## Abstract root system

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## **Root system** $R \subset E$ :

- 1. R spans E.
- 2. For  $\alpha \in R$ ,  $\pm \alpha$  are the only multiples of  $\alpha$  which belong to R.
- 3.  $s_{\alpha} \cdot \beta \in R$  for  $\alpha, \beta \in R$ .

4. 
$$\frac{2\langle \alpha, \beta \rangle}{\langle \alpha, \alpha \rangle} \in \mathbb{Z}$$
.

**Weyl group**: the group generated by  $s_{\alpha}$ .

Can be identified as a subgroup of the permutation group of R (and hence is finite if R is finite).

If  $R \subset E$  and  $S \subset F$  are root systems, then so is  $R \cup S \subset E \oplus F$ .

# Morphism of root system:

linear map A with  $A(R) \subset S$  and commute with Weyl action:

$$A(s_{\alpha} \cdot \beta) = s_{A\alpha} \cdot (A\beta).$$

Note that it may not preserve metric. (Allow scaling. Otherwise too many non-isomorphic root systems.)

**Prop**. let  $\alpha$ ,  $\beta$  be linearly independent roots.

WLOG let  $|\alpha| \ge |\beta|$ . Then either

- 1.  $\langle \alpha, \beta \rangle = 0$ .
- 2.  $\langle \alpha, \alpha \rangle = \langle \beta, \beta \rangle$  and the angle between the two lines is  $\frac{\pi}{3}$ .
- 3.  $\langle \alpha, \alpha \rangle = 2 \langle \beta, \beta \rangle$  and the angle between the two lines is  $\frac{\pi}{4}$ .
- 4.  $\langle \alpha, \alpha \rangle = 3 \langle \beta, \beta \rangle$  and the angle between the two lines is  $\frac{\hat{\pi}}{6}$ .

## **Proof**:

$$m_1 = \frac{2\langle \alpha, \beta \rangle}{\langle \alpha, \alpha \rangle} \in \mathbb{Z} \text{ and } m_2 = \frac{2\langle \alpha, \beta \rangle}{\langle \beta, \beta \rangle} \in \mathbb{Z}.$$

$$m_1 m_2 = 4 \cos^2 \theta .$$

Hence  $0 \le m_1 m_2 \le 4$ .

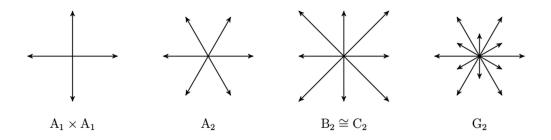
Five cases:  $m_1m_2=0,1,2,3,4$ . Remaining is plane geometry. QED

## Cor:

Angle between roots  $\alpha$  and  $\beta$  is strictly obtuse  $=> \alpha + \beta$  is root. strictly acute  $=> \alpha - \beta$  and  $\beta - \alpha$  are roots.

#### **Proof**:

Consider  $s_{\alpha} \cdot \beta$  which is a root. QED



 $\mathbf{R}^{\vee}$ : set of all coroots  $H_{\alpha} = \frac{2\alpha}{\langle \alpha, \alpha \rangle}$ .

**Prop**.  $R^{\vee}$  is also a root system and it has the same Weyl group.  $(R^{\vee})^{\vee} = R$ .

### **Proof**:

Condition 1 and 2 for root system are obvious.

