbf{x} \in \mathbb{R}^n.$$

In fact,  $A$  is the  $m \times n$  matrix whose  $j$ th column is the vector  $T(\mathbf{e}_j)$ , where  $\mathbf{e}_j$  is the  $j$ th column of the identity matrix in  $\mathbb{R}^n$ :

$$A = [T(\mathbf{e}_1) \quad \cdots \quad T(\mathbf{e}_n)]. \quad (1)$$

The matrix  $A$  in (1) is called the *standard matrix for the linear transformation*  $T$ .

Every linear transformation from  $\mathbb{R}^n$  to  $\mathbb{R}^m$  can be viewed as a matrix transformation, and vice versa!

We practice with finding the standard matrix for linear transformations in the next two examples:

**Example 11.** Find the standard matrix  $A$  for the dilation  $T(\mathbf{x}) = 4\mathbf{x}$  for  $\mathbf{x}$  in  $\mathbb{R}^2$ .

**Example 12.** Let  $T : \mathbb{R}^2 \rightarrow \mathbb{R}^2$  be the transformation that rotates each point in  $\mathbb{R}^2$  about the origin through an angle  $\varphi$ , with counterclockwise rotation for a positive angle. Such a transformation is linear. Find the standard matrix  $A$  of this transformation.

Below we reproduce some helpful figures from the textbook (§1.8, Tables 1–4) illustrating various geometric linear transformations (projections, reflections, contractions and expansions, and shears, respectively) of  $\mathbb{R}^2$ .

Transformation	Image of the Unit Square	Standard Matrix
Projection onto the $x_1$ -axis		$\begin{bmatrix} 1 & 0 \\ 0 & 0 \end{bmatrix}$
Projection onto the $x_2$ -axis		$\begin{bmatrix} 0 & 0 \\ 0 & 1 \end{bmatrix}$

Transformation	Image of the Unit Square	Standard Matrix
Reflection through the $x_1$ -axis		$\begin{bmatrix} 1 & 0 \\ 0 & -1 \end{bmatrix}$
Reflection through the $x_2$ -axis		$\begin{bmatrix} -1 & 0 \\ 0 & 1 \end{bmatrix}$
Reflection through the line $x_2 = x_1$		$\begin{bmatrix} 0 & 1 \\ 1 & 0 \end{bmatrix}$
Reflection through the line $x_2 = -x_1$		$\begin{bmatrix} 0 & -1 \\ -1 & 0 \end{bmatrix}$
Reflection through the origin		$\begin{bmatrix} -1 & 0 \\ 0 & -1 \end{bmatrix}$

Transformation	Image of the Unit Square	Standard Matrix
Horizontal contraction and expansion		$\begin{bmatrix} k & 0 \\ 0 & 1 \end{bmatrix}$
Vertical contraction and expansion		$\begin{bmatrix} 1 & 0 \\ 0 & k \end{bmatrix}$

Transformation	Image of the Unit Square	Standard Matrix
Horizontal shear		$\begin{bmatrix} 1 & k \\ 0 & 1 \end{bmatrix}$
Vertical shear		$\begin{bmatrix} 1 & 0 \\ k & 1 \end{bmatrix}$