Supersingular Loci of Some Unitary Shimura Varieties

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• Give examples of unitary Shimura varieties and the structure of their supersingular loci

• Consider their associated Rapoport-Zink spaces

 Discuss some elements used in studying the geometry of these Rapoport-Zink spaces

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Historical Motivation

Study $\mathcal{Y}_N(\mathbb{C})$ =

 $\{(E, P, Q) \mid E \text{ an elliptic curve } /\mathbb{C}, (P, Q) \text{ generate } E[N](\mathbb{C})\} / \cong$

$$\bigsqcup_{i=1}^{\varphi(N)} \Gamma(N) \setminus \mathcal{H} \xrightarrow{\sim} \mathcal{Y}_N(\mathbb{C})$$

More generally, if $N \ge 3$, \mathcal{Y}_N : Schms $S / \mathbb{Z}[1/N] \rightarrow$ Sets



Historial Motvation E/fF_{p} The supersingular locus $(\mathcal{Y}_N)_p^{ss}$ parametrizesCan be 5.5. $(E, P, Q) \in (\mathcal{Y}_N)_p(\overline{\mathbb{F}}_p)$ where E is supersingular.or ord.

Thm (Eichler-Deuring Mass Formula): If n_p is the number of supersingular elliptic curves over $\overline{\mathbb{F}}_p$,

$$n_p \approx \frac{p}{12}$$



GU(*a*, *b*) Shimura Variety

(9= Mr clasure Vie CK Given a quad. im. field K and $p \neq 2$ unramified in K, the GU(a, b) Shimura variety $\mathcal{M}(a, b)$ parametrizes $(A, \iota, \lambda, \eta)$:

A an A.V. of dim a+b

•
$$\iota$$
 an action of $\mathcal{O} \subseteq K$

• $\lambda : \mathbf{A} \to \mathbf{A}^{\vee}$ • η level structure (hyp. at p)

$$\det(T - \iota(k); \operatorname{Lie}(A)) = (T - \varphi_1(k))^a (T - \varphi_2(k))^b.$$



The supersingular locus $\mathcal{M}(a, b)_{\mathfrak{p}}^{ss}$ parametrizes $(A, \iota, \lambda, \eta)$ where A is supersingular.

History

There are two important invariants of $\mathcal{M}(a, b)_{\mathfrak{p}}^{ss}$: the signature (a, b) and the factorization of p in K (split, inert).

- Note: as *M*(*a*, *b*) has relative dimension *ab*, consider *b* ≥ *a* > 0. Also, if *p* splits in *K*, *M*(*a*, *b*) is only nonempty when *a* = *b*.
- In 2005, Vollaard described $\mathcal{M}(1,2)_p^{ss}$ when p is inert in K.
- In 2008, Vollaard and Wedhorn extended these results to *M*(1, n - 1)^{ss}_p when p is inert in K. Most detailed descriptions in the case when n = 2, 3, 4.
- In 2013, Howard and Pappas described M(2,2)^{ss}_p when p is inert in K.
- In 2018, $\mathcal{M}(2,2)_p^{ss}$ when p is split in K.

(More generally, can allow *p* to ramify in *K*. Rapoport-Terstiege-Wilson described $\mathcal{M}(1, n-1)_{\mathfrak{p}}^{ss}$ in 2013; Oki described $\mathcal{M}(2,2)_{\mathfrak{p}}^{ss}$ in 2020.) We'll consider all nontrivial $\mathcal{M}(a,b)_{\mathfrak{p}}^{ss}$ where a + b = 4.

The Supersingular Locus of $\mathcal{M}(1,3)$, Inert *p* Theorem (Vollaard-Wedhorn '08)

Assume that *p* is inert in *K*, and η is suff. small. The supersingular locus $\mathcal{M}(1,3)_p^{ss}$ equi-dimensional of dimension 1. Each irreducible component is isomorphic to the Fermat curve *C*. There are *p* + 1 intersection points on each irreducible component, and each int. point is the intersection of $p^3 + 1$ irreducible components.



The Supersingular Locus of $\mathcal{M}(2,2)$, Inert *p*

Theorem (Howard-Pappas '13)

Assume that *p* is inert in *K*, and η is suff. small. The supersingular locus $\mathcal{M}(2,2)_p^{ss}$ equi-dimensional of dimension 2. Each irreducible component is isomorphic to the Fermat surface *S*. Any two irr. components intersect trivially, in a projective line, or in a point.