Direct check that

$$\frac{2H_{\alpha}}{\langle H_{\alpha}, H_{\alpha} \rangle} = \alpha.$$

$$(\text{Hence } (R^{\vee})^{\vee} = R.)$$

$$\frac{2\langle H_{\alpha}, H_{\beta} \rangle}{\langle H_{\alpha}, H_{\alpha} \rangle} = \langle \alpha, H_{\beta} \rangle = \frac{2\langle \alpha, \beta \rangle}{\langle \alpha, \alpha \rangle} \in \mathbb{Z}.$$

$$s_{H_{\alpha}} \cdot H_{\beta} = s_{\alpha} \cdot H_{\beta} = \frac{2}{\langle \beta, \beta \rangle} s_{\alpha} \cdot \beta = \frac{2}{\langle s_{\alpha} \cdot \beta, s_{\alpha} \cdot \beta \rangle} s_{\alpha} \cdot \beta = H_{s_{\alpha} \cdot \beta}.$$
QED

**Base**  $\Delta$  (set of all positive simple roots): a subset of R which is a basis of E, and every root is an integer combination in  $\Delta$  with coefficients of the same sign.

# Any two vectors in $\Delta$ have right or obtuse angle:

Otherwise  $\alpha - \beta$  would be a root, contradicting that all coefficients are the same sign.

#### Construction of a base:

Take a hyperplane not containing any root of R (take H not in any  $\alpha^{\perp}$ , and take  $H^{\perp}$ ).

Take  $R^+$  to be the roots in one side.

**Prop**. The set  $\Delta$  of indecomposible elements of  $R^+$  is a base. (Indecomposible means  $\alpha \neq \beta + \gamma$  for any  $\beta, \gamma \in R^+$ .) **Proof**:

# Any roots in $R^+$ is an integer combination of $\Delta$ with positive coefficients:

Keep on splitting  $\alpha = \beta + \gamma$  for  $\beta, \gamma \in \mathbb{R}^+$ . Must terminate in finite steps: the distance of  $\alpha$  from  $H^{\perp}$  is strictly decreasing.

Any other roots are  $-\alpha$  for  $\alpha \in R^+$ . Hence **any root is an integer combination of**  $\Delta$  **with coefficients of the same sign**.

## **Δ** is linearly independent:

Suppose  $\sum_{\alpha} c_{\alpha} \alpha = \sum_{\beta} d_{\beta} \beta$  where the coefficients are all positive (and the sums are over disjoint subsets of  $\Delta$ ). Consider its norm squared:  $\sum c_{\alpha} d_{\beta} \langle \alpha, \beta \rangle$ .

 $\langle \alpha, \beta \rangle \leq 0$  for any distict  $\alpha, \beta \in \Delta$ , and so the above has to be zero: Otherwise  $\alpha - \beta$  and  $\beta - \alpha$  would be roots, and one of them belongs to  $R^+$ , contradicting that coefficients have the same sign.

Thus  $\sum_{\alpha} c_{\alpha} \alpha = \sum_{\beta} d_{\beta} \beta = 0$  and all coefficients are positive. But all  $\alpha$  and  $\beta$  are in one side of H, and so this is impossible. QED

**Any base must arise in this way**, namely, there is a hyperplane not containing any roots such that the base is the set of indecomposible elements in one side of the hyperplane:

Take an element h in the dual cone  $\{h \in E^*: (h, \alpha) > 0 \ \forall \alpha \in \Delta\}$ . Then  $\Delta$  and  $R^+$  is contained in one side of  $h^{\perp}$ .  $R^-$  is contained in the other side.

Taking the indecomposable roots in the positive side of  $h^{\perp}$  gives a base. This is  $\Delta$ : both are base and hence have the same number of elements.  $\alpha \in \Delta$  is indecomposable: suppose  $\alpha = \beta + \gamma$  for  $\beta, \gamma \in R^+$ . Expressing as positive combinations of the base  $\Delta$ , it forces  $\beta, \gamma$  are along the same direction of  $\alpha$  and hence can only be  $\alpha$  itself, impossible.

**Prop**. If  $\Delta$  is a base for R, then  $\Delta^{\vee}$  is a base for  $R^{\vee}$ .

**Proof**: From above  $\Delta$  arises as indecomposible roots on one side of a hyperplane.  $\alpha^{\vee}$  for  $\alpha \in R^+$  lie on the same side, and that for  $\alpha \in R^-$  lie on the other side. Thus indecomposible coroots on the positive side gives rise to a base  $\Delta_0^{\vee}$  for  $R^{\vee}$ .

