

## Schur's Lemma

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**Completely reducible representation:** direct sum of irreducible ones.

**Completely reducible Lie group/algebra:** every representation is completely reducible.

**Non-example:**  $\mathbb{R} \rightarrow GL(2, \mathbb{C}), x \mapsto \begin{pmatrix} 1 & x \\ 0 & 1 \end{pmatrix}$

Preserve  $e_1$ , but there is no other invariant subspace.

**If  $V$  is completely reducible, then given any invariant  $U \subset V$ , there is  $U'$  such that  $U \oplus U' = V$ :**

$$V = V_1 \oplus \cdots \oplus V_k.$$

Note: either  $V_i \subset U$  or  $V_i \cap U = \{0\}$ .

There is  $V_i \not\subset U$  (otherwise  $U = V$  and done), say  $i = 1$ .

If  $U + V_1 = V$ , done.

Otherwise, have another  $V_i \not\subset U$ , say  $i = 2$ . Keep on doing this, until  $U + V_1 + \cdots + V_{j-1} = V$ . Take  $U' = V_1 \oplus \cdots \oplus V_{j-1}$ . Done.

**Every invariant subspace  $U$  of a completely reducible  $V$  is completely reducible:**

$U \cong V/U' \cong V_j \oplus \cdots \oplus V_k$  in the above notation.

**If  $\Pi$  or  $\pi$  is unitary, then it is completely reducible:**

Take invariant subspaces and its orthogonal complement.

**If  $G$  is compact, then it is completely reducible:**

Any representation is unitary with respect to a metric. Take arbitrary metric  $h$ , and take the average to make it  $G$ -invariant:

$$\langle v, w \rangle_G := \int_G \langle \Pi(g) \cdot v, \Pi(g) \cdot w \rangle \nu_G$$

where  $\nu_G$  is a non-zero right- $G$ -invariant top form on  $G$ . It is  $G$ -invariant:

$$\langle \Pi(h) \cdot v, \Pi(h) \cdot w \rangle_G := \int_G \langle \Pi(g) \cdot \Pi(h) \cdot v, \Pi(g) \cdot \Pi(h) \cdot w \rangle \nu_G$$

$$= \int_G \langle \Pi(R_h \cdot g) \cdot v, \Pi(R_h \cdot g) \cdot w \rangle \nu_G$$

$$= \int_G \langle \Pi(g) \cdot v, \Pi(g) \cdot w \rangle (R_h^*)^{-1} \cdot \nu_G = \int_G \langle \Pi(g) \cdot v, \Pi(g) \cdot w \rangle \nu_G = \langle v, w \rangle_G.$$

Hence  $\mathfrak{sl}(n, \mathbb{C}) = \mathfrak{su}(n) \otimes \mathbb{C}$  is completely reducible.

**Schur's Lemma:**

If  $V, W$  are irreducible representations, then any non-zero morphism  $\phi: V \rightarrow W$  is an isomorphism. Any  $\phi_1$  and  $\phi_2 \neq 0$  are related by  $\phi_1 = \lambda\phi_2$ .

If  $V=W$ , then  $\phi = \lambda \cdot Id$ .

**Proof:**

Consider  $\text{Ker } \phi$ , which is invariant since  $\phi$  intertwines with  $G$ -action.  $V$  is irreducible and  $\phi \neq 0$  imply  $\text{Ker } \phi = 0$ .

$\text{Im } \phi$  is also invariant.  $W$  is irreducible and  $\phi \neq 0$  imply  $\text{Im } \phi = W$ .

If  $V=W$ , consider eigenvalues of  $\phi$ . There exists an eigenvalue  $\lambda$  since we work over  $\mathbb{C}$ . The eigenspace is  $G$ -invariant since  $\phi$  is intertwining.  $V$  is irreducible implies the eigenspace is  $V$ .

For  $\phi_1, \phi_2: V \rightarrow W$ , consider  $\phi_2^{-1} \circ \phi_1: V \rightarrow V$ , which is  $\lambda \cdot Id$ .

