Ricky Magner

October 29, 2020



- 2 Overview of the Proof
- 3 More on  $\rho_f$
- 4 More on *f*, or "Cuspstruction"

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#### 5 Summary

Ribet's Converse to Herbrand

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Ribet's Converse to Herbrand

#### Notation

■ Fix a prime p. Let A be the class group of Q(µ<sub>p</sub>). Set C = A/A<sup>p</sup>.

Ribet's Converse to Herbrand

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- Let Δ = Gal(Q(μ<sub>p</sub>)/Q), and let χ : Gal(Q/Q) → Δ → F<sup>×</sup><sub>p</sub> be the mod p cyclotomic character.

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- Then C decomposes as  $\bigoplus_i C(\chi^i)$  where  $C(\chi^i)$  is the  $\chi^i$ -isotypic component of C as a Galois module.
- Let  $B_k$  be the *k*th Bernoulli number, e.g.  $B_k = -k\zeta(1-k)$ .

Ribet's Converse to Herbrand

### The Theorem

• Let 
$$2 \le k \le p - 3$$
 be even.

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- The "if" direction is due to Herbrand; follows from Stickelberger's theorem.
- The "only if" direction is a corollary to Vandiver's conjecture that p does not divide the class number of Q(µ<sub>p</sub>)<sup>+</sup>, but Ribet gives an unconditional proof.

Ribet's Converse to Herbrand

# CFT Translation

• The goal: if  $p \mid B_k$ , then  $C(\chi^{1-k}) \neq 0$ . By CFT, this is equivalent to showing:

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- **b** For  $\sigma \in G, \tau \in H$ ,

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Let Q(µ<sub>p</sub><sup>⊗(1-k)</sup>) be the unique subfield of Q(µ<sub>p</sub>) of degree (p-1)/(p-1, k-1) over Q. Ribet shows a stronger version of (1.2) with this field in place of Q(µ<sub>p</sub>).

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# Galois Representation

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such that

**i**  $\overline{\rho}$  is unramified for  $\ell \neq p$ ;

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- im  $(\overline{\rho})$  has order divisible by p, so  $\overline{\rho}$  is not diagonalizable;
- iv for *D* a decomposition group for *p* in Gal( $\overline{\mathbb{Q}}/\mathbb{Q}$ ),  $\overline{\rho}|_D$  is diagonalizable, i.e. im( $\overline{\rho}|_D$ ) is not divisible by *p*.

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$$(1.3) \implies (1.2)$$

• Let  $\overline{\rho}$  be as in (1.3) and set  $E = \overline{\mathbb{Q}}^{\ker \overline{\rho}}$ , so  $E/\mathbb{Q}$  is Galois with group im( $\overline{\rho}$ ) of type  $(p, \ldots, p)$  over  $\mathbb{Q}(\mu_p^{\otimes (1-k)})$ .

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 By (i), E/Q is unramified away from p, and by (iii), E/Q(µ<sub>p</sub><sup>⊗(1-k)</sup>) is nontrivial. By (iv), this extension is unramified at p, so it is unramified everywhere.

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- The conjugation formula follows from (ii) and an analogous formula on the level of upper triangular matrices, used to represent the image of p.

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Ribet's Converse to Herbrand

# Anatomy of the Paper

- Section 1: Introduction
- Section 2: Lemma (2.1) on mod *p* representations
- Section 3: Creating a cuspform f with specific congruence conditions

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Section 4: Construction of  $\overline{\rho}$  from f

└─Overview of the Proof

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└─Overview of the Proof

# Divisibility of $B_k$

# • Let $E_k = -B_k/2k + \sum \sigma_{k-1}(n)q^n$ be the Eisenstein series of weight k.

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- The inspiration of this bridge from p | B<sub>k</sub> to creating p̄ comes from observing that under this assumption, E<sub>k</sub> looks like a cuspform mod p.
- Then one may hope that E<sub>k</sub> ≡ f mod p for some genuine cuspform f that lifts and produces a Galois representation with the desired properties since the Fourier coefficients of E<sub>k</sub> match the values traces of Frobenius on 1 ⊕ χ<sup>k-1</sup>.

# The Cuspform

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#### Theorem (3.7)

There exists  $f = \sum a_n q^n$  a normalized cuspidal eigenform of weight 2 and level  $\Gamma_1(p)$  of type  $\varepsilon$  satisfying

$$a_\ell\equiv\sigma_{k-1}(\ell)=1+\ell^{k-1}\equiv 1+arepsilon(\ell)\ellmod\mathfrak{p}$$

where p divides p in K, the field generated by the  $a_n$ 's.