 $\Delta^{\vee} = \Delta_0^{\vee}$ : they have the same number of elements.

 $H_{\alpha} \in \Delta^{\vee}$  is indecomposible: suppose  $H_{\alpha} = H_{\beta} + H_{\gamma}$  for  $\beta, \gamma \in \mathbb{R}^+$ .

Expressing as positive combinations of the base  $\Delta \ni \alpha$ , it forces  $\beta$ ,  $\gamma$  are along the same direction of  $\alpha$  and hence can only be  $\alpha$  itself, impossible.

# Weyl chambers:

Connected components of  $E - \bigcup_{\alpha} \alpha^{\perp}$ .

# **Dominant (or fundamental) chamber C** (relative to $\Delta$ ):

 $\langle \alpha, H \rangle > 0$  any  $H \in C$  and  $\alpha \in \Delta$ .

# {Base $\Delta$ } <-> {Weyl chamber C}:

-> take the dominant chamber relative to  $\Delta$ .

It is the **dual cone**  $\{H \in E^*: (\alpha, H) > 0 \ \forall \alpha \in \Delta\}$  which is a Weyl chamber since  $(\alpha, H) \neq 0$  for any  $\alpha \in R$ .

<- Take any  $H \in C$ .  $H^{\perp}$  does not contain any root and hence the indecomposible roots on the side  $(\alpha, H) > 0$  define a base.

For all other elements  $H' \in C$ , since H' and H are in the same connected component of  $E - \bigcup_{\alpha} \alpha^{\perp}$ ,  $(\alpha, H_t)$  can never be zero and

hence cannot change sign for a path  $H_t$  connecting them.

**Prop.** Given a root, there exists a base containing it.

**Proof**: A base corresponds to a chamber. Given a root  $\alpha$ , there is a chamber which has a facet given by  $\alpha^{\perp}$  (and  $(H,\alpha) > 0$  for H inside the chamber).  $H^{>0}$  produces a base.  $\alpha$  is indecomposible: We can take H very close to the hyperplane  $\alpha^{\perp}$  such that  $(H,\alpha)$  is minimal among all positive roots. QED

**Prop.** The Weyl group W is generated by  $s_{\alpha}$  where  $\alpha \in \Delta$ . It acts faithfully and transitively on the set of Weyl chambers. (Hence as sets,  $W \cong \{\text{Weyl chambers}\} \cong \{\text{Bases}\}$ .)

## **Proof**:

Let  $W' \subset W$  be generated by  $s_{\alpha}$  for  $\alpha \in \Delta$ .

Let *C* be the dominant chamber.

Want: for H' in any chamber, there is  $w \in W'$  such that  $w \cdot H' \in C$ . Suppose H' not in C. So there is a wall in between: there exists  $\alpha \in \Delta$  such that  $(\alpha, H') < 0$ .

Reflection along this wall decreases the distance: Fix  $H \in C$ .

$$|H'-H|^2-|s_\alpha\cdot H'-H|^2=-\frac{4\langle\alpha,H'\rangle}{\langle\alpha,\alpha\rangle}\langle\alpha,H\rangle>0.$$

Keep on doing this, gradually H' is reflected into C since W' is finite. Hence W', and hence W, acts on Weyl chambers transitively. Faithfulness is obvious.

For any root  $\beta \in R$ ,  $\beta \in \Delta_{C'}$  for some chamber C'. By above there exists some  $w \in W'$  such that  $w \cdot C' = C$ . Then  $w \cdot \beta \in \Delta$ .  $s_{\beta} = w^{-1} \cdot s_{w \cdot \beta} \cdot w \in W'$ . Hence W = W'. QED

**Minimal expression:** Write  $w \in W$  in a minimal product of reflections associated to elements in  $\Delta$ .

Prop. Two distinct elements in  $\overline{C}$  cannot lie in the same orbit of W.