**Corollary:** for a commutative Lie group/algebra, irreducible representation is one-dimensional.

**Proof:**  $\Pi(g): V \rightarrow V$  for any  $g$  is a morphism:  $\Pi(h) \circ \Pi(g) = \Pi(g) \circ \Pi(h)$ .

Schur's lemma gives  $\Pi(g) = \lambda_g \cdot Id$ . Any subspace is invariant. Irreducible  $\Rightarrow 1d$ .

Same proof gives

**Corollary:**  $\Pi(g) = \lambda_g \cdot Id$  if  $g$  belongs to center and  $\Pi$  is irreducible.

**Heisenberg group**

$$H = \left\{ \begin{pmatrix} 1 & x & \theta \\ 0 & 1 & y \\ 0 & 0 & 1 \end{pmatrix} : x, y, \theta \in \mathbb{R} \right\}$$

which is universal cover of

$G = \mathbb{R} \times \mathbb{R} \times \mathbb{S}^1$  with

$$(x_1, y_1, u_1) \cdot (x_2, y_2, u_2) = (x_1 + x_2, y_1 + y_2, e^{2\pi i x_1 y_2} u_1 u_2).$$

$$u = e^{2\pi i \theta}. \text{ (Inverse of } (x, y, u) \text{ is } (-x, -y, e^{2\pi i xy} u^{-1}).)$$

$$\mathbf{G} = \mathbf{H}/\mathbf{N} \text{ where } \mathbf{N} = \left\{ \begin{pmatrix} 1 & 0 & k \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix} : k \in \mathbb{Z} \right\} \text{ (Kernel).}$$

$$(x_1, y_1, u_1) \cdot (x_2, y_2, u_2) = (x_1, -y_1, e^{2\pi i x_1 y_1} u_1^{-1})$$

$$= (x_2, y_2, e^{-2\pi i (x_1 + x_2) y_1} e^{2\pi i x_1 y_2} e^{2\pi i x_1 y_1} u_2)$$

$$= (x_2, y_2, e^{2\pi i (x_1 y_2 - x_2 y_1)} u_2).$$

Thus **center**  $Z(H)$  is  $\mathbf{x} = \mathbf{y} = \mathbf{0}$ .

**Theorem:**  $G$  has no faithful representation.

**Proof:** Lift to representation  $\tilde{\Pi}$  of  $H$  with  $\text{Ker} \supset N$ .

Claim:  $\text{Ker}(\tilde{\Pi}) \supset Z(H)$ , and hence  $\text{Ker}(\Pi) \supset Z(G) = \{0\} \times \{0\} \times \mathbb{S}^1$ , not faithful.

Proof of claim: consider the Lie algebras. Want to see  $\text{Ker}(\pi) \ni \begin{pmatrix} 0 & 0 & 1 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix} = X_3$ . Then

$\text{Ker}(\tilde{\Pi}) \ni e^{tX_3}$ .

$e^{X_3} = \begin{pmatrix} 1 & 0 & 1 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix} \in N$ . Thus  $e^{\pi(X_3)} = \tilde{\Pi}(X_3) \neq \text{Id}$ . Hence  $e^{k\pi(X_3)} = \text{Id}$  for all  $k \in \mathbb{Z}$ .

But  $e^{k\pi(X_3)}$  is polynomial in  $k$  since  $\pi(X_3)$  is nilpotent. Then

the equation in  $k$  has infinitely many roots implies the polynomial is identically zero.

$\pi(X_3)$  is nilpotent: need to show it only has zero eigenvalue. Note that  $X_3 = [X_1, X_2]$ .

Take an eigenspace  $U$  of  $X_3$ . It is invariant since  $X_1, X_2$  commutes with  $X_3$ .

$\text{tr}(\pi(X_3)|_U) = 0$ . Thus the eigenvalue must be zero.

### Exercises. (Section 4.9)

5. Consider the standard representation of the Heisenberg group acting on  $\mathbb{C}^3$ . Determine all the invariant subspaces. Is the standard representation completely reducible?
11. Let  $V$  be an irreducible representation over  $\mathbb{C}$ . Show that every non-trivial invariant subspace of  $V \oplus V$  is of the form  $\{(\lambda_1 v, \lambda_2 v) : v \in V\} \cong V$  for some  $(\lambda_1, \lambda_2) \in \mathbb{C}^2 - \{0\}$ .