└─Overview of the Proof

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- The congruences above then imply the reduction mod p is an extension of χ<sup>k-1</sup> by 1, and the representation is unramified away from p since it arises from a modular form for Γ<sub>1</sub>(p). This establishes (1.3) (i) and (ii) for p
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• (1.3)(iii) follows from (2.1) and simplicity of  $\rho_f$ .

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  <sub>f</sub>.
- (1.3)(iii) follows from (2.1) and simplicity of  $\rho_f$ .
- (1.3)(iv) comes from a geometric argument involving Raynaud's classification of group schemes of type (p,..., p).

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 $\square$  More on  $\rho_f$ 



# • Let $f, K, \mathfrak{p}$ be as in (3.7), so $f = \sum a_n q^n$ , $K = \mathbb{Q}(\{a_n\})$ , and $f \equiv E_k \mod \mathfrak{p}$ .

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   Let π be a uniformizer of O<sub>p</sub>.

• Write  $\mathbb{F}$  for  $\mathcal{O}_{\mathfrak{p}}/\mathfrak{p}$ .

### Shimura's Construction

Shimura showed there exists an abelian variety  $A = A_f/\mathbb{Q}$  associated to f, with the following properties:

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Write  $\rho_f : \operatorname{Gal}(\mathbb{Q}/\mathbb{Q}) \to \operatorname{GL}(V_{\mathfrak{p}}) = \operatorname{GL}_2(K_{\mathfrak{p}})$  for this representation.

### Irreducibility

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If not, then  $\rho_f^{ss} = \rho_1 \oplus \rho_2$ . A theorem of Serre implies  $\rho_i = \chi^{n_i} \varepsilon_i$  where  $\varepsilon_i$  has finite order ramified only at p.

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As  $n_1 + n_2 = 1$ , the second equation gives  $|a_{\ell}| \ge \ell - 1$ , contradicting RH  $|a_{\ell}| \le 2\sqrt{\ell}$  for  $\ell \gg 0$ .

### Reducing $\rho_f$

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#### Proposition (2.1)

Let  $L/\mathbb{Q}_p$  be finite, V a 2-dimensional L vector space. Suppose a compact group G acts continuously via  $\rho$  on V so that V is a simple G-module, but its reductions are reducible.

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The proof involves matrix computations and using the *p*-adic topology.



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Proposition (4.2)

There exists an  $\mathcal{O}_{\mathfrak{p}}$  lattice  $L \subset V_{\mathfrak{p}}$  stable under  $\operatorname{Gal}(\overline{\mathbb{Q}}/\mathbb{Q})$  whose residual representation  $\overline{\rho}_{f}$  is an extension of  $\chi^{k-1}$  by 1 which is not semi-simple.

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By Eichler-Shimura and Chebotarev density, the trace and determinant of  $\overline{\rho}_f$  agree with  $\chi^{k-1} \oplus \mathbf{1}$ , so its semi-simplification is isomorphic to this sum. In particular,  $\overline{\rho}_f$  is reducible. The claim follows from Prop (2.1).

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This gives (1.3)(i),(ii), & (iii).

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 $\square$  More on f, or "Cuspstruction"

#### Some Eisenstein Series

To construct f, we need some Eisenstein series. The following are Hecke eigenforms:

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For ε odd,

$$G_{1,\varepsilon} := L(0,\varepsilon) + \sum_{n\geq 1} \sum_{d|n} \varepsilon(d)q^n.$$

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For ε odd,

$${\it G}_{1,arepsilon}:=L(0,arepsilon)+\sum_{n\geq 1}\sum_{d\mid n}arepsilon(d)q^n.$$

Fix  $\mathfrak{p} \mid p$  in  $\mathbb{Q}(\mu_{p-1})$ . Let  $\omega : (\mathbb{Z}/p\mathbb{Z})^{\times} \to \mu_{p-1}$  so that  $\omega(d) \equiv d \mod \mathfrak{p}$ .

Constructing Unramified Extensions of  $\mathbb{Q}(\mu_p)$  $\square$  More on f, or "Cuspstruction"

#### Relation to $E_k$

• These new Eisenstein series are congruent to the old ones.

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Constructing Unramified Extensions of  $\mathbb{Q}(\mu_p)$ — More on f, or "Cuspstruction"

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Let k be even with  $2 \le k \le p-3$ . Then  $G_{2,\omega^{k-2}}$  and  $G_{1,\omega^{k-1}}$  have p-integral expansions in  $\mathbb{Q}(\mu_{p-1})$  and are congruent to  $E_k \mod p$ .