**Proof**: Want to say  $H' \neq w \cdot H$  for any w. Induction on length of minimal expression.

Let  $1 \neq w = s_{\alpha_1} \dots s_{\alpha_k}$  be a minimal expression  $(\alpha_i \in \Delta)$ .

# Then C and $w \cdot C$ are on different sides of $\alpha_1^{\perp}$ :

Again use induction. Suppose C and  $s_{\alpha_1} \dots s_{\alpha_k} \cdot C$  are on the same side. So  $s_{\alpha_1} \dots s_{\alpha_{k-1}} \cdot C$  is on another side by inductive assumption. Then  $s_{\alpha_k} \cdot C$  and C are on different sides of  $(u^{-1} \cdot \alpha_1)^{\perp}$  where  $u = s_{\alpha_1} \dots s_{\alpha_{k-1}}$ . But then  $(u^{-1} \cdot \alpha_1)^{\perp} = \alpha_k^{\perp}$  and so  $s_{\alpha_k} = s_{u^{-1} \cdot \alpha_1} = u^{-1} s_{\alpha_1} u$ . Then  $w = u \cdot s_{\alpha_k} = s_{\alpha_1} u = s_{\alpha_2} \dots s_{\alpha_{k-1}}$ , contradicting the minimality.

Suppose  $H' = w \cdot H$ . Then  $H' \in \alpha_1^{\perp}$ . Thus  $H' = s_{\alpha_2} \dots s_{\alpha_k} \cdot H$ , contradicting the inductive assumption. QED

**Prop**: For  $\alpha \in \Delta$ ,  $s_{\alpha}$  preserves  $R^+ - \{\alpha\}$ .

**Proof**: Consider  $\beta \in R^+ - \{\alpha\}$  and express it in terms of the base. It must involve an element  $\gamma$  in the base which is not  $\alpha$ .  $s_{\alpha} \cdot \beta = \beta - k\alpha$  and so it does not change the coefficient of  $\gamma$ , which is positive. Hence  $s_{\alpha} \cdot \beta$  is still positive. QED

## **Dynkin diagram:**

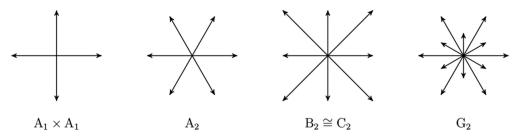
Vertices are base roots.

Number of edges between two vertices  $\alpha$ ,  $\beta$  is  $\frac{\langle \alpha, \alpha \rangle}{\langle \beta, \beta \rangle}$  (WLOG  $|\alpha| \ge |\beta|$ )

which is either 0,1,2,3. (**Recall that it determines the angle, which must be obtuse.**)

Direction of edge is from longer to shorter.

(Choice of base does not matter: any two are related by reflection.)



## Morphism of Dynkin diagram:

map between vertex sets preserving the numbers and directions of arrows between any two vertices.

# R is irreducible <=> Dynkin diagram is connected:

 $\langle R = R_1 \cup R_2$ , then  $\Delta = \Delta_1 \cup \Delta_2$  which are orthogonal to each other. Then obviously the Dynkin diagram is disconnected.

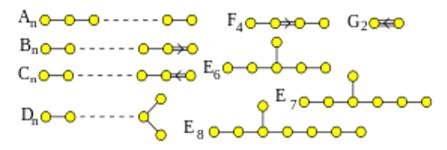
=> If Dynkin disconnected, then  $\Delta = \Delta_1 \cup \Delta_2$  which are orthogonal to each other. All roots are obtained from base by Weyl action. Since orthogonal the Weyl action preserves  $E_i = \operatorname{Span}(\Delta_i)$ . Hence any root is either in  $E_1$  or  $E_2$ .