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The Supersingular Locus of $\mathcal{M}(2,2)$, Split *p* Theorem (F. '18)

Assume that *p* is split in *K*, and η is suff. small. The supersingular locus $\mathcal{M}(2,2)_p^{ss}$ equi-dimensional of dimension 1. Each irreducible component is isomorphic to $\mathbb{P}^1_{\overline{\mathbb{F}}_p}$. There are $p^2 + 1$ intersection points on each irreducible component, and each int. point is the intersection of $p^2 + 1$ irreducible components.



Gin Rapoport-Zink Spaces

Let $W = W(\overline{\mathbb{F}}_p)$. For $S \in \operatorname{Nilp}(W)$, let $S_0 = S \times_W \overline{\mathbb{F}}_p$. Define: $\mathcal{N}_{2n} : \operatorname{Nilp}_W \to \operatorname{Sets}, \ \mathcal{N}_{2n}(S) = \{(G, \rho)\}/\cong$, where

- G is a supersingular p-divisible group over S of dim. n
- *ρ*: *G*_{S₀} → G_{S₀} is a quasi-isogeny to a fixed basepoint^L
 p-divisible group G (def. over F
 p.)

This is represented by a formal scheme, let \mathcal{N}_{2n} be the underlying reduced scheme.

Example (Lubin-Tate):

ate):

$$\mathcal{N}_2 \cong \bigsqcup_{i \in \mathbb{Z}} \mathcal{N}_2^i \cong \bigsqcup_{i \in \mathbb{Z}} \operatorname{Spec}(\overline{\mathbb{F}}_p)$$
 Size of kernel

Where \mathcal{N}_2^i is the locus where ρ has height *i*^{*l*}

Unitary Rapoport-Zink Spaces $\mathcal{N}(a,b)(S) = \{(G,\iota,\lambda,\rho)\}/\cong, \text{ where:} \qquad \bigcirc \mathcal{O} \subseteq \mathcal{K}$

- *G* a supersingular *p*-div. gp over *S* of dim *a* + *b*
- $\lambda: G \xrightarrow{\sim} G^{\vee}$

- $\iota : \mathcal{O} \otimes_{\mathbb{Z}} \mathbb{Z}_p \to \text{End}(G)$ of sign. (a, b)
- $\rho: G_{S_0} \to \mathbb{G}_{S_0}$, quasi-isog

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Rapoport-Zink Uniformization

$$\mathcal{M}(a,b)_{\mathfrak{p}}^{ss} \cong \bigsqcup_{j=1}^{m} \Gamma_{j} \setminus \mathcal{N}(a,b)$$

The Γ_j are discrete groups (depending on level structure) acting on $\mathcal{N}(a, b)$.

Can study the Rapoport-Zink spaces $\mathcal{N}(a, b)$ to understand the supersingular loci $\mathcal{M}(a, b)_{\mathfrak{p}}^{ss}$

The GU(1,3) Rapoport-Zink Space r

Thm (Vollaard-Wedhorn):

For *p* inert in *K*

- The GU(1,3) Rapoport-Zink space N(1,3) decomposes into connected components as N(1,3) = □_{i∈ℤ} N⁴ⁱ_(1,3).
- Each irr. comp. of $\mathcal{N}_{(1,3)}^{4i}$ is isom to:

$$C : x_0^{p+1} + x_1^{p+1} + x_2^{p+1} = 0 \subset \mathbb{P}^2_{\overline{\mathbb{F}}_p}$$

- These irr. comp. are indexed by vertex lattices Λ of type 3, inside a fixed hermitian space W.
- Each irr. comp. contains p³ + 1 int. pts, and each int pt is the intersection of p + 1 irr. comp.
- *N*(1,3) has two Ekedahl-Oort strata: the superspecial points, which are precisely the int. points, and their complement.

Deligne-Lusztig Varieties

• Given G over \mathbb{F}_q a reductive group (with Frob. F), $B \subset G(\overline{\mathbb{F}}_q)$ an *F*-stable Borel, and $w \in W$:

$$X(w) = \{ gB \in G/B \mid g^{-1}F(g) \in BwB \}.$$

- Can think of X(w) as a moduli space of Borel subgroups B such that B and F(B) have "relative position w"
- Example: $G = SL_2$ over \mathbb{F}_q , B upper-triangular Borel. Note that $G/B \leftrightarrow \mathbb{P}^{1}_{\overline{\mathbb{F}}_{q}}$, and that $W = \{1, \begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix}\}$. • Two lines in $\overline{\mathbb{F}}_{q}^{2}$ have rel. pos. 1 iff they are equal.
- So.