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 This can be used now to create a modular form g of weight 2, type ω<sup>k-2</sup> with p-integral q-expansion with constant term 1. (Theorem 3.3)

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Constructing Unramified Extensions of  $\mathbb{Q}(\mu_p)$ — More on f, or "Cuspstruction"

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- This can be used now to create a modular form g of weight 2, type ω<sup>k-2</sup> with p-integral q-expansion with constant term 1. (Theorem 3.3)
- The proof involves various bounds on cyclotomic field class numbers and special values of *L*-functions.

 $\square$  More on f, or "Cuspstruction"

#### Creating f

Set  $\varepsilon = \omega^{k-2}$  and  $f' = G_{2,\varepsilon} - cg$  where c is the constant term of  $G_{2,\varepsilon}$ . By construction, f' is a "semi-cuspform" i.e. it vanishes at the cusp  $\infty$  (but perhaps not the other cusp for  $\Gamma_1(p)$ ).

└─ More on *f* , or "Cuspstruction"

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Since  $\mathfrak{p} \mid c$  (since we've assumed  $p \mid B_k...!$ ), we have

$$f'\equiv G_{2,\varepsilon}\equiv E_k \mod \mathfrak{p}.$$

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- Since  $\mathfrak{p} \mid c$  (since we've assumed  $p \mid B_k...!$ ), we have

$$f' \equiv G_{2,\varepsilon} \equiv E_k \mod \mathfrak{p}.$$

 Since f' is a cuspform mod p, a lifting argument of Deligne-Serre gives a semi-cuspform f whose Hecke eigenvalues satisfy

$$a_\ell(f) \equiv 1 + \varepsilon(\ell)\ell \mod \mathfrak{q}$$

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for some  $\mathfrak{q} \mid \mathfrak{p}$  in  $\mathbb{Q}(\mu_p, \{a_n\})$ .

 $\square$  More on f, or "Cuspstruction"

## Finishing (3.7)

Ribet shows f is cuspidal by ruling out the explicit semi-cuspform which is not cuspidal:

$$s_{2,arepsilon} = \sum_{n\geq 1} \sum_{d\mid n} arepsilon (n/d) dq^n.$$

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• One checks by comparing eigenvalues that if  $f = s_{2,\varepsilon}$ , then

$$\varepsilon(\ell) + \ell \equiv 1 + \varepsilon(\ell)\ell \mod \mathfrak{p}.$$

Constructing Unramified Extensions of  $\mathbb{Q}(\mu_p)$ 

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Altogether, we get Theorem (3.7): there exists f of weight 2 type ε such that a<sub>ℓ</sub>(f) ≡ 1 + ℓ<sup>k−1</sup> mod p for some ideal p in K, generated by the a<sub>ℓ</sub>.

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2 Overview of the Proof

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4 More on *f*, or "Cuspstruction"

### 5 Summary

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## Recap

C = A/A<sup>p</sup> for A the class group of Q(μ<sub>p</sub>). We want to show p | B<sub>k</sub> implies C(χ<sup>1-k</sup>) ≠ 0. By CFT, we need to create a special Galois representation p
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- The assumption  $p \mid B_k$  suggests that the Eisenstein series  $E_k$  will be cuspidal mod p. With some work, we can show the existence of a nice cuspform  $f \equiv E_k$  modulo a certain prime ideal in a bigger field.

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- Using the Tate module of a modular Jacobian, we can attach a representation ρ<sub>f</sub> of the Galois group to f whose values on Frobenius elements relate to the Hecke eigenvalues of f.



Taking a particular reduction of ρ<sub>f</sub> yields the desired p̄ in (1.3): the congruence f ≡ E<sub>k</sub> means it will be an extension of χ<sup>k-1</sup> by 1 but not diagonalizable, and have the other properties we wanted.



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- Then ker ρ̄ creates the unramified abelian extension of Q(μ<sub>p</sub>) corresponding to C(χ<sup>1-k</sup>) ≠ 0.

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### Bonus!

■ What about (1.3)(iv), namely the condition that p
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- Let *M* be the space for *p̄*. To show *p* does not divide the size of the image of *D* under *p̄*, restrict to the subgroup Gal(*Q̄*/*Q*(*μ<sub>p</sub>*)<sup>+</sup>) since *p* ∤ [*Q*(*μ<sub>p</sub>*)<sup>+</sup> : *Q̄*]. Let *F* be the completion of *Q*(*μ<sub>p</sub>*)<sup>+</sup> with respect to the prime above *p*.

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- One shows *M* is the "Galois module of a finite flat group scheme *M* of type (*p*,...,*p*) over *O*<sub>*F*</sub>."
- Using an argument with the connected-étale sequence for *M*, one creates two distinct lines in *M* preserved by *D*. Any element of order *p* would preserve a *unique* line however.