# $R_1$ and $R_2$ are isomorphic <=> Dynkin diagrams are isomorphic: WLOG assume irreducible.

=> Take base of  $R_1$ , mapping to a base of  $R_2$ . Then the isomorphism is an isometry up to scaling.

<= We have map between base roots, which is isometry up to scaling. Then it certainly respects Weyl group actions.

## Classification:



## **Integral structure**:

 $E_{\mathbb{Z}}^* = \mathbb{Z} \cdot \{H_{\alpha} \in E^* \text{ for } \alpha \in \Delta\}$  gives the integral structure (which is a lattice in  $E^* = \mathfrak{h}_{\mathbb{R}}$ ).

The dual is  $E_{\mathbb{Z}} = \{ \mu \in E : (\mu, H_{\alpha}) \in \mathbb{Z} \} = \operatorname{Hom}(E_{\mathbb{Z}}^*, \mathbb{Z})$ . Recall  $(\mu, H_{\alpha}) = \frac{2\langle \mu, \alpha \rangle}{\langle \alpha, \alpha \rangle}$ .

So  $R \subset E_{\mathbb{Z}}$ .

The dual basis  $\{H_{\alpha}^*\} \subset E_{\mathbb{Z}}$  of  $\{H_{\alpha}\} \subset E_{\mathbb{Z}}^*$  is called the fundamental weights. It is characterized by

$$H_{\alpha}^{*}, H_{\beta} \neq \frac{2\langle H_{\alpha}^{*}, \beta \rangle}{\langle \beta, \beta \rangle} = \delta_{\alpha\beta}.$$

# A special element:

$$\delta \coloneqq \frac{1}{2} \sum_{\alpha \in R^+} \alpha$$
.

 $(\delta, H_{\alpha}) = 1$  for all  $\alpha \in \Delta$  (and hence  $\delta \in E_{\mathbb{Z},+} = E_{\mathbb{Z}} \cap C$ ):

$$\frac{1}{2}(\alpha,H_{\alpha})=1.$$

For other  $\beta \in R^+$ ,  $s_\alpha \cdot \beta \in R^+$ . If  $\beta \perp \alpha$ , then  $\langle \beta, H_\alpha \rangle = 0$ ; if not, then  $\beta \neq s_\alpha \cdot \beta$  and  $\langle \beta + s_\alpha \cdot \beta, H_\alpha \rangle = 0$ . Hence their contribution sum up to zero.

## **Partial ordering:**

Like  $\mathfrak{sl}(3,\mathbb{C})$ , have partial ordering on E:

$$\mu \geq \lambda \text{ if } \mu - \lambda \in \mathbb{R}_{\geq 0} \cdot \Delta.$$

It has the following properties (proof skipped):

If 
$$\mu \in \overline{C}$$
, then  $\mu \ge 0$ .  $w \cdot \mu \le \mu \ \forall w \in W$ .  $\lambda \in \text{Conv}(W \cdot \mu)$  if and only if  $W \cdot \lambda \le \mu$ .

If 
$$\mu \in E_{\mathbb{Z},+} = E_{\mathbb{Z}} \cap C$$
, then  $\mu \geq \delta$ .

## Exercises. (Section 8.12)

- 1. Let  $\alpha, \beta \in R$  be linearly independent. If  $\alpha + k\beta \in R$  for  $k \in \mathbb{Z}_+$ , then  $\alpha + l\beta \in R$  for l = 0, ..., k.
- 7. Suppose *A* is an isomorphism between two irreducible root systems. Show that it is a constant multiple of an isometry.

9. 
$$P(H) := \prod_{\alpha \in R^+} \langle \alpha, H \rangle$$
.

Show that  $P(w \cdot H) = \det(w) P(H)$  for all  $w \in W$  and  $H \in E$ .

10. Show that if  $-I \notin W$ , then the Dynkin diagram must have a non-trivial automorphism.