$$X(1) = \mathbb{P}^{1}(\mathbb{F}_{q})$$
$$X(\begin{smallmatrix} 0 & -1 \\ 1 & 0 \end{smallmatrix}) = \mathbb{P}^{1} \smallsetminus \mathbb{P}^{1}(\mathbb{F}_{q})$$

• The Fermat curve C arises as a DL variety for a unitary group *G* and parabolic subgroup $P \subset G$. ロ ▶ 《 🗗 ▶ 《 🖻 ▶ 《 🖻 ▶ 🦷 🖉 오 � �

Bruhat-Tits Building

 $(1, n^{-1})$

- From the Hermitian space W over Q_p of
 Vollaard-Wedhorn, can construct a simplicial complex B:
- The 0-cells are given by vertex lattices: Z_p-lattices Λ ⊂ W such that pΛ ⊂ Λ[∨] ⊂ Λ
 There is an *m*-simplex connecting Λ₀, Λ₁, ..., Λ_m whenever
- There is an *m*-simplex connecting $\Lambda_0, \Lambda_1, \ldots, \Lambda_m$ whenever $\Lambda_0 \not\subseteq \Lambda_1 \not\subseteq \cdots \not\subseteq \Lambda_m$
- \mathcal{B} is isom. to the Bruhat-Tits building of SU(W).
- For sign. (1,3): B is a tree, with two types of vertices: "Type-1" and "Type-3." Type-1 vertices have degree p + 1, Type-3 have p³ + 1. Type-1 vertices are only adjacent to Type-3 and vice versa.
- These combinatorics are reflected in the intersection combinatorics of N(1,3).



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Ekedahl-Oort Strata

- The Ekedahl-Oort stratification of N(a, b) is based on the isom class. of the p-torsion subgroups
- That is: two points $(G, \iota, \lambda, \rho)$ and $(G', \iota', \lambda', \rho')$ of $\mathcal{N}(a, b)(\overline{\mathbb{F}}_{\rho})$ are in the same EO stratum if and only if $G[\rho] \cong G'[\rho]$.
- A point (G, ι, λ, ρ) ∈ N(a, b)(𝔽_ρ) is called superspecial if and only if G is isomorphic to (not just isog. to!) the p-div gp of a product of supersingular elliptic curves.
- In the examples today, the superspecial points form the 0-dimensional Ekedahl-Oort stratum.

The GU(1,3) Rapoport-Zink Space Again

Thm (Vollaard-Wedhorn):

For *p* inert in *K*

- The GU(1,3) Rapoport-Zink space N(1,3) decomposes into connected components as N(1,3) = □_{i∈Z} N⁴ⁱ_(1,3).
- Each irr. comp. of $\mathcal{N}_{(1,3)}^{4i}$ is isom to:

$$C : x_0^{p+1} + x_1^{p+1} + x_2^{p+1} = 0 \subset \mathbb{P}^2_{\overline{\mathbb{F}}_p}$$

- These irr. comp. are indexed by vertex lattices Λ of type 3, inside a fixed hermitian space W.
- Each irr. comp. contains p³ + 1 int. pts, and each int pt is the intersection of p + 1 irr. comp.
- *N*(1,3) has two Ekedahl-Oort strata: the superspecial points, which are precisely the int. points, and their complement.

The GU(1,3) Rapoport-Zink Space AgainFor p inert in K:

Thm (Vollaard-Wedhorn), Rephrased:

- The GU(1,3) Rapoport-Zink space N(1,3) decomposes into connected components based on height of ρ.
- The irr. components of each fixed connected component are indexed by certain vertices in a Bruhat-Tits building. The intersection combinatorics reflect the combinatorics of this building.
- Each irr. component is isomorphic to a particular kind of Deligne-Lusztig variety.
- The EO strata respect this structure, the (closure of) each EO in an irr. comp. is also a Deligne-Lusztig variety.

Leption 6/2 GU(IN) GU(IN) GU(IN) The GU(2,2) Rapoport-Zink Space in orthogy

Thm (Howard-Pappas):

For *p* inert in *K*

- The GU(2,2) Rapoport-Zink space N_(2,2) decomposes into connected components as N(2,2) = □_{i∈Z} N⁴ⁱ_(2,2).
- Each irr. comp. of $\mathcal{N}^{4i}(2,2)$ is isom to: $S : x_0^{p+1} + x_1^{p+1} + x_2^{p+1} + x_3^{p+1} = 0 \subset \mathbb{P}^3_{\overline{\mathbb{F}}_p}.$
- These irr. comp. are indexed by vertex lattices Λ of type 6, inside a fixed quadratic space (W.) C 2, 4,6
- Any two irr. comp. intersect trivially, intersect in a single point, or have intersection isomorphic to C. These int. combinatorics are controlled by the BT building of SO(W).
- The superspecial points (minimal EO stratum) are exactly these intersection points.

Thm (F.):

For *p* split in *K*

The GU(2,2) Rapoport-Zink space N(2,2) decomposes into connected components as N(2,2) = □_{i∈ℤ,j∈ℤ} N⁴ⁱ_{i,(2,2)}.

The GU(2,2) Rapoport-Zink Space

- Each irr. comp. of $\mathcal{N}_{j,(2,2)}^{4i}$ is isom to $\mathbb{P}_{\overline{\mathbb{F}}_p}^1$. United
- These irr. comp. are indexed by vertex lattices ∧ of type 4, inside a fixed quadratic space (𝔅) ← 𝔅
- Each irr. comp. contains $p^2 + 1$ int. pts, and each int pt is the intersection of $p^2 + 1$ irr. comp. $\geq S1$ yilding S0(w)
- $\mathcal{N}(2,2)$ has two EO strata: the superspecial points, which are precisely the int. points, and their complement.

(6,2,2,p) p: 6-36

P: (20x61 -> 6x61

General Situation

- The Rapoport-Zink spaces N(1, n-1) (p inert), N(2,2) (p inert), and N(2,2) (p split) have similar structure: their irr. components are Deligne-Lusztig varieties and intersection combinatorics coming from a Bruhat-Tits building. The Ekedahl-Oort stratification respects decomp. into Deligne-Lusztig varieties.
- These are all examples of Rapoport-Zink spaces of Coxeter Type. Görtz, He, and Nie have classified which Rapoport-Zink spaces have this structure, after perfection. The first paper (2013) lists all 21 possibilities.
- There are also examples of Rapoport-Zink spaces that do not have this structure: for example, those coming from Siegel modular varieties for g ≥ 3 and some unitary Rapoport-Zink spaces.

A Particular Rapoport-Zink Space $\mathcal{N}_{4}(\overline{\mathbb{F}}_{p}) = \{(G, \rho)\} / \cong, \text{ where } G \text{ is of } \dim 2^{\bullet} \mathcal{O} \subseteq \mathcal{K} \\ \mathcal{N}(2, 2)(\overline{\mathbb{F}}_{p}) = \{(G, \mu, \lambda, \rho)\} / \cong, \text{ where:} \qquad \mathcal{O} \otimes_{\mathcal{I}} \mathcal{U}_{p} \subseteq \mathcal{K} \otimes_{\mathcal{O}} \mathcal{O}_{p} \times \mathcal{O}_{p} \\ \mathcal{O} \otimes_{\mathcal{I}} \mathcal{U}_{p} \subseteq \mathcal{K} \otimes_{\mathcal{O}} \mathcal{O}_{p} \times \mathcal{O}_{p} \\ \mathcal{O} \otimes_{\mathcal{I}} \mathcal{U}_{p} \subseteq \mathcal{K} \otimes_{\mathcal{O}} \mathcal{O}_{p} \times \mathcal{O}_{p} \\ \mathcal{O} \otimes_{\mathcal{I}} \mathcal{U}_{p} \subseteq \mathcal{K} \otimes_{\mathcal{O}} \mathcal{O}_{p} \times \mathcal{O}_{p} \\ \mathcal{O} \otimes_{\mathcal{O}} \mathcal{U}_{p} \subseteq \mathcal{O}_{p} \times \mathcal{O}_{p} \\ \mathcal{O} \otimes_{\mathcal{O}} \mathcal{U}_{p} \otimes_{\mathcal{O}} \mathcal{O}_{p} \times \mathcal{O}_{p} \\ \mathcal{O} \otimes_{\mathcal{O}} \mathcal{U}_{p} \otimes_{\mathcal{O}} \mathcal{O}_{p} \times \mathcal{O}_{p} \\ \mathcal{O} \otimes_{\mathcal{O}} \mathcal{U}_{p} \otimes_{\mathcal{O}} \otimes_{\mathcal{O}} \mathcal{U}_{p} \otimes_{\mathcal{O}} \otimes_{\mathcal{O}} \mathcal{U}_{p} \otimes_{\mathcal{O}} \otimes_{\mathcal{O}} \mathcal{U}_{p} \otimes_{\mathcal{O}} \otimes_{\mathcal{O$ $\mathcal{N}(2,2)(\overline{\mathbb{F}}_{p}) = \{(G,\iota,\lambda,\rho)\}/\cong, \text{ where:}$ G a p-divisible gp of dim 4 • $\iota: \mathcal{O} \otimes_{\mathbb{Z}} \mathbb{Z}_{\rho} \to \operatorname{End}(G)$ of • $\lambda: G \xrightarrow{\sim} G^{\vee}$ $(S \xrightarrow{\sim} G_0 \times G_1 \longrightarrow G_0 \times G_1)$ $\rho: G_0 \longrightarrow G_0$ sign. (2,2) • $\rho: G \to \mathbb{G}$, quasi-isog htp=0 When p splits in K $\varphi: \mathcal{N}^0_{(2,2)} \rightarrow \mathcal{N}_4$ 55. of dm2Isomorphism: $(G, \iota, \lambda, \rho) \mapsto (G_0, \rho|_{G_0} : G_0 \to \mathbb{G}_0)$ With inverse: $\varphi^{-1}: \mathcal{N}_4 \to \mathcal{N}^0_{(2,2)}$ if \mathcal{O} $(G_0, \rho_0) \mapsto (G_0 \times G_0^{\vee}, \iota, \lambda, \rho_0 \times (\rho_0^{\vee})^{-1})$

Structure of \mathcal{N}_4

Thm (F.):

- Each irr. comp. of \mathcal{N}_4^i is isom to $\mathbb{P}_{\overline{\mathbb{R}}_p}^1$.
- These irr. comp. are indexed by vertex lattices Λ of type 4, inside a fixed quadratic space W.
- Each irr. comp. contains p² + 1 int. pts, and each int pt is the intersection of p² + 1 irr. comp.
- \mathcal{N}_4 has two EO strata: the superspecial points, which are precisely the int. points, and their complement.

(When *p* split, this description plus the observation $\mathcal{N}^0_{(2,2)} \cong \mathcal{N}_4$ yields the description of $\mathcal{N}(2,2)$.)

Quadratic Space and Building

V/w(Fo)g

- Let G be the basepoint for N₄. Construct W = V^Φ, a Q_p-vector space of special quasi-endomorphisms of G × G[∨], with quadratic composition form.
- A vertex lattice is a Z_p-lattice Λ ⊂ V^Φ such that pΛ ⊂ Λ[∨] ⊂ Λ. The type of Λ is dim_{F_p}(Λ/Λ[∨]). Based on V^Φ, the type can only be 2 or 4.
- The BT Building of SO(V^Φ) is a tree, with vertices formed by vertex lattices. There is an edge between Λ and Λ' iff one is contained in the other.
- Type-2 vertices are only adjacent to type-4 vertices, and vice versa. Both types of vertices have degree $p^2 + 1$.

This building relates to \mathcal{N}_4 in two ways:

- 1. Given Λ of type 4, should have irr. comp. \mathcal{N}^0_{Λ} , a DL variety.
- 2. Intersection combinatorics of the \mathcal{N}^0_{Λ} should relate to combinatorics of the tree.

$$\mathcal{A}_{n} : \mathcal{G}_{n} : \mathcal{A}_{n} : \mathcal{G}_{n} : \mathcal{G}_{n}$$

Lu's give ive come ? R' 12's give intersection pts ? 2.3 Combinatorics from Building

• Given $\Lambda_d \subset V^{\Phi}$ of type d (2 or 4),

- Fix vertex lattice Λ₄, irr. comp N⁰_{Λ₄}. The int. pts on N⁰_{Λ₄} are exactly the N⁰_{Λ₂} ⊂ N⁰_{Λ₄}, param. by Λ₂ ⊂ Λ₄. Since v(Λ₄) has degree p² + 1, there are p² + 1 such int. pts.
- Fix vertex lattice Λ₂, pt N⁰_{Λ₂}. The irr. comps N⁰_{Λ₄} containing N⁰_{Λ₂} are given by Λ₄ containing Λ₂. Since v(Λ₂) has degree p² + 1, there are p² + 1 such irr. comps.







- 1. In some cases, the supersingular loci $\mathcal{M}(a, b)_{\mathfrak{p}}^{ss}$ have especially nice structure (can be written as a union of Deligne-Lusztig varieties, etc.)
- 2. The Rapoport-Zink spaces $\mathcal{N}(a, b)$ occur naturally in the study of $\mathcal{M}(a, b)_{\mathfrak{p}}^{ss}$. There is also some especially nice structure (role of Bruhat-Tits building) that is more visible on $\mathcal{N}(a, b)$.
- 3. Warning! This does not hold in general for all Shimura varieties of PEL-type, or even for all unitary Shimura varieties.

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Thank you!

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